

Invariants for transverse knots from Khovanov-type homologies

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Università degli studi di Firenze

① *Contact structures, links and braids*

② *Khovanov-Type homologies*

③ *Transverse invariants and Khovanov-type homologies*

Contact manifolds

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Definition

Let \mathcal{M} be an odd-dimensional manifold. A **contact structure** ξ (on \mathcal{M}) is a totally non-integrable hyperplane field.

The symmetric structure on \mathbb{R}^3 is given by

$$\xi_{\text{sym}} = \text{Ker}(dz + xdy - ydx);$$

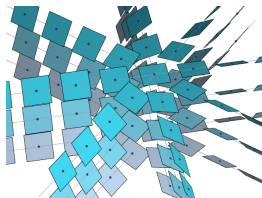


Figure: source Wikipedia

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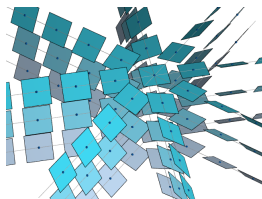


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Links in contact manifolds

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Definition

Let (\mathcal{M}^3, ξ) be a contact (3-)manifold. A (smooth) link in \mathcal{M} is called

- ① **Legendrian** if it is everywhere tangent to the contact structure;
- ② **transverse** if it is everywhere transverse to the contact structure.



Figure: Interaction between a contact plane, and a Legendrian (left), resp. transverse (right), link.

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Definition

The **braid group** on i -strands, denoted by B_i , is the group generated by σ_j , for $j \in \{1, \dots, i\}$, and subject to the following relations:

$$\sigma_k \sigma_j = \sigma_j \sigma_k, \quad |k - j| > 1,$$

$$\sigma_{k+1} \sigma_k \sigma_{k+1} = \sigma_k \sigma_{k+1} \sigma_k.$$

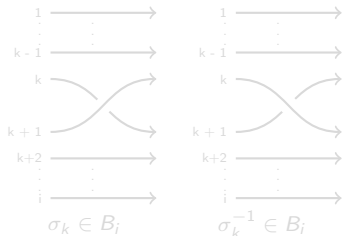


Figure: Generators of the Braid group B_i .



Figure: Operation in the braid group B_i .

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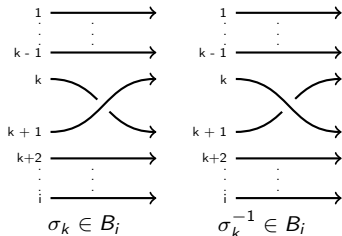


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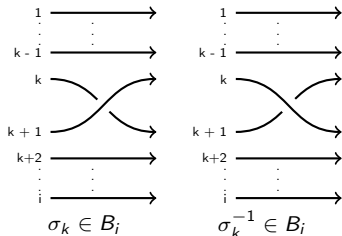


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A **braid** T is an element of any B_i , and the integer $i = i(T)$ will be called **braid index** of T .



Figure: Negative stabilization of the braid T



Figure: Positive stabilization of the braid T



Figure: Alexander closure of the braid T

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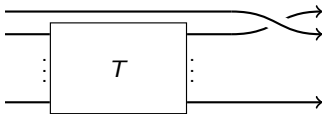


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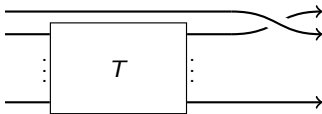


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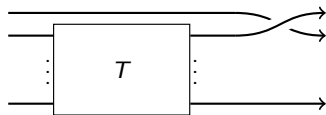


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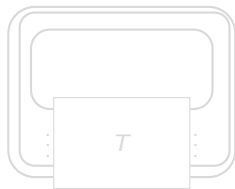


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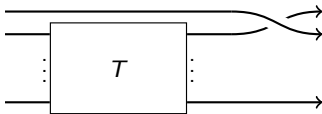


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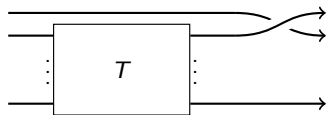


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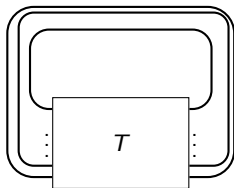


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Transverse links and Braids

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Theorem (Bennequin, '83)

Any transverse link in $(\mathbb{R}^3, \xi_{\text{sym}})$ is transversely isotopic to the Alexander closure of a braid.



Theorem (Orevkov and Shevchishin, Wrinkle, '03)

Two braids represent the same transverse link type if, and only if, they are related by a finite sequence of conjugations in the braid group, positive stabilizations and positive destabilizations.

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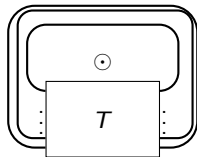
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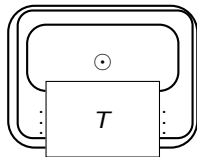
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There are two classical invariants for transverse links:

- 1 the **link-type**;
- 2 the **self-linking number**;

The latter could be defined, in the case of a braid T , as

$$sl(T) = n_+(T) - n_-(T) - i(T).$$

Any invariant which is strictly more powerful than sl and the link-type is called **effective**. A family of transverse link whose elements are told apart one from the other by the two classical invariants is called **simple** (e.g. the unknot, torus knots, figure eight).

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① *Contact structures, links and braids*

② *Khovanov-Type homologies*

③ *Transverse invariants and Khovanov-type homologies*

Definition

A **Frobenius Algebra** \mathcal{F} (over R) is a finitely generated, commutative, free R -algebra A , together with two maps

$$\Delta : A \rightarrow A \otimes_R A, \quad \varepsilon : A \rightarrow R,$$

such that:

- 1 Δ is an A -bi-module isomorphism (i.e. commutes with the left and right action of A over $A \otimes A$);
- 2 ε is R -linear;
- 3 Δ is co-associative and co-commutative;
- 4 $(id_A \otimes \varepsilon) \circ \Delta = id_A$.

The map Δ is called **co-multiplication**, while ε is the **co-unit** relative to Δ .

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Example (Khovanov Theory)

$$R_{Kh} = \mathbb{F}, \quad A_{Kh} = \frac{\mathbb{F}[X]}{(X^2)}.$$

$$\Delta(1) = 1 \otimes X + X \otimes 1,$$

$$\Delta(X) = X \otimes X.$$

$$\varepsilon(1) = 0, \quad \varepsilon(X) = 1.$$

Example (Bar-Natan Theory)

$$R_{BN} = \mathbb{F}[U], \quad A_{BN} = \frac{(\mathbb{F}[U])[X]}{(X^2 - U)}.$$

$$\Delta(1) = 1 \otimes X + X \otimes 1 - U \cdot 1 \otimes 1,$$

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
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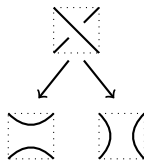
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Let D be an oriented link diagram. A **local resolution** of D in a crossing  is its replacement with either , a 0-resolution, or with , a 1-resolution.



A **resolution** \underline{r} of D is the set of circles obtained by performing a local resolution at each crossing; We will denote by $|\underline{r}|$ the number of 1-resolution in \underline{r} .

A resolution \underline{s} is an **immediate successor** of a resolution \underline{r} , if and only if, the following conditions hold.

- 1 $|\underline{r}| < |\underline{s}|$,
- 2 $\underline{r}, \underline{s}$ differ by a single local resolution.




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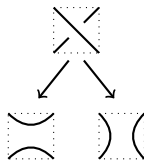
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Contact &
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Kh-type
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Invariants

Let D be an oriented link diagram. A **local resolution** of D in a crossing  is its replacement with either , a 0-resolution, or with , a 1-resolution.



A **resolution** \underline{r} of D is the set of circles obtained by performing a local resolution at each crossing; We will denote by $|\underline{r}|$ the number of 1-resolution in \underline{r} .

A resolution \underline{s} is an **immediate successor** of a resolution \underline{r} , if and only if, the following conditions hold.

- 1 $|\underline{r}| < |\underline{s}|$,
- 2 $\underline{r}, \underline{s}$ differ by a single local resolution.




Resolutions

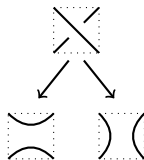
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
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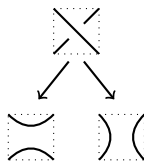
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
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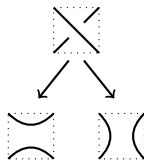
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The \mathcal{F} -chain module

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Let \mathcal{F} be a Frobenius algebra. The \mathcal{F} -chain module $C_{\mathcal{F}}$ is the free $R_{\mathcal{F}}$ -module generated by the **states**, i.e. resolutions with circles labelled with a fixed $R_{\mathcal{F}}$ -basis for $A_{\mathcal{F}}$.



Figure: A state the Bar-Natan chain module.

The homological degree of a state is given by

number of 1-resolutions in the underlying resolution $- n_-$

Moreover, if $A_{\mathcal{F}}$ is a graded $R_{\mathcal{F}}$ -algebra, then it is possible to define a **quantum degree** as follows.

$$\sum \text{degrees of the labels} + \text{homological degree} + n_+ - n_-.$$

The \mathcal{F} -chain module

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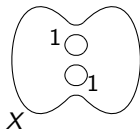


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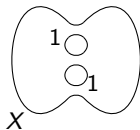


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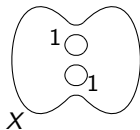


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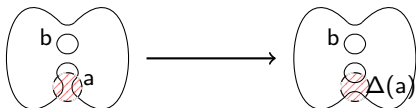
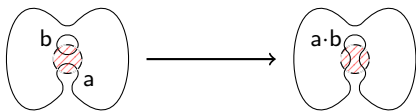
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Differential

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Given a state \mathfrak{s} , its differential is given (up to signs) by summing all the states \mathfrak{t} , whose underlying resolution is an immediate successor of the underlying resolution in \mathfrak{s} , and whose labels are obtained from those of \mathfrak{s} by multiplying the labels of the circles merged, or co-multiplying* the label of the circle split.



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Homology

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Invariants

Theorem (Khovanov)

The complex $(C_{\mathcal{F}}^{\bullet}, d_{\mathcal{F}}^{\bullet})$ is a (co)chain complex.

Theorem (Khovanov)

If \mathcal{F} is a Frobenius Algebra of rank two (i.e. $A_{\mathcal{F}}$ has rank 2 as $R_{\mathcal{F}}$ -module), then the isomorphism-type of the homology $H^{\bullet}(C_{\mathcal{F}}(D))$ is a link invariant.

Homology

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① *Contact structures, links and braids*

② *Khovanov-Type homologies*

③ *Transverse invariants and Khovanov-type homologies*

Plamenevskaya Invariant

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Invariants

- ① $\psi \in CKh^{0,sl(T)}(T)$ is a cycle;
- ② ψ is a transverse braid invariant;
- ③ if T' is the negative stabilization of T , then

$$[\psi(T')] = 0;$$

- ④ if T is a quasi-positive braid, then $[\psi(T)] \neq 0$
- ⑤ If T is a braid such that it contains at least a factor σ_i^{-1} , but no factors of the form σ_i , then $[\psi(T)] = 0$;
- ⑥ $sl(T) \leq s(\overset{\circ}{T}) - 1$, and if the equality holds, then $[\psi(T)] \neq 0$;
- ⑦ if $\overset{\circ}{T}$ represents a quasi-alternating link and $[\psi(T)] \neq 0$, then $sl(T) = s(\overset{\circ}{T}) - 1$. (Plamenevskaya-Baldwin)

NLS invariants

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Invariants

Recently, Lenhard Ng, Robert Lipshitz and Sucharit Sarkar introduced a family transverse invariants, $\psi_{p,q}$.

Each $\psi_{p,q}$ belongs to a quotient complex, obtained from a twisted version of the filtered Lee theory.

These invariants recover all the information contained in the Plamenevskaya invariant, in fact $\psi = \psi_{0,1}$.

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The invariant β

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Invariants

- 1 $\beta \in \text{CBN}^{0,sl}(T)$ is a cycle;
- 2 β is a transverse braid invariant;
- 3 $[\beta]$ is a non-trivial non-torsion element of $\text{HBN}^{0,sl}$;
- 4 $[\psi] = 0$ if, and only if, $[\beta] = U[\gamma]$, for a certain $[\gamma] \in \text{HBN}^{0,sl}$;
- 5 recovers the all information contained in the invariants $\psi_{p,q}$;
- 6 the number

$$c(\beta) = \max \{k \in \mathbb{N} \mid \exists [\eta] : U^k[\eta] = [\beta]\},$$

is a transverse braid invariant;

- 7 given a braid T , the following holds

$$sl(T) \leq sl(T) + 2c(\beta(T)) \leq s(\overset{\circ}{T}) - 1.$$

The invariant β

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Some problems

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Invariants

- 1 is β (or ψ) effective?
- 2 is $c(\beta)$ effective?
- 3 In which cases it holds

$$sl(T) + 2c(\beta(T)) = s(\overset{\circ}{T}) - 1.$$

- 4 If we consider a reduced version of Bar-Natan theory, then a reduced version of β , say β_{red} , could be defined. How much "transverse information" is stored in β_{red} ? Is it effective?