

Outer unipotent classes in automorphism groups of simple algebraic groups

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Abstract

We study the unipotent elements of disconnected algebraic groups of the form $G\langle\tau\rangle$, where G is a simple algebraic group in characteristic p possessing a graph automorphism τ of order p . We classify the unipotent classes in the coset $G\tau$ and determine the corresponding centralizers, showing that these bear a close relation to classes in a certain natural connected overgroup of $G\langle\tau\rangle$. We also obtain a formula for the total number of outer unipotent elements in the finite group $G_\gamma\langle\tau\rangle$, where γ is a Frobenius morphism, analogous to the well-known Steinberg formula for the number of inner unipotent elements.

1 Introduction

Let G be a simple algebraic group over an algebraically closed field of characteristic p . There is a substantial literature concerning the unipotent classes of G and its finite analogues; for example, precise and detailed information on centralizers of representatives of these classes can be found in [11]. If the pair (G, p) is $(A_l, 2)$, $(D_l, 2)$, $(E_6, 2)$ or $(D_4, 3)$ (with G being either simply connected

or adjoint in the last case), there is a graph automorphism τ of G of order p , and the coset $G\tau$ contains elements of order a power of p , which can be regarded as unipotent elements. Indeed, if we take G to be simply connected, then $G\langle\tau\rangle$ is contained in a larger simple algebraic group (respectively C_{l+1} , B_l , E_7 or F_4), and the p -elements in $G\tau$ are unipotent elements of the larger group.

This paper is a contribution to understanding the outer unipotent elements in the disconnected group $G\langle\tau\rangle$ and corresponding finite analogues $G_\sigma\langle\tau\rangle$ for σ a Frobenius morphism. At the outset, it is not evident that there are only finitely many conjugacy classes of such elements under the action of G . This assertion can be found in [16], and an elementary proof based on the finiteness of the number of unipotent classes in G is given by Fulman and Guralnick in [6, 2.6]. In section 3 we shall use the method of [6] along with information on unipotent classes in G to determine the precise number of outer unipotent classes in the finite groups and then use this information to show that there are only finitely many outer unipotent classes in $G\langle\tau\rangle$.

There is also an elegant formula (see Theorem 1.1 below) giving the total number of outer unipotent elements in the extended finite groups, which is in the spirit of Steinberg's well-known formula for the number of inner unipotent elements (see [4, Theorem 6.6.1]).

A main goal of the paper is to obtain information on the conjugacy classes and precise centralizers of the outer unipotent elements. In addition, we want to explicitly relate the outer unipotent classes of $G\tau$ to the usual unipotent classes of the simple overgroup indicated above.

For $(G, p) = (D_l, 2)$, we can view the group $G\langle\tau\rangle$ as the full orthogonal group, and information on classes and centralizers, in both the algebraic and finite orthogonal groups, is given in [11, Chapters 6, 7]. In this paper we therefore mainly focus on the remaining cases where $(G, p) = (A_l, 2)$, $(E_6, 2)$ or $(D_4, 3)$. For the algebraic groups this was first taken up by Spaltenstein in [16] where he obtains information on conjugacy classes and dimensions of centralizers. For the finite groups $E_6^c(q).2$, $D_4^c(q).3$, and $A_l^c(q).2$ with $l \leq 5$, information on outer classes and centralizer orders is given by Malle in [12], [13]. However, none of these papers determines the precise centralizers of the outer unipotent elements, in particular the reductive part of the centralizers.

Our aim here is to precisely identify the conjugacy classes of such elements in the algebraic groups, to determine centralizers of representatives of these classes, and to obtain similar information for the corresponding finite groups of Lie type. We achieve this in Theorems 1.2, 1.3, 1.4 and 1.5 below. All that we use from the literature above is Spaltenstein's description of classes and centralizer dimensions in the algebraic group $A_l.2$; however, we interpret this information within C_{l+1} , where it is quite natural and appears very much like corresponding results for the classical groups in characteristic 2 as developed in [11].

In the remainder of this introductory section we first set out a hypothesis which will apply throughout this paper and introduce some standard notation;

we then state our main results. We begin with our general result on the number of outer unipotent elements in the finite groups; we then give our detailed results on conjugacy classes and centralizers separately for the A_l case and for the E_6 and D_4 cases. Finally we describe the structure of the rest of the paper.

1.1 Notation

Let G be a simple algebraic group over an algebraically closed field K of characteristic p ; throughout this paper we shall assume that

$$(G, p) = (A_l, 2), (D_l, 2), (E_6, 2) \text{ or } (D_4, 3),$$

with G being either simply connected or adjoint in the last case. Let H be a simply connected simple algebraic group over K , of type C_{l+1} , B_l , E_7 or F_4 respectively.

Fix a maximal torus T_G of G and take root subgroups with respect to T_G ; let Σ denote the root system of G , with simple system $\Pi = \{\alpha_1, \dots, \alpha_r\}$ where r is the rank of G , and corresponding positive system Σ^+ . For $\beta \in \Sigma$, let X_β denote the corresponding root subgroup, and $x_\beta : K \rightarrow X_\beta$ be an isomorphism of algebraic groups, so that $X_\beta = \{x_\beta(c) : c \in K\}$; we assume the maps x_β are chosen such that the Chevalley commutator relations hold. Let $U = \prod_{\beta \in \Sigma^+} X_\beta$. To simplify notation write $u_\beta = x_\beta(1)$ for $\beta \in \Sigma$.

For $j = 1, \dots, r$ let $s_j = x_{\alpha_j}(1)x_{-\alpha_j}(-1)x_{\alpha_j}(1)$ be the standard representative in $N_G(T_G)$ of the Weyl group reflection in the j th simple root. We shall write roots as linear combinations of simple roots, with the coefficients arranged in the form of the Dynkin diagram; thus for example, if $G = E_6$ or D_4 , the highest root in Σ is denoted $^{12321}_2$ or $^{12}_1$ respectively.

Given a group A and an automorphism θ of A , write $A_\theta = \{a \in A : a^\theta = a\}$ for the group of fixed points. Let τ be a graph automorphism of G of order p which permutes the elements of Π ; we may assume that $x_{\alpha_j}(c)^\tau = x_{\alpha_{j\tau}}(c)$ for all j and all $c \in K$ (the assumption on the isogeny type in the case $(G, p) = (D_4, 3)$ is required to ensure τ exists). Then if G is simply connected we may regard $G\langle\tau\rangle$ as a subgroup of H . Elements of the coset $G\tau$ which have order a power of p will be called *outer unipotent elements*.

Let q be a power of p , and σ denote the q -Frobenius morphism of G satisfying $x_\beta(c)^\sigma = x_\beta(c^q)$ for all $\beta \in \Sigma$ and $c \in K$; let γ denote either σ or $\sigma\tau$. Then γ is a Frobenius morphism of G . For example, if $G = E_6$ is adjoint we have $G_\gamma = \text{Inndiag}(E_6^\epsilon(q))$, with $\epsilon = 1$ or -1 according as $\gamma = \sigma$ or $\sigma\tau$ (where $E_6^1(q) = E_6(q)$ and $E_6^{-1}(q) = {}^2E_6(q)$). Write $G_{\gamma,\tau} = (G_\gamma)_\tau$.

Given a group A and an element a of A , denote by a^A the conjugacy class in A containing a ; our notation for unipotent classes is taken from [11], which extends the Bala-Carter labelling to cover all characteristics. If A is an algebraic group, $R_u(A)$ denotes its unipotent radical, $L(A)$ denotes its Lie algebra, and $V_A(\lambda_i)$ denotes the restricted irreducible A -module with high weight equal to the i th fundamental weight. Write U_d to denote a connected d -dimensional

unipotent group, T_d to denote a d -dimensional torus, and Z_d to denote the cyclic group of order d .

We conclude this subsection by observing that the proof of [4, Proposition 5.1.1] generalizes to the situation here to show that the quotient map $G\langle\tau\rangle \rightarrow G\langle\tau\rangle/Z(G)$ restricts to a bijective morphism between the varieties of outer unipotent elements of the two groups, which induces a bijection between the outer unipotent classes; moreover $C_{Z(G)}(\tau) = 1$, which means that if $v \in G\tau$ is an outer unipotent element then the quotient map induces an isomorphism between $C_G(v)$ and $C_{G/Z(G)}(vZ(G))$. It follows that results obtained in G on outer unipotent classes and corresponding centralizers apply equally to any group isogenous to G (provided it admits τ in the case $(G, p) = (D_4, 3)$); it therefore suffices to treat one group in each isogeny class.

1.2 The number of outer unipotent elements

Assume (G, p) is any of the possibilities listed at the start of subsection 1.1. Recall that Steinberg proved that the number of unipotent elements in G_γ (for any connected reductive group G and Frobenius morphism γ) is equal to $(|G_\gamma|_p)^2$ (see [4, Theorem 6.6.1]). The following result extends this formula to the situation treated here.

Theorem 1.1 *Assume $(G, p) = (A_l, 2), (D_l, 2), (E_6, 2)$ or $(D_4, 3)$. The number of p -elements in the coset $G_\gamma\tau$ is equal to*

$$\frac{|G_\gamma|}{|G_{\gamma,\tau}|} \cdot (|G_{\gamma,\tau}|_p)^2.$$

Our proof of Theorem 1.1 shows that the conclusion also holds if we replace G_γ by $Op'(G_\gamma)$, and so holds in particular when this is a finite simple group.

1.3 The A_l case

Assume $(G, p) = (A_l, 2)$; write $n = l + 1$ and take G to be simply connected, so that $G = SL_n(K)$. We can regard $G\langle\tau\rangle$ as a subgroup of $H = Sp_{2n}(K)$. Namely, if $V = V_{2n}(K)$ is the natural module for H , then we can take G to stabilize a pair E, F of maximal totally singular subspaces of V , and τ to be an involution in H interchanging E and F .

Let $v \in G\tau$ be a unipotent element, and let $u = v^2 \in G$. If we have $E \downarrow u = \sum J_{r_i}$ (a sum of Jordan blocks) with $\sum r_i = n$, then since τ interchanges E and F we have $V \downarrow v = \sum J_{2r_i}$. By [11, Lemma 6.2], $V \downarrow v$ is a sum of indecomposables of the form $W(2r_i)$ (a sum of two singular blocks of size $2r_i$) and $V(2r_i)$ (a single non-degenerate block of size $2r_i$, appearing with multiplicity at most 2). Moreover, $V \downarrow u = \sum W(r_i)$ because G fixes the pair E, F of singular subspaces. From Lemma 4.1 below it follows that no summands $V(2r_i)$

with r_i even can be present in $V \downarrow v$; so we may write

$$V \downarrow v = \sum W(2r_i)^{a_i} + \sum_{s_i \text{ odd}} V(2s_i)^{c_i}$$

(an orthogonal decomposition), where each $c_i \leq 2$. Rewrite this as

$$V \downarrow v = \sum_{m_i \text{ odd}} W(2m_i)^{a_i} + \sum_{n_i \text{ even}} W(2n_i)^{b_i} + \sum_{k_i \text{ odd}} V(2k_i), \quad (1)$$

where the m_i and n_i are distinct, and the k_i are in non-increasing order and occur with multiplicity at most 2. The corresponding element $u = v^2 \in G$ acts on the n -dimensional space E as

$$E \downarrow u = \sum_{m_i \text{ odd}} J_{m_i}^{2a_i} + \sum_{n_i \text{ even}} J_{n_i}^{2b_i} + \sum_{k_i \text{ odd}} J_{k_i}. \quad (2)$$

In particular, even block sizes in $E \downarrow u$ occur with even multiplicity.

It follows from [16, pp.21–24] (see Lemma 4.2 below) that any two unipotent elements $v \in G\tau$ which are H -conjugate are also G -conjugate. Hence the above decomposition $V \downarrow v$ in (1) determines the G -class of v uniquely. Moreover, the right hand side of (2) is also the Jordan decomposition of a unipotent element u_0 of the orthogonal group $O_n(\mathbb{C})$, and [16, p.22] gives

$$\dim C_G(v) = \dim C_{O_n(\mathbb{C})}(u_0) + \sum_{\epsilon(m_i)=0} 2a_i, \quad (3)$$

where $\epsilon(m_i) = 0$ if there is no k_j equal to m_i , and 1 otherwise. The formula for $\dim C_{O_n(\mathbb{C})}(u_0)$ can be found in [11, Proposition 3.7]. Namely, rewriting the right hand side of (2) as $\bigoplus_i J_i^{r_i}$ we have

$$\dim C_{O_n(\mathbb{C})}(u_0) = \frac{1}{2} \sum_i i r_i^2 + \sum_{i < j} i r_i r_j - \frac{1}{2} \sum_{i \text{ odd}} r_i. \quad (4)$$

Here is our main result about outer unipotent classes in $G\tau$. Parts (i) and (ii) summarize the above discussion; part (iii) determines the reductive parts of centralizers, and is our new contribution.

Theorem 1.2 *Assume $(G, p) = (SL_n(K), 2)$.*

(i) *Each decomposition (1) represents a unique G -class of unipotent elements in $G\tau$.*

(ii) *For v as in (1), the dimension of $C_G(v)$ is given by (3) and (4).*

(iii) *The reductive part of $C = C_G(v)$ is*

$$C/R_u(C) = \prod_{m_i \text{ odd}} Sp_{2a_i}(K) \times \prod_{n_i \text{ even}} I_{2b_i}(K) \times Z_2^{t+\delta},$$

where

$$I_{2b_i}(K) = \begin{cases} Sp_{2b_i}(K) & \text{if } \exists k_j = n_i \pm 1, \\ O_{2b_i}(K) & \text{otherwise,} \end{cases}$$

t is the number of j such that $k_j > k_{j+1} + 2 > 3$, and δ is 1 if there exists $k_j \geq 3$ and is 0 otherwise.

We give some tables illustrating this result for $n \leq 8$ in section 6.

Theorem 1.2 yields results for the finite groups $G_\gamma\langle\tau\rangle = SL_n^\epsilon(q)$.2 just as in [11, Theorem 7.3], where $SL_n^\epsilon(q) = SL_n(q)$ or $SU_n(q)$ according as $\epsilon = 1$ or $\epsilon = -1$.

Theorem 1.3 *Assume $(G, p) = (SL_n(K), 2)$ and let γ be a Frobenius morphism of G with $G_\gamma = SL_n^\epsilon(q)$. Let $v \in G\tau$ be unipotent and write $C = C_G(v)$. Then $v^G \cap G_\gamma\tau$ splits into $2^{s+t+\delta}$ G_γ -classes, where t and δ are as in Theorem 1.2 and s is the number of $O_{2b_i}(K)$ factors in $C/R_u(C)$. For x in such a G_γ -class, $C_{G_\gamma}(x)$ is an extension of D_γ by R , where $D = R_u(C_G(u))$ (so $|D_\gamma| = q^{\dim D}$) and*

$$R = \prod_{m_i \text{ odd}} Sp_{2a_i}(q) \times \prod_{n_i \text{ even}} I_{2b_i}(q) \times Z_2^{t+\delta},$$

where

$$I_{2b_i}(q) = \begin{cases} Sp_{2b_i}(q) & \text{if } \exists k_j = n_i \pm 1, \\ O_{2b_i}^{\epsilon_i}(q) & \text{otherwise.} \end{cases}$$

Moreover, all 2^s possibilities for R occur equally often among the $2^{s+t+\delta}$ G_γ -classes.

Theorem 1.3 will be deduced from Theorem 1.2 in subsection 4.5.

1.4 The E_6 and D_4 cases

Assume $(G, p) = (E_6, 2)$ or $(D_4, 3)$. Our main results here are as follows.

Theorem 1.4 *Assume $(G, p) = (E_6, 2)$. Then the coset $G\tau$ contains 17 conjugacy classes of unipotent elements under the action of G , with representatives v_1, \dots, v_{17} and centralizers given in Table 7. The conjugacy classes and centralizer orders of outer 2-elements in the coset $G_\gamma\tau$ are given in Table 9.*

Theorem 1.5 *Assume $(G, p) = (D_4, 3)$. Then the coset $G\tau$ contains 5 conjugacy classes of unipotent elements under the action of G , with representatives v_1, \dots, v_5 and centralizers given in Table 8. The conjugacy classes and centralizer orders of outer 3-elements in the coset $G_\gamma\tau$ are given in Table 10.*

The tables referred to in Theorems 1.4 and 1.5 can be found in section 6. Note that in the third column of Table 7 or 8 we list the E_7 -class or F_4 -class of each representative v_i .

Layout The rest of this paper is divided into five further sections. In section 2 we prove Theorem 1.1. In section 3 we establish some preliminary results, including the result of Fulman-Guralnick mentioned above. In section 4 we prove Theorems 1.2 and 1.3, and in section 5 we prove Theorems 1.4 and 1.5. Finally section 6 contains the tables for E_6 and D_4 referred to in Theorems 1.4 and 1.5, and also tables illustrating Theorem 1.2 for $G = SL_n(K)$ with $n \leq 8$. At the end there is an appendix giving extra detailed information used in the proof of Theorems 1.4 and 1.5.

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2 Proof of Theorem 1.1

In this section we assume (G, p) is any of the possibilities listed at the start of subsection 1.1, and that τ and γ are as stated therein; we shall prove Theorem 1.1. The proof we shall give is an elaboration of Steinberg's original proof of the number of unipotent elements of a simple group of Lie type, which was based on the values of the Steinberg character. Here we use information established in [5, 3.18] on values of an extension of the Steinberg character.

First assume that G is simply connected and $p = 2$, so that G_γ is $SL_n^\epsilon(q)$, $\Omega_n^\epsilon(q)$ or $E_6^\epsilon(q)$, with q even. Abusing notation slightly we identify τ with its restriction to G_γ , and form the semidirect product $\hat{G}_\gamma := G_\gamma \langle \tau \rangle$. Define an element $x \in G\tau$ to be *quasi-semisimple* if x normalizes a Borel subgroup of G and a maximal torus therein. By [5, 3.18], the Steinberg character of G_γ can be extended to \hat{G}_γ , and the extension χ satisfies the following condition for elements $x \in G_\gamma\tau$:

$$\chi(x) = \begin{cases} \pm |C_{G_\gamma}(x)|_2 & \text{if } x \text{ is quasi-semisimple,} \\ 0 & \text{otherwise.} \end{cases}$$

In the following result, by a finite group of Lie type we mean a quotient by a central subgroup of the fixed point group under a Frobenius morphism of a simple algebraic group of simply connected type. For a group X , denote by $X^{(2)}$ the set of 2-elements in X .

Lemma 2.1 *Let $A \times B$ be the direct product of two isomorphic finite groups of Lie type A, B in characteristic 2, and let τ be an involutory automorphism of $A \times B$ interchanging the two factors. Form the semidirect product $(A \times B) \langle \tau \rangle$. Then the number of unipotent elements in the coset $(A \times B)\tau$ is equal to $|AB|/|C_{AB}(\tau)| \cdot |A^{(2)}|$.*

Proof Identify A and B , and write $\tau = t(\alpha, \beta)$ where t is the map $(a, a') \mapsto (a', a)$ (for $a, a' \in A$) and $\alpha, \beta \in \text{Aut}(A)$. As τ is an involution we have $\beta = \alpha^{-1}$.

Suppose $(x, y)\tau$ is unipotent, where $x, y \in A$. Then $((x, y)\tau)^2 = (xy^\alpha, yx^\beta)$ is unipotent, so xy^α, yx^β are unipotent elements of A . Conversely, given any $y \in A$ and any unipotent element $u \in A$, let $x = u(y^\alpha)^{-1}$; then $xy^\alpha = u$ is unipotent, as is $yx^\beta = yu^\beta((y^\alpha)^{-1})^\beta = yu^\beta y^{-1}$. Hence the number of unipotent elements of the form $(x, y)\tau$ is $|A| \cdot |A^{(2)}| = |AB|/|C_{AB}(\tau)| \cdot |A^{(2)}|$, as required. ■

Lemma 2.2 *Let \hat{G}_γ be as above, and let $s \in G_\gamma$ be a semisimple element such that $C_{\hat{G}_\gamma}(s)$ contains a unipotent element in the coset $G_\gamma\tau$. Then $C_{\hat{G}_\gamma}(s) = DR\langle t \rangle$, where D is a commuting product of groups of Lie type in characteristic 2, R is a maximal torus of G_γ normalizing D , and t is a quasi-semisimple involution normalizing both R and a Borel subgroup of DR .*

Proof As G is simply connected, $C_G(s)$ is connected, so that $C_G(s) = \bar{D}\bar{R}$, where \bar{D} is a product of simple algebraic groups and \bar{R} is a maximal torus. We can take γ to normalize \bar{R} and a Borel subgroup \bar{B} of \bar{D} . Then $C_{G_\gamma}(s) = DR$, where $D = O^{2'}(\bar{D}_\gamma)$ and $R = \bar{R}_\gamma$ (see [15, 2.12]). Note that D is a commuting product of groups of Lie type. We are assuming that $C_{\hat{G}_\gamma}(s)$ contains a unipotent element of $G_\gamma\tau$. Therefore $C_{\hat{G}_\gamma}(s) = DR\langle x \rangle$, where $x^2 \in DR$. Then $N_{C_{\hat{G}_\gamma}(s)}(\bar{B}_\gamma) \cap N_{C_{\hat{G}_\gamma}(s)}(R) = R\langle t \rangle$ where t is as in the statement of the lemma. Also $C_{\hat{G}_\gamma}(s) = DR\langle x \rangle = DR\langle t \rangle$. ■

Lemma 2.3 *Let s, t be as in Lemma 2.2, and write $C = C_{G_\gamma}(s) = DR$. Then*

$$|C_C(t)| = |C_D(t)| \cdot |C_{R/R \cap D}(t)|.$$

Proof As $C = DR$, we have $R/R \cap D \cong C/D$ by an isomorphism commuting with t . Therefore, $|C_{C/D}(t)| = |C_{R/R \cap D}(t)|$. Also R is abelian of odd order, so that $R = C_R(t) \times [R, t]$ and $R \cap D = C_{R \cap D}(t) \times [R \cap D, t]$. It follows that $C_{R/R \cap D}(t) \cong C_R(t)/C_{R \cap D}(t)$. In particular, $C_C(t)$ covers $C_{C/D}(t)$. Hence $|C_{R/R \cap D}(t)| = |C_{C/D}(t)| = |C_C(t)|/|C_D(t)|$, as required. ■

Write $Z = Z(G_\gamma)$. In the next two lemmas we count the elements of \hat{G}_γ in two different ways.

Lemma 2.4 *We have $|\hat{G}_\gamma| = \Sigma_1 + \Sigma_2 + \Sigma_3$, where*

$$\begin{aligned} \Sigma_1 &= \sum_s |C_{\hat{G}_\gamma}(s)^{(2)}|, \text{ sum over } s \in G_\gamma \setminus Z \text{ semisimple,} \\ \Sigma_2 &= |Z| \cdot |G_\gamma^{(2)}|, \\ \Sigma_3 &= |\hat{G}_\gamma^{(2)}| - |G_\gamma^{(2)}|. \end{aligned}$$

Proof Observe that Σ_1 is the number of elements in \hat{G}_γ with semisimple part $s \notin Z$, Σ_2 is the number of elements in G_γ with semisimple part in Z , and Σ_3 is the number of remaining elements in \hat{G}_γ (since $C_Z(\tau) = 1$). ■

Lemma 2.5 *We have $|\hat{G}_\gamma| = \Delta_a + \Delta_b + \Delta_c + \Delta_d$, where*

$$\begin{aligned}\Delta_a &= \sum_s (|C_{G_\gamma}(s)|_2)^2, \text{ sum over } s \in G_\gamma \setminus Z \text{ semisimple,} \\ \Delta_b &= \sum_{sx} (|C_{G_\gamma}(s, x)|_2)^2, \text{ sum over } sx \in \hat{G}_\gamma \text{ quasi-semisimple with} \\ &\quad \text{semisimple part } s \in G_\gamma \setminus Z, \\ \Delta_c &= |Z|(|G_\gamma|_2)^2, \\ \Delta_d &= \sum_x (|C_{G_\gamma}(x)|_2)^2, \text{ sum over } x \in \hat{G}_\gamma \text{ quasi-semisimple of order 2.}\end{aligned}$$

Proof Let χ be the Steinberg character of \hat{G}_γ . Then $(\chi, \chi) = 1$ implies that $|\hat{G}_\gamma| = \sum_{g \in \hat{G}_\gamma} \chi(g)^2$, and we have simply broken up the sum into the four parts $\Delta_a, \dots, \Delta_d$, where Δ_a and Δ_c arise from applying the usual Steinberg character to elements of G_γ , while Δ_b and Δ_d arise from applying the extended character to elements in $G_\gamma\tau$. ■

From the previous two lemmas we have

$$\Sigma_1 + \Sigma_2 + \Sigma_3 = \Delta_a + \Delta_b + \Delta_c + \Delta_d. \quad (5)$$

Lemma 2.6 *We have $\Sigma_1 = \Delta_a + \Delta_b$.*

Proof Obviously $\Sigma_1 = \Sigma_1' + \Sigma_1''$ where

$$\Sigma_1' = \sum_s |C_{G_\gamma}(s)^{(2)}|, \quad \Sigma_1'' = \sum_s |C_{\hat{G}_\gamma}(s)^{(2)}| - |C_{G_\gamma}(s)^{(2)}|.$$

By Steinberg's formula [4, Theorem 6.6.1] for the number of unipotent elements in each group $C_{G_\gamma}(s)$, we have $\Sigma_1' = \Delta_a$; so we need to show that $\Sigma_1'' = \Delta_b$.

Let $s \in G_\gamma \setminus Z$ be a fixed semisimple element and write $C = C_{G_\gamma}(s)$. As in Lemma 2.2 we have $C_{\hat{G}_\gamma}(s) = C\langle t \rangle = DR\langle t \rangle$. An outer unipotent element in $C\langle t \rangle$ projects to an involution in $C\langle t \rangle/D$, and these have the form Drt with $rt \in Rt$ an involution. Such involutions rt are all R -conjugate to t , so the total number of outer unipotent elements in the coset Ct is the number in Dt times the number of cosets Drt .

Now t acts on the set of Lie type factors of D , with each factor being either normalized or interchanged with an isomorphic factor. Let \tilde{D} be the universal cover of D , so that \tilde{D} is a direct product of Lie type factors and we can pull back the action of t . Then using induction together with Lemma 2.1, we see that the number of unipotent elements in the coset $\tilde{D}t$ is equal to

$$\frac{|\tilde{D}|}{|C_{\tilde{D}}(t)|} (|C_{\tilde{D}}(t)|_2)^2.$$

Write $D = \tilde{D}/J$, where J is abelian of odd order, and let J^- be the set of elements in J inverted by t . Then each unipotent element in Dt pulls back

to $|J^-|$ unipotent elements in $\tilde{D}t$, all of which are conjugate under J^- . As $(|C_{\tilde{D}}(t)|_2)^2 = (|C_D(t)|_2)^2$, we see that the number of unipotent elements in the coset Dt is equal to

$$\frac{|D|}{|C_D(t)|} (|C_D(t)|_2)^2.$$

The number of cosets Drt with rt an involution is $|R/R \cap D|/|C_{R/R \cap D}(t)| = |C/D|/|C_{R/R \cap D}(t)|$. Hence by Lemma 2.3 and the above equation, the number of unipotent elements in the coset Ct equals $(|C|/|C_C(t)|)(|C_D(t)|_2)^2 = (|C|/|C_C(t)|)(|C_{G_\gamma}(s, t)|_2)^2$. The quasi-semisimple elements $sx \in \hat{G}_\gamma$ having semisimple part s and x of order 2 are all conjugate to st . So summing over s we obtain $\Sigma_1'' = \Delta_b$, as required. \blacksquare

We now complete the proof of Theorem 1.1 for the cases where G is simply connected and $p = 2$. By Steinberg's formula we have $\Sigma_2 = \Delta_c$, and hence by (5) and Lemma 2.6, $\Sigma_3 = \Delta_d$. All the quasi-semisimple involutions $x \in \hat{G}_\gamma$ are conjugate to τ , so $\Delta_d = |G_\gamma : G_{\gamma, \tau}| \cdot (|G_{\gamma, \tau}|_2)^2$. Since Σ_3 is the number of unipotent elements in $G_\gamma \tau$, the result follows.

Next we relax the assumption that G is simply connected. Let \tilde{G} be the simply connected cover of G . We can consider γ and τ acting on \tilde{G} . There is a natural surjection $\tilde{G}_\gamma \rightarrow O^{p'}(G_\gamma)$. Let $X = \tilde{G}_\gamma/Z(\tilde{G}_\gamma) \cong O^{p'}(G_\gamma)/Z(O^{p'}(G_\gamma))$. Using [14, 2.3 and 2.4] we see that $|Z(\tilde{G}_\gamma)| = |Z(G_\gamma)| \cdot (|G_\gamma|/|O^{p'}(G_\gamma)|)$. Moreover, the outer unipotent elements in $G_\gamma \tau$ invert both $Z(G_\gamma)$ and $G_\gamma/O^{p'}(G_\gamma)$. The above argument with \tilde{D} and D shows that both the number of outer unipotent elements and the formula in Theorem 1.1 are independent of the form of G . Indeed, in either case the number of outer unipotent elements equals $|Z(\tilde{G}_\gamma)|$ times the number of outer unipotent elements in $X\tau$. We note that the theorem also holds if we replace G_γ by $O^{p'}(G_\gamma)$.

It remains to handle the $p = 3$ case of Theorem 1.1. Starting with $G = D_4$ simply connected this can be achieved by arguing as above with obvious changes along the way, and this is left to the reader. (We note that the only place requiring an analogue of Lemma 2.1 is where τ transitively permutes the factors in the direct product of three copies of SL_2 ; so $\tilde{D} = D$ in this situation.) The argument of the above paragraph then gives the result when G is an adjoint group. Alternatively, the result can be established easily from the information given in Table 10 in section 6.

3 Preliminary lemmas

In this section we assume $(G, p) = (A_l, 2)$, $(E_6, 2)$ or $(D_4, 3)$. We shall first prove a special case of a result of Fulman and Guralnick [6, Lemma 2.2], and then establish some basic lemmas which combine this result with information from [11]. In particular we count the number of G_γ -classes of p -elements in $G_\gamma \tau$. For each p -element in $G\tau$ we then introduce a parabolic subgroup of G which will play an important role in the determination of the G -centralizer.

Lemma 3.1 *Let X be a finite group with normal subgroup Y of index p , and let $\tau \in X \setminus Y$ have order p . Then the number of X -orbits on p -elements in $Y\tau$ equals the number of τ -stable Y -orbits on p -elements in Y .*

Proof Observe first that if $x \in X$ then

$$x^Y \text{ is } \tau\text{-stable} \iff x^Y = x^X \iff C_X(x) \cap Y\tau \neq \emptyset.$$

Write

$$P_1 = \{p\text{-elements in } Y\tau\}, \quad P_2 = \{p\text{-elements in } Y \text{ with } \tau\text{-stable } Y\text{-orbit}\}.$$

Then for $i = 1, 2$ the X -orbits on P_i are the same as the Y -orbits on P_i ; write n_i for this common number of orbits. We seek to show that $n_1 = n_2$.

Take $i \in \{1, 2\}$; given $x \in X$ write $F_i(x) = |C_X(x) \cap P_i|$. For $x \in X \setminus Y$, the generators of $\langle x \rangle$ are distributed in equal numbers among the cosets $Y\tau^i$ for $i \neq 0$, so we have both

$$n_i = \frac{1}{|X|} \sum_{x \in X} F_i(x) = \frac{1}{|X|} \sum_{y \in Y} \sum_{j=0}^{p-1} F_i(y\tau^j) = \frac{1}{|X|} \sum_{y \in Y} (F_i(y) + (p-1)F_i(y\tau))$$

and

$$n_i = \frac{1}{|Y|} \sum_{y \in Y} F_i(y),$$

whence

$$n_i = \frac{1}{|Y|} \sum_{y \in Y} F_i(y\tau).$$

Now take $x = y\tau \in Y\tau$; choose $k \equiv 1 \pmod{p}$ such that $z = x^k$ is a p -element, so certainly $z \in C_X(x) \cap Y\tau$. Thus the map $g \mapsto zg$ is a bijection $C_Y(x) \rightarrow C_X(x) \cap Y\tau$; and $g \in C_Y(x)$ is a p -element if and only if $zg \in C_X(x) \cap Y\tau$ is a p -element. Since any element of $C_Y(x)$ has a τ -stable Y -orbit by the initial observation, we thus have a bijection $C_X(x) \cap P_2 \rightarrow C_X(x) \cap P_1$, whence $F_2(x) = F_1(x)$. It follows that $n_2 = n_1$ as required. \blacksquare

Our next two results show (as is done in [6, 2.6]) that the finiteness of the number of outer unipotent classes in the coset $G\tau$ follows from combining Lemma 3.1 with the finiteness of the number of unipotent classes in G .

Let $p(n)$ denote the number of partitions of n .

Lemma 3.2 *Assume G is adjoint.*

- (i) *If $(G, p) = (A_l, 2)$, then G_γ has $p(l+1)$ conjugacy classes of 2-elements, each of which is τ -stable.*
- (ii) *If $(G, p) = (E_6, 2)$, then G_γ has 28 conjugacy classes of 2-elements, each of which is τ -stable.*

(iii) If $(G, p) = (D_4, 3)$, then G_γ has 7 conjugacy classes of 3-elements which are τ -stable.

Proof (i) We know that G has $p(l+1)$ classes of unipotent elements and [11, Theorem 7.1] shows that the same holds for the finite linear and unitary groups.

(ii) The first assertion follows from [11, Corollary 17.7]. For the second assertion we make use of the explicit information on centralizers of unipotent classes of $G(q)$ presented in [11, Table 22.2.3]. Let u be a unipotent element of G_γ , and write $u' = u^\tau$. We claim that $u' = u^g$ for some element $g \in G_\gamma$.

Set $C_u = C_{G_\gamma}(u)$ and $C_{u'} = C_{G_\gamma}(u')$. By comparing first the sizes of $O_2(C_u)$ and $O_2(C_{u'})$, and then the orders of C_u and $C_{u'}$, we see that u and u' are G_γ -conjugate except possibly for the cases where u has G -class D_4 , D_5 , E_6 or $E_6(a_3)$. In each of these cases the G -class splits into two G_γ -classes of equal size; so the only question is whether τ fixes each of the two classes or interchanges them. Now $G_{\gamma, \tau} = F_4(q)$ contains unipotent elements of type B_3 , B_4 , F_4 , and these elements lie in the G -classes D_4 , D_5 , E_6 respectively; so τ must fix each of these G -classes. Also if u has type $F_4(a_2)$ in F_4 then we see from [11, Table 22.1.4] that u lies in the G -class $E_6(a_3)$; moreover, a representative for the class of u can be taken over the prime field (see the discussion at the start of [11, Chapter 18]). So here too τ fixes each of the classes, establishing the claim. This completes the proof of (ii).

(iii) Here we begin at the level of the orthogonal group $SO_8(K)$, whose unipotent classes are tabulated in [11, Table 8.5a]. Those with distinguished normal form $W(1)^4$, $W(2) + W(1)^2$, $W(3) + W(1)$, $W(2) + V(3) + V(1)$, $V(5) + V(3)$, $V(7) + V(1)$ have Bala-Carter labels 1, A_1 , A_2 , $D_2A_1 = A_1^3$, $D_4(a_1)$, D_4 respectively, while those with distinguished normal form $W(2)^2$ (two classes), $W(1)^2 + V(3) + V(1)$, $W(4)$ (two classes), $W(1) + V(5) + V(1)$ have Bala-Carter labels $(A_1^2)'$ or $(A_1^2)''$, D_2 , $(A_3)'$ or $(A_3)''$, D_3 respectively. Now take the corresponding classes in G . In each of the first six cases the triality automorphism τ stabilizes a root subsystem of the relevant type, and hence the class of regular (or in one case subregular) unipotent elements in the corresponding subsystem subgroup; in the second six cases, however, τ cycles the first three and the second three classes. By [11, Theorem 3.1] the centralizer for the A_2 class has component group of order 2, while that for each of the other five τ -stable classes is connected; descending to the finite group G_γ we see that (iii) holds. ■

Lemma 3.3 (i) If G is adjoint, then according as $(G, p) = (A_l, 2)$, $(E_6, 2)$ or $(D_4, 3)$ there are $p(l+1)$, 28 or 7 G_γ -classes of p -elements in $G_\gamma\tau$.

(ii) There are finitely many G -classes of p -elements in $G\tau$ (for G of arbitrary isogeny type, provided G admits τ in the case $(G, p) = (D_4, 3)$).

Proof Part (i) follows from Lemmas 3.1 and 3.2. For (ii) we first observe that it suffices to assume G is adjoint by the final paragraph of subsection 1.1; moreover we can apply [8, Proposition 1.1] to assume that K is the algebraic

closure of the prime field. We claim that the number of G -classes of p -elements in $G\tau$ is at most k , where $k = p(l + 1)$, 28 or 7 respectively (later we shall see that there are precisely 17 such classes if $G = E_6$ and 5 classes if $G = D_4$). If this claim were false, there would be at least $k + 1$ such classes, and we could choose a sufficiently large power q of p such that each class had a representative in $G_\gamma\tau$, where $\gamma = \sigma$ for σ the q -field morphism as in subsection 1.1; but this would contradict (i). Therefore the claim holds and (ii) is established. ■

Let v be a p -element in $G\tau$, and write $u = v^p \in G$. We bring into play a parabolic subgroup of G which contains $C_G(u)$ and for which a certain density statement holds. In order to do this we make use of a certain nilpotent element e in the Lie algebra $L(G)$. For $G = A_l$ we can view G as $SL_{l+1}(K)$ and let $e = u - 1$. For $G = E_6$ we use representatives of the unipotent classes of G given in [11]; we can take u to be a product of root elements $u = \prod u_{\beta_i}$ for certain $\beta_i \in \Sigma$, and then u and the corresponding nilpotent element $e = \sum e_{\beta_i}$ are *linked* (see [11, p.281 and Theorem 17.3]). For $G = D_4$, this is described in [11, Lemmas 2.15, 3.13].

As is explained in [11, p.4], there is a certain 1-dimensional torus T in G which acts by weight 2 on $\langle e \rangle$; by taking an appropriate simple system we may assume for each simple root β the T -weight on $\langle e_\beta \rangle$ is 0, 1 or 2, and we write Δ for the corresponding labelled Dynkin diagram. The torus T determines a parabolic subgroup $P = QL$, where $L = C_G(T)$ and $Q = \prod X_\beta$ with the product taken over those roots $\beta \in \Sigma$ for which the T -weight on $\langle e_\beta \rangle$ is positive; thus the simple roots of L are those with label 0 in Δ . We write $Q_{\geq 2}$ (respectively $Q_{>2}$) for the subgroup $\prod X_\beta$ of Q , where the product is taken over those roots β such that the T -weight on $\langle e_\beta \rangle$ is at least 2 (respectively greater than 2). Then for each root element u_β appearing in the expression for u , the T -weight on $\langle e_\beta \rangle$ is 2, so that $u \in Q_{\geq 2}$ (see [11, (18.1)]).

If $(G, p) = (E_6, 2)$, the correspondence between unipotent classes u^G and labelled Dynkin diagrams Δ is given in [11, Table 22.1.3]. If instead $(G, p) = (A_l, 2)$ or $(D_4, 3)$, the labelled Dynkin diagram Δ can be obtained from the action of T on the natural module $W = V_G(\lambda_1)$ as follows. We may assume T is contained in the maximal torus T_G of G ; then the T_G -weights on W determine the T -weights on the simple roots α_i . In the A_l case the T_G -weights on W are $\lambda_1, \lambda_1 - \alpha_1, \lambda_1 - \alpha_1 - \alpha_2, \dots$; thus if for example $l = 9$ and u (and hence e) acts as $J_5 + J_3 + J_2$, the T -weights on the individual blocks are $(4, 2, 0, -2, -4), (2, 0, -2), (1, -1)$, so that the T -weights on W are $4, 2^2, 1, 0^2, -1, -2^2, -4$, and hence Δ is 201101102. In the D_4 case the T_G -weights on W are $\lambda_1, \lambda_1 - \alpha_1, \lambda_1 - \alpha_1 - \alpha_2, \lambda_1 - \alpha_1 - \alpha_2 - \alpha_3, \lambda_1 - \alpha_1 - \alpha_2 - \alpha_4, \dots$; thus if for example u (and hence e) has distinguished normal form $W(2) + V(3) + V(1)$ and so acts as $J_3 + J_2^2 + J_1$, the T -weights on W are $2, 1^2, 0^2, -1^2, -2$, and hence Δ is 10^1_1 .

The following lemma follows from [11, Theorem 1] for $G = A_l, D_4$ and [11, Theorems 17.4, 17.5] for $G = E_6$.

Lemma 3.4 (i) $C_G(u) \leq P$;

(ii) u^P is dense in $Q_{\geq 2}$;

(iii) $uQ_{>2}$ is fused under the action of Q .

Our final result in this section shows that if the parabolic subgroup P is τ -stable then it is stabilized by v .

Lemma 3.5 Assume that $P = P^\tau$. With v , u and P as above, we have $P = P^v$.

Proof We have $u \in Q_{>2} < P$, and u^P is dense in $Q_{\geq 2}$. By hypothesis τ normalizes P ; thus $P^v = P^g$ for some element $g \in G$, and so $Q^v = Q^g$ and $(Q')^v = (Q')^g$. It follows from [2] that $Q_{\geq 2} = Q$ or Q' , since the labels in the labelled Dynkin diagram Δ which determines P are 0, 1, 2. In either case $u \in (Q_{\geq 2})^v = (Q_{\geq 2})^g$ and this group is either Q^g or $(Q')^g$.

Now $\dim C_P(u) = \dim C_{P^v}(u^v) = \dim C_{P^v}(u) = \dim C_{P^g}(u)$. A dimension comparison implies that u^{P^g} is dense in $(Q_{\geq 2})^g$. But $(u^g)^{P^g}$ is also dense in $(Q_{\geq 2})^g$. Therefore, $(u^g)^{P^g} = u$ for some element $p \in P$, so that $pg \in C_G(u) = C_P(u)$. Hence $g \in P$ and $P = P^g = P^v$ as required. ■

4 Proof of Theorems 1.2 and 1.3

In this section we assume $(G, p) = (A_l, 2)$. Most of this section is devoted to the proof of Theorem 1.2. Finally Theorem 1.3 is deduced in subsection 4.5.

4.1 Preliminaries

As in subsection 1.3 write $n = l + 1$ and take G to be simply connected, so that $G = SL_n(K)$; regard $G\langle\tau\rangle$ as a subgroup of $H = Sp_{2n}(K)$. Let $V = V_{2n}(K)$ be the natural module for H with symplectic form $(\ , \)$, and write $V = E \oplus E^\tau$, where G acts on each of the totally singular summands E and E^τ . First note that the fact that any unipotent element $u \in G$ acts on E and E^τ forces $V \downarrow u$ to be a sum of summands of type $W(k)$. The following result justifies equation (1) of subsection 1.3.

Lemma 4.1 Let $v \in Sp(V)$ be a unipotent element such that $V \downarrow v = V(2k)$. Then

$$V \downarrow v^2 = \begin{cases} V(k)^2 & \text{if } k \text{ is even,} \\ W(k) & \text{if } k \text{ is odd.} \end{cases}$$

Proof Here v acts on V as follows. Take a basis $v_{2k}, v_{2k-1}, \dots, v_1$ of $V(2k)$, where the symplectic bilinear form is given by $(v_i, v_j) = 1$ if $i + j = 2k + 1$ and

0 otherwise. Now define v to act as

$$\begin{aligned} v_{k+i} &\mapsto v_{k+i} + v_{k+i-1} + \cdots + v_k \quad (1 \leq i \leq k), \\ v_j &\mapsto v_j + v_{j-1} \quad (2 \leq j \leq k), \\ v_1 &\mapsto v_1. \end{aligned}$$

Clearly v^2 has two Jordan blocks of size k . The question is whether these blocks are singular or non-degenerate (meaning that v^2 acts on V as $W(k)$ or $V(k)^2$, respectively).

Assume first that k is even. For $x \in V$ write $[x, v^2] = x + xv^2$, $[x, v^2]^{(2)} = [[x, v^2], v^2]$, and in general $[x, v^2]^{(i)} = [\dots [[x, v^2], v^2], \dots, v^2]$, the i -fold commutator. We compute inductively that for $i \leq \frac{k}{2} - 1$, the commutator $[v_{2k}, v^2]^{(i)}$ is of the form

$$v_{2k-2i} + \sum_{j \text{ even}, 2k-2i > j \geq k} \mu_j v_j + \sum_{l < k} \mu_l v_l.$$

In particular,

$$[v_{2k}, v^2]^{(\frac{k}{2}-1)} = v_{k+2} + \mu_k v_k + \sum_{l < k} \mu_l v_l.$$

The next commutator is then of the form

$$[v_{2k}, v^2]^{(\frac{k}{2})} = v_k + v_{k-1} + \sum_{l < k-1} \nu_l v_l.$$

As $[v_i, v^2] = v_{i-2}$ when $3 \leq i \leq k$, it follows that

$$[v_{2k}, v^2]^{(k-1)} = v_2 + v_1.$$

Since $(v_{2k}, v_2 + v_1) \neq 0$, it follows that the Jordan block for v^2 generated by v_{2k} is non-degenerate, hence is of type $V(k)$. Also $V(k)^v$ is another Jordan block for v^2 of the same type and orthogonal to $V(k)$; so $V \downarrow v^2 = V(k)^2$ when k is even.

Now assume k is odd. In this case we compute inductively that for any i , the commutator $[v_{2i}, v^2]$ involves only terms in v_j for $j \leq 2i - 2$ even. Hence $[v_{2k}, v^2]^{(k-1)} = v_2$, and so as $(v_{2k}, v_2) = 0$, the Jordan block for v^2 generated by v_{2k} is singular of size k . Applying v to this block gives another singular block, and hence $V \downarrow v^2 = W(k)$ when k is odd. For use in the next lemma we note that $[v_{2k}, ([v_{2k}, u]^{(k-1)})^v] = [v_{2k}, v_2^v] = [v_{2k}, v_2 + v_1] = 1 \neq 0$. ■

In [16, p.21], Spaltenstein views elements of $G\tau$ as non-degenerate bilinear forms on E and goes on to describe the conjugacy classes with respect to these forms. We can also view elements of $G\tau$ in this way as follows. Let v and u be as in equations (1) and (2) of subsection 1.3. Define a non-degenerate bilinear form $(\ , \)_v$ on E by $(e_1, e_2)_v = (e_1, e_2^v)$. Let λ be the partition of n determined from the action of u on E and define a function $\epsilon : \mathbb{N} \rightarrow \{0, 1, \omega\}$ which for all i

sends

$$\begin{aligned} n_i &\mapsto \omega, \\ k_i &\mapsto 1, \\ m_i &\mapsto \begin{cases} 1 & \text{if } \exists k_j = m_i, \\ 0 & \text{otherwise,} \end{cases} \end{aligned}$$

and sends all other positive integers to 0. Thus $V \downarrow v$ determines a pair (λ, ϵ) .

Lemma 4.2 *Let $G\langle\tau\rangle = SL_n(K)\langle\tau\rangle < Sp(V) = H$ as above. Then any two unipotent elements $v \in G\tau$ which are H -conjugate are also G -conjugate.*

Proof According to [16, p.21], the G -classes of unipotent elements in $G\tau$ are in bijective correspondence with the set of pairs (λ, ϵ) , where λ is a partition of n such that all even parts have even multiplicity, and $\epsilon : \mathbb{N} \rightarrow \{0, 1, \omega\}$ is a function having various properties which are described on [16, p.22].

We wish to show that the function defined above agrees with the one given by Spaltenstein. The key facts which we must establish are that if w is in a $W(2m)$ summand of $V \downarrow v$, then $(w, ([w, u]^{(m-1)})^v) = 0$, whereas in a $V(2m)$ summand with m odd there exists w for which this does not hold. The latter was established at the end of the proof of Lemma 4.1.

Consider a summand of type $W(2m)$. The action of v is given on [11, p.92]. With a slight change of notation we see that v has two Jordan blocks of size $2m$ with bases x_1, \dots, x_{2m} and y_1, \dots, y_{2m} , where x_1 and y_1 generate the blocks and x_{2m} and y_{2m} are fixed points. One shows by induction that for $i < 2m - 1$ odd, we have $[x_i, u] = x_{i+2} + w_i$, where w_i is a sum of terms x_j for $j > i + 2$ odd. It follows that the Jordan block for u generated by x_1 has fixed space spanned by $x_{2m-1} = [x_1, u]^{(m-1)}$. A similar conclusion holds for the block generated by y_1 . Now u has four Jordan blocks of size m on $W(2m)$, namely the two just described and their images under v . It is now straightforward to check that $(w, ([w, u]^{(m-1)})^v) = 0$ for all $w \in W(2m)$.

At this point we see that the function ϵ defined above agrees with the one defined in [16, p.22]. Therefore, the restriction $V \downarrow v$ uniquely determines ϵ . The result follows. \blacksquare

4.2 Some special cases

The next few lemmas prove Theorem 1.2 in some key special cases. The first lemma settles two base cases which will be needed in a later inductive proof.

Lemma 4.3 *If $V \downarrow v$ is either $W(4) + V(2)$ or $W(4) + V(6)$, then $C^\circ/R_u(C) \cong Sp_2$.*

Proof By [11, Theorem 4.2] the full centralizer of v in $Sp(V)$ has its connected reductive part Sp_2 . Therefore $C^\circ/R_u(C)$ is contained in Sp_2 . Suppose that

equality holds for the case $V \downarrow v = W(4) + V(6)$. Let $W = [V, v]^{(4)}$. Then C acts on W^\perp/W and v acts on this space as $W(4) + V(2)$. So the equality for $W(4) + V(2)$ also holds.

Now consider $W(4)+V(6)$, where $G = SL_7(K) = A_6$ with fundamental roots $\alpha_1, \dots, \alpha_6$. We use notation as in subsection 1.1 and set $v = \tau u_{111000} u_{011100}$. One checks that $u = v^2$ lies in the class $A_2 A_1^2$, and so has Jordan form $J_3 + J_2^2$. Therefore v is necessarily in the correct class.

The labelled Dynkin diagram Δ corresponding to u is 101101; thus the parabolic subgroup $P = QL$ described prior to Lemma 3.4 has Levi factor of type $A_1 A_1$. Using notation $x_{ijk}(d) = x_{\alpha_i + \alpha_j + \alpha_k}(d)$ and so forth one checks either by hand or by the computer technique described in the appendix that

$$C_U(v) = \{x_2(t_1^2)x_5(t_1^2)x_{34}(t_2)x_{12}(t_1)x_{56}(t_1)x_{23}(t_1)x_{45}(t_1) \times \\ x_{2345}(t_3)x_{123}(\zeta)x_{456}(\zeta)x_{123456}(t_4)x_{234}(t_5)x_{345}(t_5) \times \\ x_{1234}(t_6)x_{3456}(t_6)x_{12345}(t_7)x_{23456}(t_1 + t_7) : \zeta \in \mathbb{F}_2, t_i \in K\}.$$

Then $C_U(v)Q/Q = \{x_2(t)x_5(t) : t \in K\}Q/Q$, a 1-dimensional unipotent subgroup of P/Q . In addition $s_2 s_5 x_{011100}(1) \in C_P(t)$, which implies that $C_P(t)Q/Q$ contains $SL_2 = Sp_2$, as required. \blacksquare

Lemma 4.4 *Let $v \in SL_n \langle \tau \rangle < Sp(V)$ be such that $V \downarrow v = W(2m)^a$ for some m , and let $C = C_{SL_n}(v)$. Then*

$$C/R_u(C) = \begin{cases} Sp_{2a} & \text{if } m \text{ is odd,} \\ O_{2a} & \text{if } m \text{ is even.} \end{cases}$$

Proof We can regard $V \downarrow v$ as $V(2m) \otimes I_{2a} \leq Sp_{2m} \otimes Sp_{2a}$, acting on a pair of singular spaces of the form $V(2m) \otimes R$ and $V(2m) \otimes S$. In $Sp(V)$, the element v is obviously centralized by the factor Sp_{2a} , and this is the full reductive part of the centralizer, by [11, Lemma 6.7].

If m is odd, we easily obtain the result as follows. For then Lemma 4.1 implies that $u = v^2$ acts on V as $(J_m + J_m') \otimes I_{2a} = W(m) \otimes I_{2a}$, with v interchanging the two singular blocks J_m, J_m' . Replace the above SL_n by the $Sp(V)$ -conjugate which stabilizes each of the singular spaces $J_m \otimes I_{2a}, J_m' \otimes I_{2a}$. Then v normalizes this SL_n and since the $Sp(V)$ -class determines the SL_n -class, v has the same centralizer in each SL_n . As v clearly centralizes an Sp_{2a} subgroup in the second SL_n , this gives the assertion when m is odd.

Now suppose m is even. Here Lemma 4.1 shows that $u = v^2$ acts on V as $(J_m + J_m') \otimes I_{2a} = (V(m) + V(m')) \otimes I_{2a}$, where this time the blocks $V(m), V(m)'$ are non-degenerate and interchanged by v . Let V_{2a} be the natural module for the factor Sp_{2a} , and choose singular a -spaces R, S in V_{2a} such that $V_{2a} = R + S$. Define

$$W = (V(m) \otimes R) + (V(m)' \otimes S), \quad W' = (V(m) \otimes S) + (V(m)' \otimes R).$$

These are singular $2am$ -spaces in V interchanged by v . We take our group $SL_n\langle\tau\rangle = SL_n\langle v\rangle$ in the stabilizer in $Sp(V)$ of the pair $\{W, W'\}$. The centralizer $C_{SL_n}(v)$ clearly contains the subgroup $GL_{a.2}$ of Sp_{2a} which fixes or interchanges the pair R, S . Hence $GL_{a.2} \leq C/R_u(C) \leq Sp_{2a}$.

Next we claim that when $a = 2$ we have $C/R_u(C) \geq O_4$. So suppose $a = 2$ and $V \downarrow v = W(2m)^2$. Then $u = v^2$ is in the class of J_m^4 in $G = SL_n$. We now work with root groups in the root system of G of type A_{4m-1} , with fundamental roots $\alpha_1, \dots, \alpha_{4m-1}$. Take τ to be a standard graph automorphism of G . Write $u_{ijk\dots} = x_{\alpha_i+\alpha_j+\alpha_k+\dots}(1)$, and define

$$v' = \tau(u_{1234}u_{5678} \dots u_{4m-7,4m-6,4m-5,4m-4}) \times \\ (u_{2345}u_{6789} \dots u_{4m-6,4m-5,4m-4,4m-3}).$$

Then

$$(v')^2 = (u_{1234}u_{5678} \dots u_{4m-7,4m-6,4m-5,4m-4}) \times \\ (u_{2345}u_{6789} \dots u_{4m-6,4m-5,4m-4,4m-3}) \times \\ (u_{4m-4,4m-3,4m-2,4m-1} \dots u_{4567}) \times \\ (u_{4m-5,4m-4,4m-3,4m-2} \dots u_{3456}),$$

which is in the class $(A_{m-1})^4$ in SL_n , hence is conjugate to u . Since $W(2m)^2$ is the only $Sp(V)$ -class squaring to u , we may therefore take $v = v'$. One checks that v is centralized by the group

$$\langle x_1(t)x_3(t) \dots x_{4m-1}(t), s_1s_3s_5 \dots s_{m-1} : t \in K \rangle = A \cong A_1,$$

and also by the element

$$j = s_2s_6s_{10} \dots s_{4m-2}u_{2345}u_{6789} \dots u_{4m-6,4m-5,4m-4,4m-3}.$$

Moreover, A commutes with A^j , so $C_G(v)$ contains $\langle A, A^j \rangle \cong SO_4$. Since we already know that $C/R_u(C)$ contains $GL_{2.2}$, it follows that $C/R_u(C)$ contains O_4 , as claimed.

Now we return to the case where a is arbitrary, and argue that $C/R_u(C)$ contains O_{2a} . To see this, we can suppose that $a \geq 2$ (since if $a = 1$ then C contains $GL_{1.2} = O_2$). Write

$$V \downarrow v = W(2m)^2 + W(2m)^{a-2},$$

and let E_1, F_1 be the corresponding pair of singular $4m$ -spaces for the first factor, and E_2, F_2 those for the second. So we can take $G = SL_{2am}$ to fix the pair $Y = E_1 + E_2, Z = F_1 + F_2$ of singular spaces in V . By the above claim, $C/R_u(C)$ contains O_4 acting on E_1 and F_1 , and centralizing $E_2 + F_2$. It also contains $GL_{a.2}$ and is contained in Sp_{2a} . As the only proper overgroups of $GL_{a.2}$ in Sp_{2a} are O_{2a} and Sp_{2a} , it follows that $C/R_u(C)$ contains O_{2a} .

Finally, we argue that $C/R_u(C) = O_{2a}$. Suppose this is not the case. Then $C/R_u(C) = Sp_{2a}$. Let T be a torus of rank $a - 1$ in C centralizing a subgroup

Sp_2 of $C/R_u(C)$, and let X be a minimal preimage of this Sp_2 in $C_C(T)$ (i.e. $X = C_C(T)^{(\infty)}$). As above, let Y, Z be a pair of singular spaces in V fixed by $G = SL_{2am}$ and interchanged by v . Then $Y = C_Y(T) + [Y, T]$, and X acts on both these subspaces. In $[Y, T]$ there are $2a - 2$ weight spaces of T , each of dimension m , and $u = v^2$ acts on each as a single Jordan block. As X acts on each weight space, centralizing the action of u , it follows that X induces a unipotent group on each weight space, and hence that X acts trivially on $[Y, T]$. Now consider $C_Y(T)$. The fixed point space of T on V is $C_Y(T) + C_Y(T)^v$, a non-degenerate $4m$ -space on which v acts as $W(2m)$. Moreover $C_C(v)$ induces Sp_2 modulo its unipotent radical on this space. Hence we have reduced to the case where $a = 1$.

So assume that $a = 1$. Next, we further reduce to the case where $m = 2$. Regard $V \downarrow v$ as $V(2m) \otimes V_2$, with v trivial on V_2 . Here $v \in Sp(V(2m))$ acts on the first factor and is centralized by $Sp(V_2)$ acting on the second factor. First note that, given our supposition, C induces Sp_2 on each C -composition factor of V . This is because C covers $Sp(V_2)$ modulo $R_u(C_{Sp(V)}(v))$ and $Sp(V_2)$ acts homogeneously on V .

Let R, S be singular 1-spaces in V_2 as before. There is an involution $t \in Sp(V_2)$ interchanging R and S . Then $\tilde{v} = vt$ interchanges the two singular spaces $W = V(2m) \otimes R$ and $W' = V(2m) \otimes S$. Also t centralizes $Sp(V_{2m})$ and therefore t induces a standard graph automorphism of $G = GL(W)$. Also $(vt)^2 = v^2t^2 = u$, which induces J_m^2 on W . Hence we may replace v by $\tilde{v} = vt$, and take $G = GL(W)$ with $\tau = t$. Then our supposition implies that $\tilde{C} = C_C(\tilde{v})$ has reductive part Sp_2 which acts on each \tilde{C} -composition factor as a natural module.

Let $F = C_{V(2m)}(v)$, a 1-space. Then $C_V(v) = F \otimes V_2$. As t commutes with v , we see that \tilde{t} acts on $C_V(v)^\perp/C_V(v) = (F^\perp \otimes V_2)/(F \otimes V_2)$. As $\tilde{v}^2 = u$, the element which \tilde{v} induces on $V(2m-2) \otimes V_2$ is in the class $W(2m-2)$, and still has Sp_2 in the reductive part of its centralizer in $GL(V(2m-2) \otimes R)$. Repeating this argument, we end up with an element in the class $W(4)$ in Sp_8 , lying in $GL_{4,2}$, and such that its centralizer in GL_4 has reductive part Sp_2 . Thus we have now reduced to the case where $m = 2$.

Now observe that $SL_{4,2} \cong O_6$, and v is in the class $V(4) + W(1)$ of O_6 . Indeed this follows from [11, (4.4) and Theorem 4.2], since v is an outer unipotent element of order 4 centralizing a $T_1 < SL_4$. But then [11, Theorem 4.2] also shows that $C_{SO_6}(v)/R_u(C_{SO_6}(v)) \cong O_2$ rather than Sp_2 for this class. This final contradiction completes the proof. ■

Lemma 4.5 *Let $v \in SL_n \langle \tau \rangle < Sp(V)$ be such that $V \downarrow v = W(2m)^a + V(2m \pm 2)$ with m even, and let $C = C_{SL_n}(v)$. Then $C^\circ/R_u(C) \cong Sp_{2a}$.*

Proof We know by Lemma 4.4 that $C/R_u(C)$ contains O_{2a} , and it is contained in Sp_{2a} since this is the reductive part of the centralizer of v in $Sp(V)$ (see [11, Theorem 4.2]). Hence it is enough to show that $C/R_u(C)$ contains a long root group of Sp_{2a} , and for this it is sufficient to consider the case where $a = 1$. We

do this by induction on the dimension of V , the base cases $W(4) + V(2)$ and $W(4) + V(6)$ being handled by Lemma 4.3.

Assume first that $V \downarrow v = W(2m) + V(2m + 2)$ with $m > 2$. We take $G\langle\tau\rangle = SL(R)\langle v\rangle$, where R, R^v are maximal singular spaces in V interchanged by v , and $V = R + R^v$. Then $\dim R = 3m + 1$, and $u = v^2$ acts on R as $J_{m+1} + J_m^2$. We work with root groups in the root system of G of type A_{3m} , with fundamental roots $\alpha_1, \dots, \alpha_{3m}$. Taking τ to be a standard graph automorphism, and writing $u_{ijk\dots} = x_{\alpha_i + \alpha_j + \alpha_k + \dots}(1)$, define

$$v' = \tau(u_{123}u_{456} \dots u_{\frac{3}{2}m-2, \frac{3}{2}m-1, \frac{3}{2}m})(u_{234}u_{567} \dots u_{3m-4, 3m-3, 3m-2}).$$

One checks that

$$\begin{aligned} u' = (v')^2 &= (u_{234}u_{567} \dots u_{3m-4, 3m-3, 3m-2}) \times \\ &\quad (u_{345}u_{678} \dots u_{3m-3, 3m-2, 3m-1})^{-1} \times \\ &\quad (u_{123}u_{456} \dots u_{\frac{3}{2}m-2, \frac{3}{2}m-1, \frac{3}{2}m})^\tau \times \\ &\quad (u_{123}u_{456} \dots u_{\frac{3}{2}m-2, \frac{3}{2}m-1, \frac{3}{2}m}). \end{aligned}$$

Then $u' = (v')^2$ is in the class $A_m(A_{m-1})^2$ of G , hence is conjugate to u . So we may take $v = v'$ and $u = u'$. Let T be the 1-dimensional torus described in the discussion preceding Lemma 3.4 which acts with weight 2 on each root element e_{ijk} corresponding to a root group element u_{ijk} appearing in the expression for u . The corresponding labelled Dynkin diagram Δ has label 0 on the nodes $\alpha_2, \alpha_5, \alpha_8, \dots, \alpha_{3m-1}$ and 1 on the other nodes. Let $P = QL$ be the parabolic subgroup of G determined by Δ , such that $L = C_G(T)$ and Q is the product of all root groups for a maximal torus in L having positive T -weight. By Lemma 3.4, $C_G(u) < P$.

For $c \in K$, define

$$t(c) = x_{-2}(c)x_{-5}(c)x_{-8}(c) \dots x_{-(3m-1)}(c),$$

a root element in a diagonal A_1 subgroup of L . We shall see that this element can be adjusted to centralize v . Setting

$$r(c) = x_{34}(c)x_{67}(c) \dots x_{\frac{3m}{2}-3, \frac{3m}{2}-2}(c),$$

one checks that

$$v^{t(c)r(c)} = vx_{\frac{3m}{2}, \frac{3m}{2}+1}(c).$$

Then $v^{t(c)r(c)s(d)} = v$, where $s(d) = u_{\frac{3m}{2}}(d)u_{\frac{3m}{2}+1}(d)$ and $d^2 = c$. It follows that CQ/Q contains a root group of a diagonal A_1 of the Levi factor. Now $CQ/Q < C_G(u)Q/Q$ which is A_1T_1 by [11, Theorems 1 and 3.1]. Therefore CQ/Q contains $\langle O_2, t(c) : c \in K \rangle \cong Sp_2$, as required.

Now consider the case where $V \downarrow v = W(2m) + V(2m - 2)$ with $m > 2$. The argument is very similar to the above. Here $u = v^2$ acts on the singular space R as $J_{m-1} + J_m^2$. Working in the root system of G of type A_{3m-2} , we may take

$$v = \tau(u_{123}u_{456} \dots u_{3m-5, 3m-4, 3m-3})(u_{345}u_{678} \dots u_{\frac{3}{2}m-3, \frac{3}{2}m-2, \frac{3}{2}m-1}).$$

The 1-dimensional torus T has labelled Dynkin diagram Δ with label 0 on the nodes $\alpha_1, \alpha_4, \alpha_7, \dots, \alpha_{3m-2}$ and 1 on the other nodes. Write $P = QL$ for the parabolic subgroup determined by Δ as above. Define

$$t(c) = x_{-1}(c)x_{-4}(c)x_{-7}(c)\dots x_{-(3m-2)}(c)$$

and

$$r(c) = x_{23}(c)x_{56}(c)\dots x_{\frac{3m}{2}-4, \frac{3m}{2}-3}(c).$$

Then

$$v^{t(c)r(c)} = vx_{\frac{3m}{2}-1, \frac{3m}{2}}(c).$$

Finally, $v^{t(c)r(c)s(d)} = v$, where $s(d) = x_{\frac{3m}{2}-1}(d)x_{\frac{3m}{2}}(d)$ and $d^2 = c$. As before this yields the assertion, completing the proof. \blacksquare

Lemma 4.6 *Let $v \in SL_n(\tau) < Sp(V)$ be such that $V \downarrow v = W(2m)^a + \sum V(2k_i)^{c_i}$ with m even, $k_i \neq m \pm 1$ for all i , $k_1 > k_2 > \dots$, and $c_i \leq 2$ for each i . If $C = C_{SL_n}(v)$, then $C^\circ/R_u(C) \cong SO_{2a}$.*

Proof Lemma 4.4 implies that $C^\circ/R_u(C)$ contains SO_{2a} , and it is contained in Sp_{2a} since this is the reductive part of the centralizer of v in $Sp(V)$. Hence $C^\circ/R_u(C)$ is Sp_{2a} or SO_{2a} . We proceed by induction assuming V is a counterexample of minimal dimension. That is, suppose that $C^\circ/R_u(C) \cong Sp_{2a}$.

If $a > 1$, let $T_{a-1} \times T_1$ be a maximal torus of SO_{2a} (acting on $W(2m)^{2a}$) such that $T_{a-1} \leq SO_{2a-2}$ and $T_1 = SO_2$. Then $C_C(T_{a-1})$ acts on $C_V(T_{a-1}) = W(2m) + \sum V(2k_i)$. Also $C_C(T_{a-1})$ contains T_1 and has a quotient of type Sp_2 . So by restricting to $C_V(T_{a-1})$ it is enough to obtain a contradiction for the case $V \downarrow v = W(2m) + \sum V(2k_i)^{c_i}$ with $C^\circ/R_u(C) \cong Sp_2$.

In the course of the proof to follow we will produce certain sections A/B of V on which v and C act, and then contradict minimality. We note the reductive part of the action of C will still contain Sp_2 , provided the summand $W(2m)$ is not contained in B . This follows since a maximal torus of C acts without fixed points on $W(2m)$ (and acts trivially on all other summands).

Assume that $m > 2$. If J is a Jordan block for v of size greater than 2 in the above decomposition, then $[J, v]^{(2)} = [J, u]$ has codimension 2 and $C_J(u)$ has dimension 2. The quotient is a section of J of dimension 4 less than that of J . Now do this for all of V . Let $X = [V, v]^{(2)} = [V, u]$ and $Y = C_{[V, u]}(u)$. Then C acts on X/Y , a non-degenerate space such that $(X/Y) \downarrow v = W(2m-4) + \sum V(2k_i-4)^{c_i}$, where the sum is over those i for which $k_i > 1$. Moreover, C° contains a factor Sp_2 in its action on X , which contradicts the minimality of $\dim V$. Hence we reduce to the case $m = 2$, where by hypothesis, $k_i \neq 1, 3$. Therefore, we now have $V \downarrow v = W(4) + \sum V(2k_i)^{c_i}$, with $k_i \geq 5$ for each i .

If $k_1 > 5$, we can again use the inductive hypothesis to get a contradiction. Indeed, let $W = [V, v]^{(2k_1-2)}$. Then $W = C_{V(2k_1)^{c_1}}(u)$ is a sum of c_1 Jordan blocks J_2 for v in $V(2k_1)^{c_1}$. Now consider the action of v on W^\perp/W . There

is a complication if $k_1 - 2 = k_2$ and $c_1 + c_2 > 2$. If this does not occur, then we contradict the minimality of $\dim V$. So consider the exceptional case where $(W^\perp/W) \downarrow v = W(4) + W(2k_2) + V(2k_2)^{c_1+c_2-2} + \sum_{i>2} V(2k_i)^{c_i}$. The proof of Lemma 4.4 shows that the centralizer of the induced action of v contains subgroups $E = Sp_2$ and O_2 acting faithfully on $W(2k_2)$ and $W(4)$, respectively, and acting trivially on the remaining factors. As these groups commute, the reductive part of the centralizer of the induced action of v is $Sp_2 \times Sp_2$ with C° covering one of the factors and E covering the other. So if D is a maximal torus of E , then the centralizer of D acts on $[W^\perp/W, D]$ and has reductive part Sp_2 . Hence we obtain a smaller counterexample on a space where v acts as $W(4) + V(2k_2)^{c_1+c_2-2} + \sum_{i>2} V(2k_i)^{c_i}$.

The final case to consider is that where $V \downarrow v = W(4) + V(10)^a$. Here we set $S = [V, v]^{(5)}$, a maximal singular subspace of the summand $V(10)^a$. Then $(S^\perp/S) \downarrow v = W(4)$ and C acts such that the reductive part of the induced action is Sp_2 , contradicting Lemma 4.4. \blacksquare

4.3 Connected centralizers in Theorem 1.2

We now start work on the proof of Theorem 1.2 in general. Thus we continue to assume $G = SL_n(K)$ with $G\langle\tau\rangle < Sp(V)$; let $v \in G\tau$, and as in (1) write

$$V \downarrow v = \sum_{m_i \text{ odd}} W(2m_i)^{a_i} + \sum_{n_i \text{ even}} W(2n_i)^{b_i} + \sum_{k_i \text{ odd}} V(2k_i),$$

where the m_i and n_i are distinct, and the k_i are in non-increasing order and occur with multiplicity at most 2. Let $C = C_G(v)$ and $\bar{C} = C/R_u(C)$.

We now identify the connected reductive group \bar{C}° . This contains $\prod Sp_{2a_i} \times \prod SO_{2b_i}$ by Lemma 4.4, and is contained in $\prod Sp_{2a_i} \times \prod Sp_{2b_i}$ (since this is the connected reductive part of the centralizer of v in $Sp(V)$). So

$$\bar{C}^\circ = \prod Sp_{2a_i} \times \prod I_{2b_i},$$

where each I_{2b_i} is Sp_{2b_i} or SO_{2b_i} . Let $T^{(i)}$ be a maximal torus of the product of all factors of \bar{C}° apart from I_{2b_i} , and let $C_i = C_C(T^{(i)})$. Then $C_i^\circ/R_u(C_i) \cong I_{2b_i}$, and C_i acts on $C_V(T^{(i)})$, which is just the space $W(2n_i)^{b_i} + \sum V(2k_i)$. Hence by Lemmas 4.5 and 4.6, we have $I_{2b_i} = Sp_{2b_i}$ if there exists $k_j = n_i \pm 1$, and $I_{2b_i} = SO_{2b_i}$ otherwise. Hence \bar{C}° is as in the statement of Theorem 1.2(iii).

4.4 Component groups of centralizers in Theorem 1.2

Continuing with the notation of the previous subsection, to complete the proof of Theorem 1.2 it remains to find the component group C/C° of $C = C_G(v)$. This is given in [16, p.24]. However, there is a small error there, so we shall offer an independent proof. Let v and u be as in equations (1) and (2) of subsection 1.3 and let λ and ϵ be as described in the discussion prior to Lemma 4.2.

Let us first explain the assertions and the error in [16, p.24]. Relabel the partition of n given by (2) as $(\lambda_1, \lambda_2, \lambda_3, \dots)$ with $\lambda_1 \geq \lambda_2 \geq \dots$, so $E \downarrow u = \sum J_{\lambda_i}$. Then the component group C/C° is the abelian group generated by a set of involutions $\{a_i : \epsilon(\lambda_i) \neq 0\}$, subject to the following relations: $a_i a_j = 1$ if $\lambda_i = \lambda_j$, or if $\lambda_i = \lambda_j + 1$, or if λ_i is odd and $\lambda_i = \lambda_j + 2$; and also $a_i = 1$ if $\lambda_i = 1$. The error in [16] is that the last relation is omitted. One checks that this description of the component group agrees with the one given by Theorem 1.2.

We now present our proof that the component group C/C° is as asserted in Theorem 1.2. As before, regard $SL_n.2 = SL_n \langle \tau \rangle < Sp_{2n}$, where τ is the standard graph automorphism of $G = SL_n$ with respect to a fixed root system. Let V be the natural module for Sp_{2n} and write $V = E \oplus E^\tau$, where $G = SL_n$ acts on each of the totally singular summands. Recall that we have a non-degenerate bilinear form $(,)_v$ on E , where $(e_1, e_2)_v = (e_1, e_2^v)$.

Let $S < E$. Then S is a singular space under the form $(,)_v$ if and only if $S + S^v$ is singular in V . Let $S^{\perp v}$ denote the annihilator in E of S under $(,)_v$.

Let $v \in G\tau$ with $u = v^2$. Write $V \downarrow v$ and $E \downarrow u$ as in (1) and (2), respectively. We shall see in Lemma 4.9 to follow that the proof ultimately reduces to the case where $V \downarrow v$ is distinguished. That is,

$$V \downarrow v = \sum_{k_i \text{ odd}} V(2k_i)$$

and thus

$$E \downarrow u = \sum_{k_i \text{ odd}} J_{k_i},$$

where for each i the Jordan block J_{k_i} can be taken such that $J_{k_i} + J_{k_i}^v = V(2k_i)$. This follows from the proof of Lemma 4.1 and the classification of outer unipotent elements.

In this situation we choose a Levi subgroup L with respect to the given root system such that $L' = \prod A_{k_i-1}$. Let w_0 be the long word in the Weyl group of G , and for each i let $w_{i,0}$ be the long word in the Weyl group of A_{k_i-1} . Define

$$\gamma = \tau w_0 \prod w_{i,0}. \quad (6)$$

Then γ induces a standard graph automorphism on each A_{k_i-1} .

For $k_i > 1$, let A_{k_i-1} have fundamental system $\alpha_{i,1}, \dots, \alpha_{i,k_i-1}$, and let $x_i = u_{i,1} \dots u_{i, \frac{k_i-1}{2}}$, where $u_{i,j}$ is the root element $x_{i,j}(1)$. If $v' = \gamma x_1 x_2 \dots$, then $(v')^2 = u_1 u_2 \dots$, where each u_i is a regular unipotent element in A_{k_i-1} . It follows that v' is conjugate to v and so we can assume $v = v'$ and $u = u_1 u_2 \dots$ in the following. Notice that $\prod C_{A_{k_i-1}}(v) \leq C_G(v)$. Further, for each pair k_i, k_j , if we view $A_{k_i-1} A_{k_j-1} < A_{k_i+k_j-1}$, then $C_{A_{k_i+k_j-1}}(v)^\circ < C_G(v)^\circ$.

Lemma 4.7 *Assume $V \downarrow v = \sum_{k_i \text{ odd}} V(2k_i)$ and let notation be as above. If $k_i > 1$, then $C_{A_{k_i-1}}(v) = U_{\frac{k_i-1}{2}}.2$, with the component group generated by u_i .*

Proof Simplify notation by considering A_r for r even and $v = \tau u_1 \dots u_{\frac{r}{2}}$ squaring to a regular element $u \in A_r$. Then setting $u = v^2$, we see that $E \downarrow u = J$ is a Jordan block of size $r + 1$ and the dimension formula (3) gives $C_{A_r}(v)^\circ = U_{\frac{r}{2}}$. (This can be proved directly. Namely, $C_{A_r}(u)$ is equal to U_r , an abelian group. The map $\phi : U_r \rightarrow U_r$ sending $d \mapsto d^v = d^r$ has its image in its kernel which is $C_{U_r}(v)$. This implies that $\dim C_{A_r}(v) \geq \frac{r}{2}$. The rest of the argument below will give the equality.)

Proceed by induction. The base case $r = 2$ is an easy calculation, so assume $r > 2$. Let X_β be the root subgroup corresponding to the highest root $\beta = 11 \dots 11$. Then $X_\beta \leq C_G(v)$ and v acts on $P = N_G(X_\beta) = QL$, where $L' = A_{r-2}$, $Q' = X_\beta$, and $C_{Q/Q'}(v) = \langle x_{11\dots 110}(c)x_{011\dots 11}(c)Q' : c \in K \rangle$. However, $(x_{11\dots 110}(c)x_{011\dots 11}(c))^v = x_{11\dots 110}(c)x_{011\dots 11}(c)x_{111\dots 11}(c)$, so that $C_Q(v) = Q'$.

Now pass to P/Q where $uQ = (vQ)^2$ is a regular unipotent element in $LQ/Q \cong A_{r-2}$. Inductively, $C_{LQ/Q}(vQ) = U_{\frac{r-2}{2}}$, with uQ generating the component group. Then $C_G(v)Q \leq C_{P/Q}(vQ)$, so the assertion follows by induction and the previous paragraph. ■

Lemma 4.8 *Assume $V \downarrow v = \sum_{k_i \text{ odd}} V(2k_i)$ is distinguished. Then $C_G(v) = C_G(v)^\circ \langle u_i : k_i > 1 \rangle$ and $C_G(v)/C_G(v)^\circ$ is abelian of exponent at most 2.*

Proof The assertion follows from Lemma 4.7 if $V = V(2k_1)$ with $k_1 > 1$. And if $V = V(2)$, then $G = 1$ and again the assertion holds. So now assume there is more than one summand and set $W = J_{k_1}$, where $J_{k_1} + J_{k_1}^v = V(2k_1)$. That is, J_{k_1} is non-degenerate under $(\ , \)_v$. Let $D = C_{GL(E)}(u)$ and $M = W^D$. Then $M = S \cup N$, where N consists of the non-degenerate Jordan blocks of u on E and S consists of the blocks with nonzero radical. So N is open and dense in M . As D is connected, M is irreducible.

We claim that $C = C_G(v)$ is transitive on M . Suppose $J, J' \in M$ and write $E = J \perp X = J' \perp X'$. Then $R = J + J^v$ and $R' = J' + J'^v$ are non-degenerate in V of type $V(2k_1)$.

We next consider the action of v on R . To simplify notation for just this paragraph we will regard $V = R = V(2k_1)$. In the proof of Lemma 4.1 we gave a basis $\mathcal{B} = \{v_{2k_1}, \dots, v_1\}$ with corresponding inner products, and the precise action of v and corresponding action of u on a pair of maximal singular spaces interchanged by v . One singular space is the Jordan block of u generated by v_{2k_1} ; the other is its image under v . By [11, Theorem 4.2] the reductive part of $Z = C_{Sp(R)}(u)^\circ$ is a 1-dimensional torus. The 1-dimensional tori of Z are all conjugate and each determines a unique pair of totally singular spaces. One such torus determines the pair J, J^v . Therefore, conjugating by an element $g \in Z$, we can carry the above basis to the action of v^g on R with J, J^v being the singular spaces interchanged by v^g . Then there exists $k \in SL(J)$ such that $v = v^{gk}$. Therefore there is a basis \mathcal{B}^{gk} of R such that the action of u on J , the action of v on R and the form on R are precisely as in the proof of Lemma 4.1.

Returning to the general case, a corresponding basis exists for $R' = J' + J'^v$. Write $V = (J + J^v) \perp (X + X^v)$ and similarly $V = (J' + J'^v) \perp (X' + X'^v)$.

Decompose $X+X^v$ and $X'+X'^v$ and repeat the above argument. An application of Witt's theorem then yields an element $y \in C_{Sp(V)}(v)$ sending one basis to the next. In particular y sends J to J' and also $J+X$ to $J'+X'$, and hence $y \in GZ$, where Z is a torus inverted by v . As y centralizes v , we have $y \in C$ and the claim follows.

Now taking $W \in M$, the orbit W^{C° is open in its closure in M . The closure must be M , as otherwise M would be a union of finitely many such orbit closures. Hence W^{C° is open dense in M . There are only finitely many such orbits (since C is transitive on M and C/C° is finite), so each must be closed as well. But this also contradicts irreducibility, unless C° is transitive on M . Hence, C° is transitive on $M = W^C$ and we can write $C = C^\circ \text{Stab}_C(W)$. Writing $E = W \perp_v Y$ we have $\text{Stab}_C(W) = C_W \times C_Y$, where C_W is the centralizer of v in $SL(W)$ viewed as a subgroup of $Sp(W+W^v) = Sp(2k_1)$, and C_Y is the centralizer of v in $SL(Y) < Sp(Y+Y^v)$. Lemma 4.7 and induction now yield the result. \blacksquare

Lemma 4.9 *It suffices to establish Theorem 1.2 when v is distinguished in $Sp(V)$.*

Proof By Lemmas 4.4, 4.5, and 4.6

$$C/R_u(C) \geq \prod_{m_i \text{ odd}} Sp_{2a_i} \times \prod_{n_i \text{ even}} I_{2b_i}$$

where $I_{2b_i} = O_{2b_i}$ or Sp_{2b_i} .

Write $V = V_c + V_d$, where $V_c \downarrow v = \sum W(2m_i)^{a_i} + \sum W(2n_i)^{b_i}$ and $V_d = \sum V(2k_i)$. Let T_0 be a maximal torus of $C = C_G(v)$, so that T_0 projects to a maximal torus of $C^\circ/R_u(C)$ and a Frattini argument gives $C = C^\circ N_C(T_0)$. By Lemma 4.4 we can choose T_0 to act trivially on V_d . Then $V_d = [V, T_0]$ so that $N_C(T_0) = N_c \times N_d$, the induced actions on V_c and V_d respectively.

Moreover, $N_C(T_0)$ permutes the homogeneous components of the action of T_0 on V . Each such component is invariant under the action of v , so $N_C(T_0)$ permutes components on which v has Jordan blocks of a given size. It follows that $N_C(T_0)$ preserves the decomposition $V_c = \sum V_i$, where each summand is one of the $W(2m_i)^{a_i}$ or $W(2n_i)^{b_i}$ summands and T_0 is a corresponding product of subtori, each acting on a single summand (of dimension $4m_i a_i$ or $4n_i b_i$).

For each i , the group $N_C(T_0)$ acts on the maximal singular spaces $E_i = V_i \cap E$ and E_i^v , and we let N_i denote the restriction of N_d to the summand V_i . Then $N_C(T_0) = N_c \times N_d$ and $N_c = \prod N_i$, where $N_i = N_{C_{SL(E_i)}(v)}(T_0)$. Also, N_d centralizes T_0 , since T_0 acts trivially on V_d .

It follows from Lemma 4.4 that for each i we have $N_i/R_u(N_i) = T_i Z_2^{a_i} \text{Sym}_{a_i}$ or $T_i Z_2^{b_i} \text{Sym}_{b_i}$ as appropriate, and that $N_i/(N_i \cap C_{SL(E_i)}(v))^\circ$ has order at most 2. (It may happen that the order is 2 but $N_i < C^\circ$ due to Lemma 4.5.) Also Lemma 4.8 shows that N_d/N_d° is elementary abelian. Therefore C/C° is elementary abelian. Since $C^\circ/R_u(C)$ is a product of symplectic and special orthogonal groups, each normalized by $N_C(T_0)$, we have $C/R_u(C) = \prod_{m_i \text{ odd}} Sp_{2a_i} \times$

$\prod_{n_i \text{ even}} I_{2b_i} \times Z$, where Z is elementary abelian. A Frattini argument shows that Z is covered by the component group of $C_C(T_0)$. Now $C_C(T_0)$ acts on V_c and V_d so that $C_C(T_0) = C_c \times C_d = C_c \times N_d$. Also $C_C(T_0) \cap C^\circ = T_0 \times C_{R_u(C)}(T_0)$, which is connected. Moreover C_c is connected as it is contained in $R_u(N_d)T_0$. It follows that Z is isomorphic to the component group of N_d which thus lifts faithfully to the component group of C . The result follows. \blacksquare

In view of Lemma 4.9 we now assume $V \downarrow v$ to be distinguished. Therefore, $V \downarrow v = \sum_{k_i \text{ odd}} V(2k_i)$ and $E \downarrow u = \sum_{k_i \text{ odd}} J_{k_i}$, where $J_{k_i} + J_{k_i}^v = V(2k_i)$ for each i . Then $C_G(v) \leq C_{Sp(V)}(v)$ is a unipotent group.

We first settle a few small cases which will be needed in inductive arguments to follow.

Lemma 4.10 *Assume $(G, u) = (SL_4, J_3 + J_1)$, $(SL_6, J_5 + J_1)$ or $(SL_6, J_3 + J_3)$. Then $C_G(v)/C_G(v)^\circ \cong 1$, Z_2 or Z_2 , respectively. In the latter two cases the component group is generated by the image of u_1 , and in the last case $u \in C_G(v)^\circ$.*

Proof In the first case we can consider $SL_4\langle\tau\rangle$ as O_6 . Then v is an outer unipotent element of order 8. Using [11, (4.4) and Theorem 4.2], we see that the component group of $C_{O_6}(v)$ is Z_2 (generated by v), so $C_G(v)$ is connected. For the other two cases $G = SL_6$ and we will determine the centralizers explicitly.

In the notation given in the paragraphs preceding Lemma 3.4, the labelled Dynkin diagram Δ corresponding to the Jordan decomposition $J_5 + J_1$ is 22022; let P be the parabolic subgroup determined by Δ . Set $v = \tau u_3 u_2 u_1$, so that $u = v^2 = u_4 u_{34} u_5 u_2 u_1$. A direct check shows that u does have Jordan decomposition $J_5 + J_1$, so this forces $V \downarrow v$ to be distinguished of type $V(10) + V(2)$.

By Lemma 3.4, $C_G(v) \leq C_G(u) \leq P$. From the form of v it is immediate that $C_{P/Q}(v) < T_G X_{\alpha_3} Q$ and as $C_G(v)$ is unipotent, this forces $C_G(v) < U$. Using the computer program described in the appendix we find that $C_U(v)$ consists of all elements

$$x_1(\zeta)x_5(\zeta)x_2(\zeta)x_4(\zeta)x_{12}(t_1)x_{45}(t_1)x_{34}(\zeta)x_{123}(t_2)x_{345}(t_1 + t_2) \times \\ x_{234}(t_1 + t_2)x_{1234}(\zeta t_1 + t_1^2 + t_1 + t_2)x_{2345}(t_1^2)x_{12345}(t_3),$$

where ζ is in the prime field and t_1, t_2, t_3 range over K . Working modulo Q we have $C_{P/Q}(v) \leq T_G U_3$, where U_3 is a connected unipotent group of dimension 3. From this it is easy to see that $C_G(v) \leq Q$. From the expression above we see that $C_G(v)^\circ = U_3$ and this is the group obtained from taking $\zeta = 0$. Therefore, $C_G(v)/C_G(v)^\circ = Z_2$ as asserted.

For the last case the labelled Dynkin diagram Δ corresponding to the Jordan decomposition $J_3 + J_3$ is 02020; let $P = QL$ be the parabolic subgroup determined by Δ . Let $v = \tau u_{00100} u_{11000} u_{01100}$ so that $u = v^2 = u_3 u_{45} u_{34} u_3 u_{12} u_{23} = u_{45} u_{345} u_{34} u_{12} u_{23}$ lies in Q . Note that $x = u^{u_5} = (u_{34} u_{12})(u_{45} u_{23})$ which is clearly of type $J_3 + J_3$. However this does not by itself determine the class of

v , since both types $W(6)$ and $V(6)^2$ have square in the class of u . This will be settled shortly. Here we find that $C_U(v)$ consists of all elements

$$x_1(t_1)x_5(t_1)x_2(\zeta)x_4(\zeta)x_3(t_1)x_{12}(t_2)x_{45}(t_2)x_{23}(\zeta t_1 + \zeta + t_2)x_{34}(\zeta t_1 + t_2) \times \\ x_{123}(t_2^2 + t_2)x_{345}(t_2^2)x_{234}(t_3)x_{1234}(t_4)x_{2345}(\zeta t_2 + t_2 + t_4)x_{12345}(t_5),$$

where ζ is in the prime field and t_1, \dots, t_5 range over K . Therefore $C_Q(v)^\circ = U_4$. If v had type $W(6)$, then in view of the dimension information and Lemma 4.4 it would follow that $C_G(v)^\circ = U_4Sp_2$. Now $C_G(v) < C_G(u) \leq P$. Further $C_G(u)/C_Q(u) \cong SL_2$. With x as above, x is centralized by the untwisted diagonal $A_1 = \langle x_1(t)x_3(t)x_5(t), x_{-1}(t)x_{-3}(t)x_{-5}(t) : t \in K \rangle$ in the Levi factor, so that u is centralized by this group conjugated by u_5 . However, v does not centralize this group modulo Q . Therefore $V \downarrow v$ is indeed distinguished of type $V(6)^2$ and the dimension formula implies that $C_G(v)^\circ = U_5$.

Now $C_G(v) = C_P(v)$ normalizes $C_G(v)^\circ Q/Q = \langle x_1(c)x_3(c)x_5(c) : c \in K \rangle Q/Q$. As $C_G(v)$ is unipotent and normalizes $C_G(v)^\circ Q/Q$ it follows that $C_G(v) = C_U(v)$. Therefore, $C_G(v)$ has component group Z_2 , generated by $u_1 = u_{23}u_{45}$. Also $u \in C_G(v)^\circ$. ■

The next two results will be used in certain inductive arguments.

Lemma 4.11 *Assume that $S < C_E(u)$ is singular under $(\ , \)_v$. Set $\bar{E} = S^{\perp v}/S$ and $\bar{V} = (S + S^v)^\perp/(S + S^v)$. Then $S^{\perp v} = E \cap (S^v)^\perp$, and the following hold:*

- (i) *there is a natural embedding $\bar{E} < \bar{V}$ as a maximal totally singular subspace (under the induced symplectic form) such that $\bar{V} = \bar{E} + \bar{E}^v$;*
- (ii) *$P_S = \text{stab}_G(S) \cap \text{stab}_G(S^{\perp v})$ is a v -invariant parabolic subgroup of G which acts on each of \bar{V} , \bar{E} and \bar{E}^v ;*
- (iii) *there is a v -invariant factor of $P_S/R_u(P_S)$ which induces $SL(\bar{E})$ on each of \bar{E} and \bar{E}^v .*

Proof For $s \in S$ and $e \in E$,

$$(s, e)_v = (s, e^v) = (s^u, e^v) = (s^v, e) = (e, s^v),$$

so that $S^{\perp v} = E \cap (S^v)^\perp$. Therefore $S^{\perp v} = E \cap (S + S^v)^\perp$ and this yields an embedding $\bar{E} \leq \bar{V}$. Taking images under v we obtain (i).

From the previous paragraph, $\text{stab}_G(S^{\perp v}) = \text{stab}_G(E \cap (S^v)^\perp) = \text{stab}_G(S^v)$. Therefore, $\text{stab}_G(S) \cap \text{stab}_G(S^{\perp v}) = \text{stab}_G(S) \cap \text{stab}_G(S^v) = P_S$ is a v -invariant parabolic subgroup of G . Also P_S acts on \bar{V} and stabilizes \bar{E} and \bar{E}^v . Moreover, P_S' has a v -invariant Levi factor inducing $SL(\bar{E})$ on \bar{E} . Parts (ii) and (iii) now follow. ■

Lemma 4.12 *Assume $V \downarrow v = \sum_{k_i \text{ odd}} V(2k_i)$ is distinguished and $E \downarrow u = \sum_{k_i \text{ odd}} J_{k_i}$, as above. Let $Y \leq E$ be a sum of some of the blocks J_{k_i} with $k_i > 1$ and let $S = C_Y(u)$. Then S is singular in E with respect to $(\ , \)_v$, and with notation as in Lemma 4.11 the following hold:*

- (i) $\bar{E} \downarrow u = \sum_{l_i \text{ odd}} J_{l_i}$ (an orthogonal sum under $(\ , \)_v$), where $l_i = k_i - 2$ or k_i , according to whether or not $J_{k_i} \leq Y$;
- (ii) $\bar{V} \downarrow v = \sum V(2l_i)$ (an orthogonal sum, possibly not distinguished).

Proof The summands in Y have the form J_{k_i} for $k_i > 1$. It follows that for each i the fixed space of u on $J_{k_i} + J_{k_i}^v = V(2k_i)$ is singular, and as $Y + Y^v$ is an orthogonal sum of such spaces, $S + S^v = C_{Y+Y^v}(u)$ is singular in V , which means that S is singular in E with respect to $(\ , \)_v$. The result now follows from Lemma 4.11. Note that $\bar{V} \downarrow v$ is distinguished if and only there do not exist distinct i, j, k with $l_i = l_j = l_k$, which by (i) may or may not be the case. ■

Lemma 4.13 *Suppose k is odd, $E \downarrow u = J_k + J_k$ and $V \downarrow v = V(2k) + V(2k)$.*

- (i) *If $k = 1$, then $u \in C_G(v) = C_G(v)^\circ$, a 1-dimensional unipotent group.*
- (ii) *If $k \geq 3$, then $u \in C_G(v)^\circ$. Also, $C_G(v) = U_{2k-1}.2$.*

Proof (i) Here $G = SL_2$, so that v induces an inner automorphism of order 2 (as v is distinguished) and $C_G(v)$ is a 1-dimensional unipotent group. For (ii) consider $A_{k-1}A_{k-1} < A_{2k-1}$. With notation as in (6), we may write $v = \gamma x_1 x_2$, where $x_i = u_{i,1} \dots u_{i, \frac{k-1}{2}}$ for $i = 1, 2$. Then $v^2 = u_1 u_2$ where u_1, u_2 are regular unipotent elements in the corresponding A_{k-1} factors.

There is an element $s \in C_G(v)$ such that $u_{1,j}^s = u_{2,j}$ for each j . Then $u_1^s = u_2$ so that $[u_1, s] = u_1^{-1} u_2 \equiv u \pmod{C_G(v)^\circ}$ by Lemma 4.7. Now Lemma 4.8 implies that $u \in C_G(v)^\circ$.

The dimension of $C_G(v)$ is $2k - 1$, by the formulæ (3), (4) in subsection 1.3. Hence to complete the proof it suffices to show that $u_1 \notin C_G(v)^\circ$. We proceed by induction. Lemma 4.10 gives the assertion for $k = 3$. Assume $k > 3$ and consider the parabolic subgroup $P = \text{stab}_G(C_E(u)) \cap \text{stab}_G(C_E(u)^{\perp v})$. By Lemmas 4.11 and 4.12, $P = QL$ is v -invariant and $\bar{V} \downarrow v = V(2k-4) + V(2k-4)$. Also, $L' = A_1 A_{2k-5} A_1$ and $uQ \in A_{2k-5}$ has type $J_{k-2} + J_{k-2}$ on the natural module \bar{E} for A_{2k-5} . Inductively, $u_1 Q \notin C_{A_{2k-5}Q/Q}(v)^\circ$. On the other hand $C_G(v)^\circ Q/Q < C_{LQ/Q}(v)^\circ$, so this gives the assertion. ■

Lemma 4.14 *Suppose $E \downarrow u = J_{k+2} + J_k$ with k odd. Then*

- (i) $u \in C_G(v)^\circ$;
- (ii) *if $k > 1$ then $x_1 \notin C_G(v)^\circ$.*

Proof Proceed by induction. The base case $E \downarrow u = J_3 + J_1$ is settled in Lemma 4.10, so assume $k \geq 3$. Here $C_G(u) \leq \hat{P}$ where \hat{P} is determined by the labelled Dynkin diagram 2020...202 (see the discussion prior to Lemma 3.4). Then \hat{P} is τ -invariant and Lemma 3.5 shows that this parabolic subgroup is also invariant under the action of v . Letting $\hat{Q} = R_u(\hat{P})$ we see that $Z(\hat{Q}) = U_\alpha$,

a root subgroup. Then $C_G(U_\alpha) = P = QL$, a v -invariant parabolic subgroup containing \tilde{P} , with $L' = A_{2k-1}$. So $uQ = u_1u_2Q$ has type J_k^2 on the natural module for A_{2k-1} . Then $\dim C_{L'}(vQ) = 2k - 1$ whereas $\dim C_G(v) = 2k$.

Now Q/Q' has the structure of the sum of a natural module for L' and its dual, with the terms interchanged by v . Therefore the fixed space of u has dimension 4 and the fixed space for v has dimension 2. Denote the latter space by F/Q' .

We claim that $[v, F] = Q'$. To see this consider just the factor $A_{k+1}.2$ and the element τu_1 . Using a similar argument to that in the first paragraph we obtain a parabolic subgroup $\tilde{P} = \tilde{Q}\tilde{L}$ which is the normalizer of a root subgroup. Then $C_{\tilde{Q}/\tilde{Q}'}(v)$ consists of all elements of form $X(c) = x_{11\dots 110}(c)x_{011\dots 11}(c)$ for $c \in K$ (root elements relative to the A_{k+1} system). As in the proof of Lemma 4.7, $X(c)^v = X(c)x_{11\dots 11}(c)$. Since $\tilde{Q} < Q$, the claim follows.

By the claim, the map $f \mapsto [v, f]$ from F to Q' is surjective, so $C_F(v)$ has dimension 2. Moreover F/Q' is the direct product of the groups $\langle X(c) : c \in K \rangle Q'/Q'$ and $C_F(v)Q'/Q'$, so it follows that $C_F(v)$ is connected, whence $C_Q(v) = U_2$, a connected unipotent group of dimension 2.

Let $X/Q = C_G(v)^\circ Q/Q$ and $Y/Q = C_{L'Q/Q}(vQ)$. It follows that X/Q has codimension 1 in Y/Q and hence is normal. The proof of Lemma 4.13 shows that there is an element $sQ \in C_{L'Q/Q}(vQ)$ such that $[sQ, u_1Q] = uQ \in Y/Q$. As the component group of $C_{L'Q/Q}(vQ)$ is generated by x_1Q , we may take $sQ \in Y/Q$. But Y/X is a 1-dimensional unipotent group and hence is centralized by x_1Q . It follows that $uQ \in X/Q$ so that $u \in C_G(v)^\circ$, proving (i). Part (ii) now follows using induction and Lemma 4.13. \blacksquare

Lemma 4.15 *Suppose $E \downarrow u = J_{k_1} + J_{k_2}$ with $k_1 > k_2 + 2$. Then*

$$C_G(v)/C_G(v)^\circ \cong \begin{cases} Z_2 \times Z_2 & \text{if } k_2 > 1, \\ Z_2 & \text{if } k_2 = 1. \end{cases}$$

Proof Proceed by induction. If $k_2 > 1$, let

$$P = \text{stab}_G(C_E(u)) \cap \text{stab}_G(C_E(u)^{\perp v}),$$

which by Lemma 4.11 is a v -invariant parabolic subgroup. Then $P = QL$ where $L' = A_1A_{k_1+k_2-5}A_1$. Lemma 4.12 implies that uQ has type $J_{k_1-2} + J_{k_2-2}$ on the natural module \bar{E} for $A_{k_1+k_2-5}$, and $\bar{V} \downarrow v = V(2k_1 - 4) + V(2k_2 - 4)$. If $k_2 > 3$, then inductively the component group is $Z_2 \times Z_2$, so Lemma 4.8 implies that the same holds for C .

Suppose that $k_2 = 3$. Then induction shows that the component group modulo Q is Z_2 , generated by u_1Q , whereas u_2Q is trivial. In particular $u_1, u \notin C_G(v)^\circ$. Let $P_1 = \text{stab}_G(S) \cap \text{stab}_G(S^{\perp v})$ where S is the fixed space of u on J_{k_1} . Now consider the quotient $S^{\perp v}/S$ and repeat the process until one arrives at a Levi factor of type A_5 where the image of u has type $J_3 + J_3$. It follows from Lemma 4.13 that the image of u_2 is not contained in the connected centralizer

of the image of v . Hence $u_2 \notin C_G(v)^\circ$, which together with the above yields the assertion.

So now assume $k_2 = 1$. Here we must show the component group is Z_2 . Let P_1 be as in the second paragraph. We again obtain the result inductively, provided $k_1 > 5$; otherwise the hypothesis does not hold in the quotient space. So we are reduced to the case $E \downarrow u = J_5 + J_1$, where Lemma 4.10 gives the assertion. \blacksquare

We can now complete the analysis of the component group in the distinguished case, which, in view of Lemma 4.9, is all that is required.

Lemma 4.16 *Theorem 1.2 holds if $V \downarrow v = \sum_{k_i \text{ odd}} V(2k_i)$.*

Proof Here $E \downarrow u = \sum_{i=1}^r J_{k_i}$, where the k_i are odd and in non-increasing order. There is an equivalence relation generated by the condition that k_i and k_{i+1} are related (linked) if either $k_i = k_{i+1}$ or $k_i - k_{i+1} = 2$.

Let $C = C_G(v)$. Then it follows from Lemmas 4.8 and 4.7 that C/C° is generated by commuting elements $s_i = u_i C^\circ$ where $k_i > 1$. Lemmas 4.13 and 4.14 show that $s_i s_{i+1} = 1$ if k_i and k_{i+1} are linked. Also, if $k_r = 1$, then any k_i linked to 1 satisfies $u_i \in C^\circ$ by Lemmas 4.14 and 4.10.

If C_i is one of the equivalence classes (linkage classes), then $u_j u_m \in C^\circ$ if $k_j, k_m \in C_i$. So we must show that a product of terms u_j is in C° if and only if it has the form $c_1 c_2 \dots$, where each c_i is a product of an even number of terms u_j for $k_j \in C_i$, or any product of terms u_j if k_j is linked to $k_r = 1$.

We show by induction on $\dim V$ that there are no other relations. This will establish Theorem 1.2 for the case where $V \downarrow v$ is distinguished. Suppose $w = \prod u_{i_j} \in C^\circ$ with $i_1 > i_2 > \dots$ where w is an element of minimal length not of the above form. In particular none of the u_{i_j} has the corresponding k_{i_j} either equal to or linked to 1.

First assume $k_r > 1$. Let $R = C_E(u)$ and $P = \text{stab}_G(R) \cap \text{stab}_G(R^{\perp v})$. Then by Lemmas 4.11 and 4.12, $P = QL$ is a v -invariant parabolic subgroup such that $L' = A_r A_s A_r$, where $r = \dim R$, $s = \dim(R^{\perp v}/R)$ and $uQ \in A_s Q$ has type $\sum_{i=1}^r J_{k_i-2}$.

By induction we obtain a contradiction if there are at least two terms in the product and $k_{i_2} \geq 5$. For the exceptional cases first assume there are just two terms and $k_{i_2} = 3$. Then $wQ = u_{i_1} Q$ and by minimality $k_{i_1} - 2$ is linked to 1, so that k_{i_1} is linked to 3. That is k_{i_1} and k_{i_2} are linked, contrary to our hypothesis.

Now suppose $w = u_{k_i}$. Here induction gives a contradiction unless $k_i - 2$ is linked to 1, that is, k_i is linked to 3. Consequently, we may assume $k_i = k_r = 3$. Let $S = [E, u]^{(5)} \cap C_E(u)$ and let $P = \text{stab}_G(S) \cap \text{stab}_G(S^{\perp v})$. This time uQ has the form $\sum_{k_i > 7} J_{k_i-2} + J_5^c + J_3^d$ on \bar{E} , where d is the multiplicity of J_3 in $E \downarrow u$ and c is the sum of the multiplicities of J_7 and J_5 .

If $c \leq 2$ then v is distinguished on \bar{V} and induction gives a contradiction. If

$c > 2$, then

$$\begin{aligned} \bar{V} \downarrow v &= \sum_{k_i > 7} V(2k_i - 4) + V(10)^c + V(6)^d \\ &= W(10) + \sum_{k_i > 7} V(2k_i - 4) + V(10)^{c-2} + V(6)^d. \end{aligned}$$

The image of w comes from the $V(6)^d$ summand, within the distinguished part of the sum. The argument at the end of the proof of Lemma 4.9 shows that the component group of the distinguished part lifts faithfully to the full component group. Inductively, we again conclude that $u_{k_i}Q$ is not in the connected centralizer of v in P/Q .

Finally, we return to the previously excluded case $k_r = 1$. The above shows that w only involves terms u_{i_j} for $k_{i_j} \geq 5$. Let $S = [E, u] \cap C_E(u)$ and let $P = \text{stab}_G(S) \cap \text{stab}_G(S^{\perp v})$, as in Lemma 4.11. Then $\bar{V} = \sum_{k_i \geq 5} V(2k_i - 4) + V(2)^c$, where c is the sum of the multiplicities of $V(6)$ and $V(2)$ in the expression for $V \downarrow v$. If $c \leq 2$, then inductively wQ is not in the connected centralizer of v in P/Q , so $w \notin C^\circ$. And if $c > 2$, then $\bar{V} = W(2) + \sum_{k_i \geq 5} V(2k_i - 4) + V(2)^{c-2}$. But as w only involves terms u_{i_j} for $k_{i_j} \geq 5$, it follows that wQ only acts on $\sum_{k_i \geq 5} V(2k_i - 4)$. We obtain a contradiction as in the last paragraph. ■

4.5 Proof of Theorem 1.3

Recall that $G = SL_n(K)$. As in subsection 1.1, σ is a q -field morphism commuting with τ , and γ is either σ or $\sigma\tau$, with $G_\gamma = SL_n(q)$ or $SU_n(q)$ respectively. Again we regard $G\langle\tau\rangle$ as a subgroup of $Sp(V)$, where $V = V_{2n}(K) = E \oplus E^\tau$ and G acts on each of the maximal totally singular summands E and E^τ . Given $v \in G\tau$, equation (1) in subsection 1.3 states that

$$V \downarrow v = \sum_{m_i \text{ odd}} W(2m_i)^{a_i} + \sum_{n_i \text{ even}} W(2n_i)^{b_i} + \sum_{k_i \text{ odd}} V(2k_i).$$

We first claim that a representative for the G -class of v can be written over the prime field. To see this it will suffice to show that this holds for the individual summands $W(2m)$ (m even or odd) and $V(2k)$ (k odd).

The proof of Lemma 4.1 shows that $Sp_{2k}(2)$ contains an element v acting on the symplectic module as $V(2k)$, and for k odd this element interchanges two singular subspaces. This settles the $V(2k)$ case. Now consider the $W(2m)$ case. For m odd consider $Sp_{2m}(2) \times Sp_2(2)$ acting on $V_{2m} \otimes V_2$ and take v to be a regular element in the first factor. Then v^2 acts as $J_m + J_m'$ on the V_{2m} space, with v interchanging the blocks. Hence v interchanges the singular spaces $J_m \otimes V_2$ and $J_m' \otimes V_2$, giving the assertion. Finally, for m even, set $v = \tau u_1 u_2 \dots u_{m-1}$. Then v^2 has Jordan form J_m^2 on E . As an element of $Sp(4m)$ we could have $V \downarrow v = W(2m)$ or $V(2m)^2$. But since $v \in SL_{2m}\tau$ the latter is impossible, as is shown by the decomposition (1) repeated above. This

establishes the claim, from which it follows that γ stabilizes each orbit in the action of G on outer unipotent elements in $G\tau$.

Let $v \in SL_{2m}(2)\tau$ be as above and set $C = C_G(v)$. By the above we can choose σ and hence γ to normalize each of the factors $G_i = SL_{2a_i m_i}$, $SL_{2b_i n_i}$ and SL_{k_i} corresponding to the decomposition (1). Now consider the action of γ on $C_G(v)$. Using Lemmas 4.4 and 4.7 we see that γ acts on the appropriate classical group $C_{G_i}(v)/R_u(C_{G_i}(v))$, centralizing the component group. This implies that γ leaves invariant each of the factors Sp_{2a_i} and I_{2b_i} (even if a group O_{2b_i} pumps up to Sp_{2b_i}) of $C/R_u(C)$ and acts trivially on C/C° .

At this point we apply the usual Lang-Steinberg theory; we refer the reader to [10] for details. We find that $v^G \cap G_\gamma\tau$ splits into $2^{s+t+\delta}$ classes and these correspond to representatives cC° of C/C° . For such a representative consider the fixed points of γc (a G -conjugate of γ) on C . Setting $D = R_u(C) = U_d$, we see that $|D_{\gamma c}| = q^d$ and $C_{\gamma c}$ covers $(C/D)_{\gamma c}$. Moreover, γc acts on each of the factors Sp_{2a_i} or I_{2b_i} as a field or graph field morphism, with all 2^s possibilities for the fixed points occurring equally often. Theorem 1.3 follows. \blacksquare

5 Proof of Theorems 1.4 and 1.5

In this section we assume $(G, p) = (E_6, 2)$ or $(D_4, 3)$ (with G simply connected or adjoint in the latter case); we shall prove Theorems 1.4 and 1.5.

5.1 Possibilities for the p th power u

We first seek to determine the possible unipotent elements u that can arise as the p th power of an element $v \in G\tau$. At this stage we shall obtain a list of candidate elements; later we shall see which of these possibilities actually occur. For convenience, in this subsection we shall take G to be simply connected.

As mentioned in subsection 1.1, we let H be a simply connected group of type E_7 or F_4 according as $(G, p) = (E_6, 2)$ or $(D_4, 3)$; the assumption on the isogeny type of G means that we may regard $G\langle\tau\rangle$ as a subgroup of H . Indeed, in the former case, H has a Levi subgroup E_6T_1 with normalizer $(E_6T_1).2$, in which an outer involution induces a graph automorphism of E_6 and inverts T_1 ; in the latter case, the subgroup of H generated by all root subgroups corresponding to long roots is D_4 , with normalizer $D_4.S_3$.

Recall that for $X = G$ or H we denote by $V_X(\lambda_i)$ the restricted irreducible X -module with high weight equal to the i th fundamental weight. In particular, $V_{E_7}(\lambda_7)$ is the restricted 56-dimensional module for E_7 . For $p = 3$, we will denote by $W_{F_4}(\lambda_4)$ the 26-dimensional Weyl module for F_4 with high weight λ_4 , which has the 25-dimensional irreducible module $V_{F_4}(\lambda_4)$ as a quotient. As before we write J_i for a Jordan block of size i ; we consider the action of $v \in G\tau$ and $u = v^p \in G$ on certain modules for H or G .

Lemma 5.1 *With notation as above, assume $v^p = u$.*

- (i) If $(G, p) = (E_6, 2)$ and $V_{E_6}(\lambda_1) \downarrow u = J_{a_1} + \cdots + J_{a_t}$, then $V_{E_7}(\lambda_7) \downarrow v = J_{2a_1} + \cdots + J_{2a_t} + J_2$.
- (ii) If $(G, p) = (D_4, 3)$ and $V_{D_4}(\lambda_1) \downarrow u = J_{a_1} + \cdots + J_{a_t}$, then $W_{F_4}(\lambda_4) \downarrow v = J_{3a_1} + \cdots + J_{3a_t} + J_2$ or $J_{3a_1} + \cdots + J_{3a_t} + J_1^2$.

Proof (i) We have $V_{E_7}(\lambda_7) \downarrow E_6 = V_{E_6}(\lambda_1) \oplus V_{E_6}(\lambda_6) \oplus V_2$, where V_2 is a 2-dimensional space on which E_6 acts trivially. Under the action of the 1-dimensional torus T_1 mentioned above, the space V_2 decomposes as a sum of two weight spaces for distinct weights. Therefore τ interchanges the modules $V_{E_6}(\lambda_1)$ and $V_{E_6}(\lambda_6)$ and also the weight spaces of T_1 on V_2 .

If J is a Jordan block of u on $V_{E_6}(\lambda_1)$, then J^v is a Jordan block of u on $V_{E_6}(\lambda_6)$ and $J + J^v$ is invariant under v . Further the fixed space of v on $J + J^v$ is 1-dimensional, from which it follows that $J + J^v$ is a single Jordan block of v . Also, v acts on V_2 as a single Jordan block. The assertion follows.

(ii) Here $W_{F_4}(\lambda_4) \downarrow D_4 = V_{D_4}(\lambda_1) \oplus V_{D_4}(\lambda_3) \oplus V_{D_4}(\lambda_4) \oplus V_2$, where V_2 is a 2-dimensional space on which D_4 acts trivially. The above argument gives the assertion, noting the ambiguity for the action of v on V_2 . ■

In [9], the first author gives the Jordan structure of unipotent elements of E_6 on $V_{E_6}(\lambda_1)$, of E_7 on $V_{E_7}(\lambda_7)$, and of F_4 on $W_{F_4}(\lambda_4)$. Using this together with the known Jordan structure of unipotent elements of D_4 on $V_{D_4}(\lambda_1)$ described in the proof of Lemma 3.2(iii), we may employ Lemma 5.1 to obtain the list of possibilities for the H -class containing v and the G -class containing u . The notation is as in [11].

Lemma 5.2 *Tables 1 and 2 list the possibilities for the H -class of v and the G -class of $u = v^p$ which are consistent with the above information on Jordan block sizes.*

In the first column of Tables 1 and 2, for each possible G -class we give the corresponding labelled Dynkin diagram Δ ; recall from section 3 that Δ determines the parabolic subgroup $P = QL$ of G , where the simple roots of L are those with label 0 in Δ . Note that each such P is τ -stable; by Lemma 3.5 it follows that each possible v stabilizes the corresponding P .

We conclude this subsection by providing, for each possible G -class u^G , a precise expression for a representative u in the form $\prod u_{\beta_i}$.

Lemma 5.3 *For each of the G -classes u^G in the second columns of Tables 1 and 2, an explicit representative u is given in Tables 3 and 4.*

Proof In most cases it is clear that the product of unipotent elements given is in the correct class. For example, consider the expression for the elements of type $A_2A_1^2$ or A_4 in Table 3. Each of these has the form $u = u_\alpha u_\beta u_\gamma u_\delta$. The roots $\alpha, \beta, \gamma, \delta$ form a simple system for a root system of type $A_2A_1^2$ or A_4 , respectively. Then u projects to a regular element in the corresponding

Table 1: Possible classes u^G and v^H for $G = E_6$

Δ	u^G	v^H
$\begin{smallmatrix} 00000 \\ 0 \end{smallmatrix}$	1	$A_1^4, (A_1^3)''$
$\begin{smallmatrix} 00000 \\ 1 \end{smallmatrix}$	A_1	$A_2 A_1^3$
$\begin{smallmatrix} 10001 \\ 0 \end{smallmatrix}$	A_1^2	$A_3 A_1^2, (A_3 A_1)''$
$\begin{smallmatrix} 00000 \\ 2 \end{smallmatrix}$	A_2	$D_4 A_1$
$\begin{smallmatrix} 00100 \\ 0 \end{smallmatrix}$	A_1^3	$D_4(a_1) A_1, A_3 A_2 A_1, (A_3 A_2)_2$
$\begin{smallmatrix} 20002 \\ 0 \end{smallmatrix}$	A_2^2	$A_5'', D_6(a_2), A_5 A_1$
$\begin{smallmatrix} 20002 \\ 2 \end{smallmatrix}$	A_4	D_6
$\begin{smallmatrix} 01010 \\ 0 \end{smallmatrix}$	$A_2 A_1^2$	$D_5(a_1) A_1$
$\begin{smallmatrix} 00200 \\ 0 \end{smallmatrix}$	$D_4(a_1)$	$D_5 A_1$
$\begin{smallmatrix} 10101 \\ 0 \end{smallmatrix}$	$A_2^2 A_1$	$E_7(a_5)$
$\begin{smallmatrix} 20202 \\ 0 \end{smallmatrix}$	$E_6(a_3)$	$E_7(a_2)$
$\begin{smallmatrix} 22022 \\ 2 \end{smallmatrix}$	$E_6(a_1)$	E_7

Table 2: Possible classes u^G and v^H for $G = D_4$

Δ	u^G	v^H
$\begin{smallmatrix} 00 \\ 0 \end{smallmatrix}$	1	$\tilde{A}_2, \tilde{A}_2 A_1$
$\begin{smallmatrix} 10 \\ 1 \end{smallmatrix}$	A_1^3	$C_3, F_4(a_2)$
$\begin{smallmatrix} 20 \\ 2 \end{smallmatrix}$	$D_4(a_1)$	F_4

Table 3: **Class representatives u in $G = E_6$**

u^G	u
1	1
A_1	u_{12321} 2
A_1^2	$u_{12211} u_{11221}$ 1 1
A_2	$u_{01210} u_{11111}$ 1 1
A_1^3	$u_{11211} u_{12210} u_{01221}$ 1 1 1
A_2^2	$u_{00111} u_{01111} u_{11100} u_{11110}$ 1 0 1 0
A_4	$u_{00011} u_{00110} u_{11100} u_{01100}$ 0 1 0 1
$A_2 A_1^2$	$u_{01110} u_{11111} u_{11210} u_{01211}$ 0 1 1 1
$D_4(a_1)$	$u_{00100} u_{11111} u_{00100} u_{01110}$ 1 1 0 0
$A_2^2 A_1$	$u_{00111} u_{01111} u_{11100} u_{11110} u_{01210}$ 1 0 1 0 1
$E_6(a_3)$	$u_{11000} u_{00011} u_{01110} u_{10000} u_{00001} u_{00100}$ 0 0 0 0 0 1
$E_6(a_1)$	$u_{00001} u_{00100} u_{00110} u_{10000} u_{00000} u_{01000}$ 0 1 0 0 1 0

Table 4: **Class representatives u in $G = D_4$**

u^G	u
1	1
A_1^3	$u_{111} u_{110} u_{011}$ 0 1 1
$D_4(a_1)$	$u_{000} u_{001} u_{011} u_{100} u_{110}$ 1 0 0 0 0

subsystem subgroup. A similar analysis covers all cases other than $E_6(a_1)$, $E_6(a_3)$ and $D_4(a_1)$. For each of these cases let u be the element given.

Consider $E_6(a_1)$. The labelled Dynkin diagram is ${}^{220}{}_{2}{}^{22}$, so that $u \in Q = Q_{\geq 2}$. To see that u is in the correct class it suffices by parts (ii) and (iii) of Lemma 3.4 to show that $uQ_{>2}$ is in the dense orbit of L on $Q/Q_{>2}$. Now P is a distinguished parabolic subgroup, so $\dim L = \dim(Q/Q_{>2})$, and it will suffice to show that the stabilizer in L of $uQ_{>2}$ is finite. The results of [2] imply that L' acts on $Q/Q_{>2}$ as on the sum of 2 trivial modules and 3 natural modules. Consider the projections of $uQ_{>2}$ to the modules $\langle U_{01000} U_{01100} \rangle Q_{>2}$ and $\langle U_{00100} U_{00110} \rangle Q_{>2}$. The projections are, respectively, minimal and maximal vectors of these natural modules. As $C_L(uQ_{>2})$ must stabilize each of these projections we conclude that $C_L(uQ_{>2}) \leq T_G$, a maximal torus of L . But as the roots appearing in u and their negatives generate the full root system of G , we conclude that $C_L(uQ_{>2}) = 1$.

Similar but easier considerations apply to $D_4(a_1)$ in Table 3. Start with the subsystem subgroup of type D_4 with simple system ${}^{11111}{}_{0}{}^{00000}{}_{1}{}^{00100}{}_{0}{}^{01110}{}_{0}$. Then u is contained in the unipotent radical of the parabolic subgroup determined by the labelled Dynkin diagram ${}^{20}{}_{2}$, and as above we see that u is distinguished in this D_4 . Now D_4 has two conjugacy classes of distinguished unipotent elements, namely the regular elements and those acting on the usual orthogonal module as the sum of two orthogonal Jordan blocks of size 4. Clearly u is not a regular element since it lies in all Borel subgroups of the parabolic subgroup indicated. So u has type $D_4(a_1)$. The case of the class $D_4(a_1)$ in Table 4 is similar.

Now consider $E_6(a_3)$. As noted in the proof of Lemma 3.2(ii), elements of this type are represented in F_4 as unipotent elements of type $F_4(a_2)$. Also $u^\tau = u$, so $u \in F_4$. With $u = \prod u_{\beta_i}$, set $e = \sum e_{\beta_i}$. Then from the $F_4(a_2)$ nilpotent element of [11, Table 13.3] and the usual folding of the root system, we see that in the Lie algebra $L(F_4)$ the nilpotent element e is distinguished of type $F_4(a_2)$. Now [11, Lemma 19.7] shows that u is distinguished of type $F_4(a_2)$ in F_4 and hence is a distinguished unipotent element of type $E_6(a_3)$ in G . ■

5.2 The elements v_i

In this subsection we shall consider the elements v_i listed in Tables 7 and 8, and begin the process of showing that the information on each element presented there is correct. We continue to assume G is simply connected, so that $G\langle\tau\rangle < H$ where H is simply connected of type E_7 or F_4 .

We will require the following standard notation. Recall that in the root system Σ we have the simple system $\Pi = \{\alpha_1, \dots, \alpha_r\}$. For $j = 1, \dots, r$ and $c \in K^*$, let $h_j(c)$ denote the usual element of $\langle X_{\alpha_j}, X_{-\alpha_j} \rangle \cap T_G$ such that $x_{\alpha_j}(t)^{h_j(c)} = x_{\alpha_j}(c^2 t)$ for all $t \in K$. Explicit expressions for these elements are given in [3, Lemma 6.4.4], although adjustments must be made to account for

the fact that here we are acting on the right rather than the left.

It will also be convenient to use a certain abbreviated notation. As examples set

$$\begin{aligned} x_3(c) &= x_{01000}(c), \\ x_{245}(c) &= x_{00110}(c), \\ x_{3,5}(c) &= x_{01000}(c)x_{00010}(c), \\ x_{1,4,6}(c) &= x_{10000}(c)x_{00100}(c)x_{00001}(c), \end{aligned}$$

etc. Similarly, set

$$\begin{aligned} Y_2 &= \langle x_2(c), x_{-2}(c) \rangle, \\ Y_{2,3,5} &= \langle x_2(c)x_3(c)x_5(c), x_{-2}(c)x_{-3}(c)x_{-5}(c) \rangle, \\ Y_{13,56} &= \langle x_{13,56}(c), x_{-13,-56}(c) \rangle, \end{aligned}$$

etc.

Finally, we give τ explicitly as an element of H . For β a root of H we write s_β for the standard representative of the Weyl group reflection in β . According as $H = E_7$ or F_4 we take

$$\tau = s_{\frac{1}{1}122111} s_{\frac{1}{1}112211} s_{\frac{1}{1}012221} \quad \text{or} \quad \tau = s_{0001} s_{0010}.$$

In the former case this suffices to determine the action of τ on G . In the latter case, however, in order to distinguish τ from its inverse we must specify the correspondence between roots of G and of H . We take 10_0^0 , 01_0^0 , 00_0^1 and 00_1^0 to be 0100, 1000, 0120 and 0122 respectively; thus with τ as above we have

$$X_{10_0^0}^\tau = X_{00_1^0}, \quad X_{00_1^0}^\tau = X_{00_0^0}, \quad X_{00_0^0}^\tau = X_{10_0^0}.$$

Our first result here is then the following.

Lemma 5.4 *For each element v_i listed in the second column of Table 7 or 8, its p th power v_i^p is the element u listed in Table 3 or 4 for the corresponding G -class.*

Proof This is simply a direct check. ■

We next determine the H -class of each element v_i .

Lemma 5.5 (i) *For $G = E_6$ and $i = 1, \dots, 17$, the E_7 -class of the element v_i is as indicated in the third column of Table 7.*

(ii) *For $G = D_4$ and $i = 1, \dots, 5$, the F_4 -class of the element v_i is as indicated in the third column of Table 8.*

Proof (i) A computer calculation determines the Jordan forms of the elements v_i on both $V_{E_7}(\lambda_7)$ and $L(E_7)$. At this point, the results in [9] suffice to identify the class of v_i , with the exceptions of v_{10} and v_{11} . The Jordan form information shows that these particular elements must have type A_5A_1 or $D_6(a_2)$, but these classes are not distinguished by their Jordan form on either $V_{E_7}(\lambda_7)$ or $L(E_7)$. However, it follows that each of v_{10} and v_{11} is centralized by a 1-dimensional torus, say T_1 , of E_7 and is a distinguished unipotent element in the semisimple part of $C_{E_7}(T_1)$.

Now v_{11} is centralized by the 1-dimensional torus $S = \{h_4(c) : c \in K^*\}$. Then S lies in a fundamental A_1 subgroup, and it follows that $C_{E_7}(S) = D_6S$. Therefore S is conjugate to T_1 and v_{11} is distinguished in D_6 , and hence has type $D_6(a_2)$ by the above.

Next v_{10} is centralized by the 1-dimensional torus $S = \{h_2(c)h_3(c)h_5(c) : c \in K^*\}$. One checks that S centralizes the subsystem subgroup of type A_5A_1 , where the A_5 has simple system $\begin{smallmatrix} 111000 \\ 1 \end{smallmatrix}$, $\begin{smallmatrix} 011110 \\ 0 \end{smallmatrix}$, $\begin{smallmatrix} 000001 \\ 0 \end{smallmatrix}$, $\begin{smallmatrix} 001110 \\ 1 \end{smallmatrix}$, $\begin{smallmatrix} 111100 \\ 0 \end{smallmatrix}$ and the A_1 has simple system $\begin{smallmatrix} 012100 \\ 1 \end{smallmatrix}$. It follows that v_{10} has type A_5A_1 in E_7 .

(ii) As above, the result follows from calculating the Jordan forms of the elements v_i on both $L(F_4)$ and $W_{F_4}(\lambda_4)$ and applying the results of [9]. ■

5.3 The centralizers $C_G(v_i)$

By now the only entries in Tables 7 and 8 which we must establish are those in the final column, giving the centralizers $C_G(v_i)$. Recall that by the final paragraph of subsection 1.1 these are independent of the isogeny type of G ; we shall in fact assume G is adjoint in this subsection.

In the lemmas to follow we shall make frequent use of two pieces of information for a given element v_i with p th power u . Firstly, the structure of $C_P(u)/C_Q(u)$ is given in Table 22.1.3 or Table 8.5a of [11] according as $G = E_6$ or D_4 . Secondly, Tables 5 and 6 give for each v_i the structure of $C_Q(v_i)$ and $C_U(v_i)$ (recall that $U = \prod_{\beta \in \Sigma^+} X_\beta$, where Σ^+ is the positive system determined by Π). The information in these tables summarizes results obtained by performing computer calculations to identify the U -centralizers explicitly; these results are presented in more detailed form in the appendix. For convenience of reference, the first column of Tables 5 and 6 gives the labelled Dynkin diagram Δ , which determines the parabolic subgroup P , while the second column repeats the definition of the element v_i .

In the lemmas which follow, we treat together elements v_i having the same p th power. We begin with $G = E_6$.

Lemma 5.6 *If $G = E_6$ then $C_G(v_i)$ is as given in Table 7 for $i = 1, 2$.*

Proof This is well known: it is shown in [1, 19.9] that $C_G(v_1) \cong F_4$ and $C_G(v_2)$ is isomorphic to the centralizer in F_4 of a long root element. ■

Lemma 5.7 *If $G = E_6$ then $C_G(v_i)$ is as given in Table 7 for $i = 3$.*

Table 5: $C_Q(v_i)$ and $C_U(v_i)$ for $G = E_6$

Δ	v_i	$C_Q(v_i)$	$C_U(v_i)$
$\begin{smallmatrix} 00000 \\ 0 \end{smallmatrix}$	$v_1 = \tau$ $v_2 = \tau u_{12321}$	1 1	U_{24} U_{24}
$\begin{smallmatrix} 00000 \\ 1 \end{smallmatrix}$	$v_3 = \tau u_{01210} u_{11111}$	U_{14}	U_{20}
$\begin{smallmatrix} 10001 \\ 0 \end{smallmatrix}$	$v_4 = \tau u_{12211}$ $v_5 = \tau u_{01210} u_{12211}$ $v_6 = \tau u_{01100} u_{00110} u_{01210} u_{11111} u_{12211}$	U_{15} U_{11} U_{11}	U_{21} U_{20} U_{20}
$\begin{smallmatrix} 00000 \\ 2 \end{smallmatrix}$	$v_7 = \tau s_1 s_6 s_4 u_{11111}$	U_{10}	U_{12}
$\begin{smallmatrix} 00100 \\ 0 \end{smallmatrix}$	$v_8 = \tau u_{00100} u_{11111} u_{12210}$	U_{15}	U_{16}
$\begin{smallmatrix} 20002 \\ 0 \end{smallmatrix}$	$v_9 = \tau u_{11100} u_{11110}$ $v_{10} = \tau u_{11100} u_{11110} u_{01210}$ $v_{11} = \tau u_{01110} u_{01100} u_{00110} u_{11100} u_{11110}$	U_8 U_8 $U_{8.2}$	U_{14} U_{14} $U_{12.2}$
$\begin{smallmatrix} 20002 \\ 2 \end{smallmatrix}$	$v_{12} = \tau s_4 u_{11100} u_{01100}$ $v_{13} = \tau s_4 u_{11100} u_{01100} u_{01110}$	$U_{7.2}$ U_7	$U_{8.2}$ U_8
$\begin{smallmatrix} 00200 \\ 0 \end{smallmatrix}$	$v_{14} = \tau s_1 s_6 s_2 u_{00100} u_{01110}$	U_9	U_9
$\begin{smallmatrix} 10101 \\ 0 \end{smallmatrix}$	$v_{15} = \tau u_{11100} u_{11110} u_{01110} u_{00100}$	$U_{12.4}$	$U_{12.4}$
$\begin{smallmatrix} 20202 \\ 0 \end{smallmatrix}$	$v_{16} = \tau s_2 s_3 s_5 u_{10000} u_{00001} u_{00100}$	U_6	U_6
$\begin{smallmatrix} 22022 \\ 2 \end{smallmatrix}$	$v_{17} = \tau s_4 u_{10000} u_{00000} u_{01000}$	$U_{4.2}$	$U_{4.2}$

Table 6: $C_Q(v_i)$ and $C_U(v_i)$ for $G = D_4$

Δ	v_i	$C_Q(v_i)$	$C_U(v_i)$
$\begin{smallmatrix} 00 \\ 0 \end{smallmatrix}$	$v_1 = \tau$ $v_2 = \tau u_{12}^1$	1 1	U_6 U_6
$\begin{smallmatrix} 10 \\ 1 \end{smallmatrix}$	$v_3 = \tau u_{11}^1$ $v_4 = \tau u_{11}^1 u_{01}^0$	U_3 U_3	U_4 $U_{4.3}$
$\begin{smallmatrix} 20 \\ 2 \end{smallmatrix}$	$v_5 = \tau u_{10}^0 u_{01}^0$	U_2	U_2

Proof Since $v_3Q/Q = \tau Q/Q$, and $C_P(v_3) \leq C_P(u) = QA_5$ by [11, Table 22.1.3], it follows that $C_P(v_3)Q/Q \leq C_3Q/Q$ where the group C_3 consists of the fixed points of τ on the A_5 Levi subgroup. If we write $\delta_1, \delta_2, \delta_3$ for the simple roots of this C_3 , then for $c \in K$ we have $x_{\delta_1}(c) = x_1(c)x_6(c)$, $x_{\delta_2}(c) = x_3(c)x_5(c)$ and $x_{\delta_3}(c) = x_4(c)$. We now produce a subgroup G_2 in this C_3 .

Start with a group B_3 defined over K , with simple roots $\beta_1, \beta_2, \beta_3$ (numbered in the usual manner). By taking the fixed points of a triality automorphism of D_4 , we see that there is a group $G_2 < B_3$, with simple roots a (short) and b (long), generated by root elements $x_a(c) = x_{\beta_1}(c)x_{\beta_3}(c)$ and $x_b(c) = x_{\beta_2}(c)$ for $c \in K$ along with corresponding elements for negative roots. Since $p = 2$, we have a surjection $B_3 \rightarrow C_3$ with $x_{\beta_1}(c) \mapsto x_{\delta_1}(c)$, $x_{\beta_2}(c) \mapsto x_{\delta_2}(c)$, $x_{\beta_3}(c) \mapsto x_{\delta_3}(c^2)$. Thus we have $G_2 < C_3$ generated by elements $x_{\delta_1}(c)x_{\delta_3}(c^2) = x_1(c)x_6(c)x_4(c^2)$ and $x_{\delta_2}(c) = x_3(c)x_5(c)$ for $c \in K$ together with negatives.

Now by inspection of the detailed information given in the appendix for $C_U(v_3)$, we see that $C_P(v_3)$ covers the maximal unipotent subgroup of this G_2 . The Weyl group of the G_2 is generated by the involutions $s_1s_6s_4$ and s_3s_5 of A_5 . One checks that s_3s_5 and $s_1s_6s_4u_{01210}$ centralize v_3 , so it follows that $C_P(v_3)Q/Q$ contains a subgroup isomorphic to G_2 . Now G_2 is a maximal subgroup of C_3 , so if the containment were proper, we would have $C_P(v_3)Q/Q \cong C_3$, contrary to Table 5 which states that $C_Q(v_3) = U_{14}$ while $C_U(v_3) = U_{20}$. Therefore $C_P(v_3)Q/Q \cong G_2$, and thus $C_P(v_3) = U_{14}G_2$ as required. ■

Lemma 5.8 *If $G = E_6$ then $C_G(v_i)$ is as given in Table 7 for $i = 4, 5, 6$.*

Proof Here $Q_{\geq 2} = Z(Q)$ affords a natural orthogonal module for $L' = D_4$, with $u \in Q_{\geq 2}$ a non-singular vector. Then $C_P(u) < QB_3T_1$ by [11, Table 22.1.3], where $B_3 = C_{L'}(\tau)$ and $T_1 = \{h_1(c^2)h_3(c)h_5(c^{-1})h_6(c^{-2}) : c \in K^*\}$. We note that T_1 acts trivially on the orthogonal module and is inverted by τ .

First consider $v_4 = \tau u_{12211}$. Now B_3 contains a subgroup $O_6 = D_3.2 = \langle Y_4, Y_2, Y_{345} \rangle \langle s_3s_5 \rangle$, where the D_3 centralizes the non-degenerate 2-space of $Z(Q)$ spanned by the root elements u_{12211} and u_{11221} , while s_3s_5 interchanges the basis elements. Also, as $s_3s_5u_{12211}$ centralizes v_4 we have $C_P(v_3)/C_Q(v_3) \geq D_3.2$. As O_6 is a maximal subgroup of B_3 , Table 5 implies that this containment must be an equality, which yields the result for v_4 .

Now consider v_5 and v_6 . Modulo Q these elements have the form v_4x and v_4y , where x is a long root element of B_3 for the highest root, and y is the product of x and a short root element for the highest short root. Both x and y are central in the standard maximal unipotent subgroup, and it follows (see for example [11, Lemma 2.4]) that $C_{B_3}(x)$ and $C_{B_3}(y)$ contain derived groups of parabolic subgroups of B_3 . Checking fundamental reflections we see that $C_{B_3}(x) = U_7A_1A_1$ while $C_{B_3}(y) = U_8A_1$, where in the second case the A_1 corresponds to the fundamental short root of B_3 .

Now $C_P(v_i)Q/Q$ is contained in $C_{B_3}(x)Q/Q$ or $C_{B_3}(y)Q/Q$, respectively,

and the information on v_5 and v_6 in Table 5 shows that $C_P(v_i)Q/Q$ contains $\tilde{U}Q/Q$, where \tilde{U} is the standard maximal unipotent subgroup of B_3 . We see that $C_P(v_5)$ contains s_2 and $s_3s_5u_{12211}$, whereas $C_P(v_6)$ contains s_3s_5 . It follows that $C_P(v_5)Q/Q$ covers $C_{B_3}(x)$ while $C_P(v_6)Q/Q$ covers $C_{B_3}(y)$. These are the derived groups of the standard parabolic subgroups with Levi subgroups $Y_2 \times Y_{3,5}$ and $Y_{3,5}$, respectively. The result follows. ■

Lemma 5.9 *If $G = E_6$ then $C_G(v_i)$ is as given in Table 7 for $i = 7$.*

Proof Here $C_P(u)Q/Q = A_2A_2.2$ by [11, Table 22.1.3], where $A_2A_2 = \langle Y_3, Y_{456} \rangle \langle Y_5, Y_{134} \rangle$ with the factors interchanged by $s_1s_6s_4$. The factors are also interchanged by τ , so that $v_7Q = \tau s_1s_6s_4Q$ acts as a graph automorphism on each A_2 factor of A_2A_2Q/Q . It follows that $C_P(v_7)Q/Q \leq A_1A_1.2$. Moreover, $Y_{3456} \times Y_{1345} \cong A_1A_1$ centralizes v_7 , as does $s_1s_6s_4u_{012210}$. Therefore, $C_P(v_7)Q/Q \cong A_1A_1.2$ and the conclusion follows using Table 5. ■

Lemma 5.10 *If $G = E_6$ then $C_G(v_i)$ is as given in Table 7 for $i = 8$.*

Proof Here $C_P(u)Q/Q = A_2A_1Q$ by [11, Table 22.1.3] where $A_1 = Y_2$ and $A_2 = \langle Y_{3,6}, Y_{1,5} \rangle$. Now $v_8Q = \tau Q$ induces a graph automorphism on the A_2 factor, so that $C_P(v_8)Q/Q \leq A_1A_1$, where $A_1A_1 = Y_2 \times Y_{13,56}$. The detailed information in the appendix shows that $C_P(v_8)Q/Q$ contains the elements $x_{13}(c)x_{56}(c)x_2(c^2)Q$ for $c \in K$. Also, v_8 is centralized by $s_1^{s_3}s_6^{s_5}s_2u_{00100}u_{012210}$. Therefore, $C_P(v_8)Q/Q$ contains a diagonal A_1 in A_1A_1 . By Table 5 we must then have $C_P(v_8)Q/Q = A_1$, and the result follows. ■

Lemma 5.11 *If $G = E_6$ then $C_G(v_i)$ is as given in Table 7 for $i = 9, 10, 11$.*

Proof Here $u = u_{001111}u_{011111}u_{111100}u_{111110}$. We have $C_P(u)Q/Q = G_2$ by [11, Table 22.1.3], and indeed $G_2 = \langle Y_4, Y_{2,3,5} \rangle$ centralizes u . As this group also centralizes v_9 , we obtain $C_P(v_9) = U_8G_2$ from Table 5.

Now consider v_{10} and v_{11} . These elements have the form v_9x and v_9y , where x is a long root element of G_2 for the highest root, and y is a short root element for the highest short root. It follows that $C_P(v_i)Q/Q$ is contained in $C_{G_2}(v_i)Q/Q = U_5A_1$ or U_3A_1 , respectively. Here the A_1 factor is just $Y_{2,3,5}$ or Y_4 according as $i = 10$ or 11 . Using the information in the appendix for these elements we see that $C_P(v_i)Q/Q = U_6$ or U_4 respectively. One checks that $C_P(v_{10})$ contains $s_2s_3s_5$ while $C_P(v_{11})$ contains s_4 . It follows that $C_P(v_i)Q/Q = U_5A_1$ or U_3A_1 respectively.

Another appeal to Table 5 shows that $C_Q(v_{10}) = U_8$, whereas $C_Q(v_{11}) = U_8.2$. This completes the analysis of $C_G(v_{10}) = C_P(v_{10})$, but for v_{11} we must verify that $C_P(v_{11}) = U_{11}A_1.2$. That is, we must verify that the component group of the centralizer is non-trivial. From the description of $C_U(v_{11})$ it is

clear that this group is disconnected with component group of order 2. Also the element s_4 centralizes this component group. It follows that $C_P(v_{11}) = U_{11}A_{1,2}$, completing the proof. \blacksquare

Lemma 5.12 *If $G = E_6$ then $C_G(v_i)$ is as given in Table 7 for $i = 12, 13$.*

Proof Here we have $u = u_{00011} u_{00110} u_{11100} u_{01100}$, $v_{12} = \tau s_4 u_{11100} u_{01100}$, and $v_{13} = v_{12} u_{01110}$. Further $C_P(u)Q/Q = A_1 T_1$ by [11, Table 22.1.3], where $A_1 = Y_{345}$ and $T_1 = \{h_1(c^{-2})h_3(c^{-1})h_4(c^3)h_5(c)h_6(c^2) : c \in K^*\}$. Note that τs_4 inverts T_1 .

Now $v_i Q = \tau s_4 Q$ or $\tau s_4 u_{01110} Q$, according as $i = 12$ or $i = 13$. As τs_4 centralizes A_1 , we have $C_P(v_{12})Q/Q \leq A_1 Q/Q$ and $C_P(v_{13})Q/Q \leq X_{01110} Q/Q$. By inspection we have $A_1 \leq C_P(v_{12})$ and $X_{01110} \leq C_P(v_{13})$. Therefore, the containments are equalities.

The result now follows from Table 5, except that we must determine the component group of $C_P(v_{12})$. However, we have seen that this group is the semidirect product of $C_Q(v_{12})$ and A_1 , and this implies that the component group of $C_P(v_{12})$ is just that of $C_U(v_{12})$, which has order 2. \blacksquare

Lemma 5.13 *If $G = E_6$ then $C_G(v_i)$ is as given in Table 7 for $i = 14$.*

Proof Here $u = u_{00100} u_{11111} u_{00100} u_{01110}$, $v_{14} = \tau s_1 s_6 s_2 u_{00100} u_{01110}$, and $C_P(u)Q/Q = T_2.S_3$ by [11, Table 22.1.3]. In this instance we have $T_2 = \{h_1(a)h_3(b)h_5(b^{-1})h_6(a^{-1}) : a, b \in K^*\}$; this is inverted by τ and $C_{T_2}(\tau s_1 s_6 s_2)$ is the 1-dimensional torus $T_1 = \{h_1(c)h_6(c^{-1}) : c \in K^*\}$. Also, τ centralizes u and [11, Table 22.1.4] implies that τ centralizes the S_3 quotient of $C_P(u)Q/Q$. It follows that $C_{P/Q}(v_{14}Q) = \langle s_1 s_6 s_2 \rangle T_1 Q/Q$. One checks that T_1 centralizes v_{14} . Also τ centralizes v_{14} , and therefore so does $\tau v_{14} \in s_1 s_6 s_2 Q$. Thus $C_P(v_{14}Q)/Q = \langle s_1 s_6 s_2 \rangle T_1 Q/Q$, and the result follows from Table 5. \blacksquare

Lemma 5.14 *If $G = E_6$ then $C_G(v_i)$ is as given in Table 7 for $i = 15$.*

Proof Here u has type $A_2^2 A_1$ and $C_P(u)/C_Q(u) = A_1$ by [11, Table 22.1.3]. Consider the group $A = Y_{2,3,5}$, which is of type A_1 . Write $h(c) = h_2(c)h_3(c)h_5(c)$ for $c \in K^*$, and set $T_1 = \{h(c) : c \in K^*\}$; then T_1 is a 1-dimensional torus of A . Take $\omega \in K^*$ with $\omega^3 = 1 \neq \omega$. One checks that $h(\omega)$ and $s = s_2 s_3 s_5 u_{00100}$ centralize v_{15} . Modulo Q these elements generate a group of type S_3 . Therefore, $C_P(v_{15})Q/Q$ contains S_3 .

We claim that $C_P(v_{15})Q/Q = S_3$. To see this view A as a short root A_1 in the group $G_2 < D_4$, where the D_4 has simple system $\begin{smallmatrix} 01000 \\ 0 \end{smallmatrix}, \begin{smallmatrix} 00100 \\ 0 \end{smallmatrix}, \begin{smallmatrix} 00000 \\ 1 \end{smallmatrix}, \begin{smallmatrix} 00010 \\ 0 \end{smallmatrix}$ and G_2 is the group of fixed points under the standard triality automorphism. Consider the standard parabolic subgroup $\tilde{P} = \tilde{Q}\tilde{L} > P$, where \tilde{L}' is this group

D_4 . Then $v_{15}\tilde{Q}/\tilde{Q} = \tau u_{01110} u_{00100} \tilde{Q}/\tilde{Q}$ and we view this as contained in $\langle \tau \rangle \times G_2$. Now $u_{01110} u_{00100} \tilde{Q}/\tilde{Q}$ is a unipotent element of type A_2 in D_4 , hence of type $G_2(a_1)$ in G_2 . It follows from [11, Table 22.1.5] that the reductive part of the centralizer for this unipotent element is S_3 . This establishes the claim.

The appendix contains a precise description of the elements in $C_U(v_{15})$. We conclude from this information that the component group of $C_Q(v_{15})$ is isomorphic to \mathbb{F}_4 and that $h(\omega)$ acts non-trivially on this component group. Moreover, we see that $x_{2345}(c)x_4(c) \in C_Q(v_{15})$ for all $c \in K$, which implies that $s^2 = u_{01110} u_{00100} \in C_Q(v_{15})^\circ$. It now follows that the component group of $C_P(v_{15})$ is isomorphic to S_4 , and so from Table 5 we have $C_P(v_{15}) = U_{12}.S_4$ as required. ■

Lemma 5.15 *If $G = E_6$ then $C_G(v_i)$ is as given in Table 7 for $i = 16$.*

Proof Here u is a distinguished unipotent element of G and $C_P(u)Q/Q = Z_2$ by [11, Table 22.1.3]. As τ centralizes v_{16} , so does $\tau v_{16} \in s_2 s_3 s_5 Q$. It follows that $C_P(v_{16})Q/Q = Z_2$, so Table 5 gives $C_P(v_{16}) = U_{6.2}$ as required. ■

Lemma 5.16 *If $G = E_6$ then $C_G(v_i)$ is as given in Table 7 for $i = 17$.*

Proof Here u is a distinguished unipotent element of G and $C_P(u)Q/Q = 1$ by [11, Table 22.1.3]; thus Table 5 gives $C_P(v_{17}) = C_Q(v_{17}) = U_{4.2}$ as required. ■

Finally we turn to $G = D_4$.

Lemma 5.17 *If $G = D_4$ then $C_G(v_i)$ is as given in Table 8 for $i = 1, 2$.*

Proof This is well known: [7, Proposition 4.9.2] shows that $C_G(v_1) = G_2$ and $C_G(v_2)$ is the centralizer of a long root element in G_2 . ■

Lemma 5.18 *If $G = D_4$ then $C_G(v_i)$ is as given in Table 8 for $i = 3, 4$.*

Proof Here $u = u_{111} u_{110} u_{011}$ has type A_1^3 , and $C_P(u)/C_Q(u) = A_1$ by [11, Table 8.5a], where $A_1 = Y_2$. Table 6 shows that $C_U(v_3) = U_4$ and $C_U(v_4) = U_{4.3}$. Since Y_2 centralizes v_3 , we have $C_P(v_3) = U_3 A_1$ as required. Now consider v_4 . Since $v_4 Q = \tau u_{010} Q$, we have $C_{P/Q}(v_4 Q) = \langle h_2(-1) \rangle X_{010} Q$. We then see from the precise information on centralizers in the appendix that $C_P(v_4) = U_4.S_3$ as required. ■

Lemma 5.19 *If $G = D_4$ then $C_G(v_i)$ is as given in Table 8 for $i = 5$.*

Proof Here u is distinguished in G with $C_P(u) = C_Q(u)$ by [11, Table 8.5a]; so Table 6 shows that $C_P(v_5) = U_2$ as required. ■

5.4 Completion of proofs

We can now complete the proof of Theorems 1.4 and 1.5. We have shown that the information in Tables 7 and 8 is correct. Since the entries in the fourth columns of these tables are all different, it is clear that the elements v_i represent distinct conjugacy classes in $G\langle\tau\rangle$. What remains is to show that the v_i form a complete set of conjugacy class representatives for the outer unipotent classes in $G\tau$, and to verify that the information provided in Tables 9 and 10 is correct. An elementary calculation gives an alternative proof of Theorem 1.1 for these cases. In this subsection we shall continue to assume G is adjoint.

Recall that σ is the q -field morphism of G satisfying $x_\beta(c)^\sigma = x_\beta(c^q)$ for all $\beta \in \Sigma$ and $c \in K$, and γ is either σ or $\sigma\tau$. From the expressions for the v_i in Tables 7 and 8 we see that σ stabilizes each v_i and hence the corresponding orbit $O_i = v_i^G$. As $v_i = g\tau$ for some $g \in G$, we have $O_i = O_i^{v_i} = O_i^\tau$, and so τ also stabilizes O_i for each i . Therefore γ stabilizes each O_i .

We now apply the usual Lang-Steinberg method to $\Omega = O_1 \cup \dots \cup O_n$, where we set n to be 17 or 5 according as $G = E_6$ or D_4 . For each $i \leq n$, we find that γ fixes an element j_i in O_i , so that $j_i \in G_\gamma\tau$; moreover, $O_i \cap G_\gamma\tau$ is a union of G_γ -orbits, and the number of orbits and their sizes are determined by the action of γ on the component group of $C_G(j_i) \cong C_G(v_i)$. We see from Tables 7 and 8 that this component group is either 1, Z_2 , S_3 or S_4 ; correspondingly, $O_i \cap G_\gamma\tau$ is a union of 1, 2, 3 or 5 orbits.

The number of G_γ -orbits in Ω_γ which this yields is 28 or 7 according as $G = E_6$ or D_4 ; that is, we have 28 or 7 classes of p -elements in $G_\gamma\tau$. Therefore Lemma 3.3(i) implies that Ω_γ is the complete set of outer unipotent elements in $G_\gamma\tau$. And as this holds for all q , we argue as in the proof of Lemma 3.3(ii) that this forces Ω to be the complete set of outer unipotent elements in $G\tau$.

Finally, we must verify that the information in the third column of Tables 9 and 10 is correct. This procedure is just the usual Lang-Steinberg approach. Fix $i \leq n$ and consider $C_i = C_G(j_i)$. If the unipotent radical of this centralizer is U_d , then the group of fixed points has order q^d . Moreover, fixed points of γ on $R_i = C_i/R_u(C_i)$ are covered by actual fixed points. We must determine the possible actions of $c\gamma$ on R_i , where $c \in C_i/C_i^\circ$ and $c\gamma$ is a representative of a conjugacy class in $(C_i/C_i^\circ)\gamma$.

A glance at Tables 7 and 8 shows that the only ambiguity occurs for $G = E_6$ and $i = 4, 5, 7$ or 14 , where $R_i = D_3.2, A_1A_1, A_1A_1.2$ or $T_1.2$ respectively. Lemmas 5.8, 5.9 and 5.13 show that in the first, third and fourth of these cases, the extra involution induces the full group of outer automorphisms on the connected component of R_i . Consequently two isomorphism types of fixed points are as indicated in Table 9. In the remaining case R_i is connected and the issue is whether or not γ interchanges the A_1 factors of R_i . However, if γ interchanged these factors, then it would also interchange the classes of 1-dimensional tori in their preimages. But we see from the argument in Lemma 5.8 that these tori are conjugate to the maximal tori of Y_2 and $Y_{3,5}$, so this is impossible.

6 Tables

This section contains a number of tables illustrating our results. Tables 7–10, referred to in Theorems 1.4 and 1.5, cover the cases $G = E_6$ and D_4 . Tables 7 and 8 give precise information on outer unipotent elements in $G\tau$, while Tables 9 and 10 give corresponding information for the finite groups.

Tables 11–16 cover the cases $G = A_l$ for $2 \leq l \leq 7$; as elsewhere in this paper we write $n = l + 1$, and take G to be simply connected so that $G = SL_n(K)$. In each of these tables, for each unipotent element $v \in G\tau$ the second column gives the decomposition $V \downarrow v$ as in (1), where $V = V_{2n}(K)$ is the corresponding symplectic module, and the first column gives the Jordan form of $u = v^2$ on $V_n(K)$. The third column then gives the dimension of $C = C_G(v)$, the fourth gives the reductive part $C/R_u(C)$ of C , and the last gives the values of the ϵ -function of [16] on the sizes of the Jordan blocks (see the preamble to Lemma 4.2).

Table 7: Unipotent classes in $G\tau = E_6\tau$

$(v_i^2)^G$	v_i	$v_i^{E_7}$	$C_G(v_i)$
1	$v_1 = \tau$	$(A_1^3)''$	F_4
	$v_2 = \tau u_{12321}_2$	A_1^4	$U_{15}C_3$
A_1	$v_3 = \tau u_{01210}_1 u_{11111}_1$	$A_2 A_1^3$	$U_{14}G_2$
A_1^2	$v_4 = \tau u_{12211}_1$	$(A_3 A_1)''$	$U_{15}D_{3.2}$
	$v_5 = \tau u_{01210}_1 u_{12211}_1$	$A_3 A_1^2$	$U_{18}A_1 A_1$
	$v_6 = \tau u_{01100}_1 u_{00110}_1 u_{01210}_1 u_{11111}_1 u_{12211}_1$	$A_3 A_1^2$	$U_{19}A_1$
A_2	$v_7 = \tau s_1 s_6 s_4 u_{11111}_1$	$D_4 A_1$	$U_{10}A_1 A_1.2$
A_1^3	$v_8 = \tau u_{00100}_1 u_{11111}_0 u_{12210}_1$	$A_3 A_2 A_1$	$U_{15}A_1$
A_2^2	$v_9 = \tau u_{11100}_1 u_{11110}_0$	$(A_5)''$	$U_8 G_2$
	$v_{10} = \tau u_{11100}_1 u_{11110}_0 u_{01210}_1$	$A_5 A_1$	$U_{13}A_1$
	$v_{11} = \tau u_{01110}_0 u_{01100}_1 u_{00110}_1 u_{11100}_1 u_{11110}_0$	$D_6(a_2)$	$U_{11}A_1.2$
A_4	$v_{12} = \tau s_4 u_{11100}_0 u_{01100}_1$	D_6	$U_7 A_1.2$
	$v_{13} = \tau s_4 u_{11100}_0 u_{01100}_1 u_{01110}_0$	D_6	U_8
$D_4(a_1)$	$v_{14} = \tau s_1 s_6 s_2 u_{00100}_0 u_{01110}_0$	$D_5 A_1$	$U_9 T_1.2$
$A_2^2 A_1$	$v_{15} = \tau u_{11100}_1 u_{11110}_0 u_{01110}_1 u_{00100}_0$	$E_7(a_5)$	$U_{12}.S_4$
$E_6(a_3)$	$v_{16} = \tau s_2 s_3 s_5 u_{10000}_0 u_{00001}_0 u_{00100}_1$	$E_7(a_2)$	$U_6.2$
$E_6(a_1)$	$v_{17} = \tau s_4 u_{10000}_0 u_{00000}_1 u_{01000}_0$	E_7	$U_4.2$

Table 8: Unipotent classes in $G\tau = D_4\tau$

$(v_i^2)^G$	v_i	$v_i^{F_4}$	$C_G(v_i)$
1	$v_1 = \tau$	\tilde{A}_2	G_2
	$v_2 = \tau u_{121}_1$	$\tilde{A}_2 A_1$	$U_5 A_1$
A_1^3	$v_3 = \tau u_{111}_0$	C_3	$U_3 A_1$
	$v_4 = \tau u_{111}_0 u_{010}_0$	$F_4(a_2)$	$U_4.S_3$
$D_4(a_1)$	$v_5 = \tau u_{100}_0 u_{010}_0$	F_4	U_2

Table 9: **Classes of 2-elements in $G_\gamma\tau$, $G = E_6$**

Class rep. in $G\tau$	no. of G_γ -classes	centralizer orders in G_γ
v_1	1	$ F_4(q) $
v_2	1	$q^{15} C_3(q) $
v_3	1	$q^{14} G_2(q) $
v_4	2	$2q^{15} A_3(q) , 2q^{15} ^2A_3(q) $
v_5	1	$q^{18} A_1(q) A_1(q) $
v_6	1	$q^{19} A_1(q) $
v_7	2	$2q^{10} A_1(q) A_1(q) , 2q^{10} A_1(q^2) $
v_8	1	$q^{15} A_1(q) $
v_9	1	$q^8 G_2(q) $
v_{10}	1	$q^{13} A_1(q) $
v_{11}	2	$2q^{11} A_1(q) , 2q^{11} A_1(q) $
v_{12}	2	$2q^7 A_1(q) , 2q^7 A_1(q) $
v_{13}	1	q^8
v_{14}	2	$2q^9(q-1), 2q^9(q+1)$
v_{15}	5	$24q^{12}, 8q^{12}, 4q^{12}, 4q^{12}, 3q^{12}$
v_{16}	2	$2q^6, 2q^6$
v_{17}	2	$2q^4, 2q^4$

Table 10: **Classes of 3-elements in $G_\gamma\tau$, $G = D_4$**

Class rep. in $G\tau$	no. of G_γ -classes	centralizer orders in G_γ
v_1	1	$ G_2(q) $
v_2	1	$q^5 A_1(q) $
v_3	1	$q^3 A_1(q) $
v_4	3	$6q^4, 3q^4, 2q^4$
v_5	1	q^2

Table 11: Unipotent classes in $G\tau = A_2\tau$

u	v	$\dim C$	$C/R_u(C)$	ϵ -function
J_1^3	$W(2) + V(2)$	3	Sp_2	$1 \mapsto 1$
J_3	$V(6)$	1	2	$3 \mapsto 1$

Table 12: Unipotent classes in $G\tau = A_3\tau$

u	v	$\dim C$	$C/R_u(C)$	ϵ -function
J_1^4	$W(2)^2$	10	Sp_4	$1 \mapsto 0$
	$W(2) + V(2)^2$	6	Sp_2	$1 \mapsto 1$
J_2^2	$W(4)$	4	O_2	$2 \mapsto \omega$
J_3, J_1	$V(6) + V(2)$	2	1	$3 \mapsto 1, 1 \mapsto 1$

Table 13: Unipotent classes in $G\tau = A_4\tau$

u	v	$\dim C$	$C/R_u(C)$	ϵ -function
J_1^5	$W(2)^2 + V(2)$	10	Sp_4	$1 \mapsto 1$
J_2^2, J_1	$W(4) + V(2)$	6	Sp_2	$2 \mapsto \omega, 1 \mapsto 1$
J_3, J_1^2	$V(6) + W(2)$	6	$Sp_2 \times 2$	$3 \mapsto 1, 1 \mapsto 0$
	$V(6) + V(2)^2$	4	1	$3 \mapsto 1, 1 \mapsto 1$
J_5	$V(10)$	2	2	$5 \mapsto 1$

Table 14: Unipotent classes in $G\tau = A_5\tau$

u	v	$\dim C$	$C/R_u(C)$	ϵ -function
J_1^6	$W(2)^3$	21	Sp_6	$1 \mapsto 0$
	$W(2)^2 + V(2)^2$	15	Sp_4	$1 \mapsto 1$
J_2^2, J_1^2	$W(4) + W(2)$	11	$Sp_2 \times O_2$	$2 \mapsto \omega, 1 \mapsto 0$
	$W(4) + V(2)^2$	9	Sp_2	$2 \mapsto \omega, 1 \mapsto 1$
J_3, J_1^3	$V(6) + W(2) + V(2)$	7	Sp_2	$3 \mapsto 1, 1 \mapsto 1$
J_3^2	$W(6)$	7	Sp_2	$3 \mapsto 0$
	$V(6)^2$	5	2	$3 \mapsto 1$
J_5, J_1	$V(10) + V(2)$	3	2	$5 \mapsto 1, 1 \mapsto 1$

Table 15: Unipotent classes in $G\tau = A_6\tau$

u	v	$\dim C$	$C/R_u(C)$	ϵ -function
J_1^7	$W(2)^3 + V(2)$	21	Sp_6	$1 \mapsto 1$
J_2^2, J_1^3	$W(4) + W(2) + V(2)$	13	$Sp_2 \times Sp_2$	$2 \mapsto \omega, 1 \mapsto 1$
J_3, J_1^4	$V(6) + W(2)^2$	15	$Sp_4 \times 2$	$3 \mapsto 1, 1 \mapsto 0$
	$V(6) + W(2) + V(2)^2$	11	Sp_2	$3 \mapsto 1, 1 \mapsto 1$
J_3, J_2^2	$V(6) + W(4)$	9	$Sp_2 \times 2$	$3 \mapsto 1, 2 \mapsto \omega$
J_3^2, J_1	$W(6) + V(2)$	9	Sp_2	$3 \mapsto 0, 1 \mapsto 1$
	$V(6)^2 + V(2)$	7	1	$3 \mapsto 1, 1 \mapsto 1$
J_5, J_1^2	$V(10) + W(2)$	7	$Sp_2 \times 2$	$5 \mapsto 1, 1 \mapsto 0$
	$V(10) + V(2)^2$	5	2	$5 \mapsto 1, 1 \mapsto 1$
J_7	$V(14)$	3	2	$7 \mapsto 1$

Table 16: Unipotent classes in $G\tau = A_7\tau$

u	v	$\dim C$	$C/R_u(C)$	ϵ -function
J_1^8	$W(2)^4$	36	Sp_8	$1 \mapsto 0$
	$W(2)^3 + V(2)^2$	28	Sp_6	$1 \mapsto 1$
J_2^2, J_1^4	$W(4) + W(2)^2$	22	$Sp_2 \times O_2$	$2 \mapsto \omega, 1 \mapsto 0$
	$W(4) + W(2) + V(2)^2$	18	$Sp_2 \times Sp_2$	$2 \mapsto \omega, 1 \mapsto 1$
J_2^4	$W(4)^2$	16	O_4	$2 \mapsto \omega$
J_3, J_1^5	$V(6) + W(2)^2 + V(2)$	16	Sp_4	$3 \mapsto 1, 1 \mapsto 1$
J_3, J_2^2, J_1	$V(6) + W(4) + V(2)$	12	Sp_2	$3 \mapsto 1, 2 \mapsto \omega, 1 \mapsto 1$
J_3^2, J_1^2	$W(6) + W(2)$	14	$Sp_2 \times Sp_2$	$3 \mapsto 0, 1 \mapsto 0$
	$W(6) + V(2)^2$	12	Sp_2	$3 \mapsto 0, 1 \mapsto 1$
	$V(6)^2 + W(2)$	12	$Sp_2 \times 2$	$3 \mapsto 1, 1 \mapsto 0$
	$V(6)^2 + V(2)^2$	10	1	$3 \mapsto 1, 1 \mapsto 1$
J_4^2	$W(8)$	8	O_2	$4 \mapsto \omega$
J_5, J_1^3	$V(10) + W(2) + V(2)$	8	$Sp_2 \times 2$	$5 \mapsto 1, 1 \mapsto 1$
J_5, J_3	$V(10) + V(6)$	6	2	$5 \mapsto 1, 3 \mapsto 1$
J_7, J_1	$V(14) + V(2)$	4	2	$7 \mapsto 1, 1 \mapsto 1$

Appendix: Explicit U -centralizers

We have seen that the determination of the centralizers $C_G(v_i)$ in subsection 5.3 frequently uses knowledge of the subgroups $C_Q(v_i)$ and $C_U(v_i)$. The structure of these groups is given in Tables 5 and 6, but in one or two places more detailed information is required. We conclude by providing explicit expressions for the groups $C_U(v_i)$; Tables 5 and 6 summarize the results presented here.

We begin with a brief comment on the structure constants in G . Since all roots in the root system Σ are long, the only non-trivial Chevalley commutator relations are of the form $[x_\alpha(t_1), x_\beta(t_2)] = x_{\alpha+\beta}(N_{\alpha,\beta}t_1t_2)$, in which the structure constant $N_{\alpha,\beta}$ is ± 1 . If $G = E_6$ there is no ambiguity, since we are working in characteristic 2; however if $G = D_4$ we must specify the choices made. We have taken $N_{\alpha,\beta} = 1$ for the following ordered pairs of positive roots (α, β) :

$$\begin{aligned} & (10_0^0, 01_0^0), (00_0^1, 01_0^0), (00_1^0, 01_0^0), (11_0^0, 00_0^1), (01_0^1, 00_0^0), (01_1^0, 10_0^0), \\ & (01_0^1, 10_0^0), (01_1^0, 00_0^1), (11_0^0, 00_0^1), (10_0^0, 01_1^1), (00_0^1, 11_1^0), (00_1^0, 11_1^0), \\ & (01_1^1, 11_0^0), (11_1^0, 01_1^0), (11_0^1, 01_1^0), (01_0^0, 11_1^1). \end{aligned}$$

The structure constants were calculated using [3, Proposition 4.2.2]; as can be seen, for all $\alpha, \beta \in \Sigma^+$ we have $N_{\alpha^\tau, \beta^\tau} = N_{\alpha, \beta}$. Since $x_\alpha(t)^\tau = x_{\alpha^\tau}(t)$ for $\alpha \in \Pi$, by taking commutators we see that the same is true for all $\alpha \in \Sigma^+$.

We now describe how we use a computer to obtain the groups $C_U(v_i)$. We begin with the element v_i and write it as τsx , where $x \in U$ and $s \in N_G(T_G)$ (so that s corresponds to an element of the Weyl group); usually $s = 1$, but in some instances in $G = E_6$ it is a product of reflections in mutually orthogonal simple roots. We also take a ‘generic’ element $g = \prod_{\beta \in \Xi} x_\beta(\kappa_\beta)$ of U , where $\Xi = \Sigma^+ \cap (\Sigma^+)^s$, and the various κ_β are regarded as indeterminates; we order the roots in Ξ so that the roots outside Q precede those inside Q .

We form the commutator $[g, v_i] = g^{-1}.x^{-1}.g^{\tau s}.x$, which we treat as a sequence of root elements corresponding to positive roots. This sequence is then passed through a simplifying program which reduces it to a canonical form; in this form the roots are taken in a fixed order compatible with height. If this canonical form is not the identity, we choose a root for which the coefficient is non-zero, and seek to make it zero by writing one of the κ_β in the expression for g in terms of the remaining indeterminates. This gives a modified sequence for the commutator, which we pass through the simplifying program again, and the resulting canonical form will have fewer non-zero coefficients. We continue in this way until the canonical form has been reduced to the identity; at this point, the expression for g gives the form of an arbitrary element of $C_U(v_i)$.

The expressions obtained are given in Tables 17 and 18. Our notation in these tables is as follows. We write c_j and t_j for arbitrary elements of K , with the exception that in two instances in Table 17 a relation of the form $t_j^2 = t_k^2 + t_k$ holds. If it appears, ζ stands for an element of a finite field \mathbb{F} (usually $\mathbb{F} = \mathbb{F}_p$, but in one instance in E_6 we have $\mathbb{F} = \mathbb{F}_4$). If all c_j are set to be 0, the resulting expression gives a typical element of $C_Q(v_i)$.

Table 17: **Explicit U -centralizers for $G = E_6$**

i	$C_U(v_i)$
1, 2	$\{x_{\underset{1}{0}0000}(c_1)x_{\underset{0}{1}0010}(c_2)x_{\underset{0}{0}01000}(c_3)x_{\underset{0}{0}00010}(c_3)x_{\underset{0}{0}10000}(c_4)x_{\underset{0}{0}00001}(c_4)$ $\times x_{\underset{1}{0}00100}(c_5)x_{\underset{0}{1}01100}(c_6)x_{\underset{0}{0}00110}(c_6)x_{\underset{0}{1}1000}(c_7)x_{\underset{0}{0}00011}(c_7)x_{\underset{1}{0}01100}(c_8)$ $\times x_{\underset{1}{0}00110}(c_8)x_{\underset{0}{1}01110}(c_9)x_{\underset{0}{1}1100}(c_{10})x_{\underset{0}{0}00111}(c_{10})x_{\underset{1}{0}01110}(c_{11})x_{\underset{1}{1}1100}(c_{12})$ $\times x_{\underset{1}{0}00111}(c_{12})x_{\underset{1}{1}1110}(c_{13})x_{\underset{0}{1}01111}(c_{13})x_{\underset{1}{1}01210}(c_{14})x_{\underset{1}{1}1110}(c_{15})$ $\times x_{\underset{1}{0}01111}(c_{15})x_{\underset{0}{1}11111}(c_{16})x_{\underset{1}{1}11210}(c_{17})x_{\underset{1}{1}01211}(c_{17})x_{\underset{1}{1}11111}(c_{18})$ $\times x_{\underset{1}{1}12210}(c_{19})x_{\underset{1}{1}01221}(c_{19})x_{\underset{1}{1}11211}(c_{20})x_{\underset{1}{1}12211}(c_{21})x_{\underset{1}{1}11221}(c_{21})$ $\times x_{\underset{1}{1}12221}(c_{22})x_{\underset{1}{1}12321}(c_{23})x_{\underset{2}{2}12321}(c_{24}) : c_j \in K\}$
3	$\{x_{\underset{0}{0}10000}(c_1)x_{\underset{0}{0}00001}(c_1)x_{\underset{0}{0}00100}(c_1^2)x_{\underset{0}{0}01000}(c_2)x_{\underset{0}{0}00010}(c_2)x_{\underset{0}{0}11000}(c_3)$ $\times x_{\underset{0}{0}00011}(c_3)x_{\underset{0}{1}01110}(c_3^2)x_{\underset{0}{1}01100}(c_4)x_{\underset{0}{0}00110}(c_4)x_{\underset{0}{1}11111}(c_4^2)x_{\underset{0}{1}1100}(c_5)$ $\times x_{\underset{0}{0}00111}(c_5)x_{\underset{0}{1}11110}(c_6)x_{\underset{0}{1}01111}(c_6)x_{\underset{1}{0}00000}(t_1)x_{\underset{1}{1}00100}(t_2)x_{\underset{1}{1}01100}(t_3)$ $\times x_{\underset{1}{0}00110}(t_3)x_{\underset{1}{1}01110}(t_4)x_{\underset{1}{1}1100}(t_5)x_{\underset{1}{0}00111}(t_5)x_{\underset{1}{1}01210}(t_6)x_{\underset{1}{1}11110}(t_7)$ $\times x_{\underset{1}{1}01111}(t_7)x_{\underset{1}{1}11210}(t_8)x_{\underset{1}{1}01211}(c_1 + t_8)x_{\underset{1}{1}11111}(t_6)x_{\underset{1}{1}12210}(t_9)$ $\times x_{\underset{1}{1}01221}(c_1c_2 + c_3 + t_9)x_{\underset{1}{1}11211}(t_{10})x_{\underset{1}{1}12211}(t_{11})x_{\underset{1}{1}11221}(c_1c_3 + c_4 + t_{11})$ $\times x_{\underset{1}{1}12221}(t_{12})x_{\underset{1}{1}12321}(t_{13})x_{\underset{2}{2}12321}(t_{14}) : c_j, t_j \in K\}$
4	$\{x_{\underset{0}{0}00100}(c_1)x_{\underset{1}{0}00000}(c_2)x_{\underset{1}{0}00100}(c_3)x_{\underset{0}{1}01110}(c_4)x_{\underset{1}{1}01110}(c_5)x_{\underset{1}{1}01210}(c_6)$ $\times x_{\underset{0}{0}10000}(t_1)x_{\underset{0}{0}00001}(t_1)x_{\underset{0}{1}11000}(t_2)x_{\underset{0}{0}00011}(t_2)x_{\underset{0}{1}11000}(t_3)x_{\underset{0}{0}00111}(t_3)$ $\times x_{\underset{1}{1}1100}(t_4)x_{\underset{1}{1}00111}(t_4)x_{\underset{0}{1}11110}(t_5)x_{\underset{0}{1}01111}(t_5)x_{\underset{1}{1}1110}(t_6)x_{\underset{1}{1}01111}(t_6)$ $\times x_{\underset{1}{0}11111}(t_7)x_{\underset{1}{1}11210}(t_8)x_{\underset{1}{1}01211}(t_8)x_{\underset{1}{1}11111}(t_9)x_{\underset{1}{1}12210}(t_{10})x_{\underset{1}{1}01221}(t_{10})$ $\times x_{\underset{1}{1}11211}(t_{11})x_{\underset{1}{1}12211}(t_{12})x_{\underset{1}{1}11221}(t_{12})x_{\underset{1}{1}12221}(t_{13})x_{\underset{1}{1}12321}(t_{14})$ $\times x_{\underset{2}{2}12321}(t_{15}) : c_j, t_j \in K\}$
5	$\{x_{\underset{0}{0}00100}(c_1)x_{\underset{1}{0}00000}(c_2)x_{\underset{0}{0}01000}(c_3^2)x_{\underset{0}{0}00010}(c_3^2)x_{\underset{1}{0}00100}(c_4)x_{\underset{0}{1}01100}(c_5)$ $\times x_{\underset{0}{0}00110}(c_5)x_{\underset{1}{0}01100}(c_6)x_{\underset{1}{0}00110}(c_6)x_{\underset{0}{1}01110}(c_7)x_{\underset{1}{1}01110}(c_8)x_{\underset{1}{1}01210}(c_9)$ $\times x_{\underset{0}{0}11000}(c_3)x_{\underset{0}{0}00011}(c_3)x_{\underset{0}{1}1100}(t_1)x_{\underset{0}{0}00111}(t_1)x_{\underset{1}{1}1100}(t_2)x_{\underset{1}{0}00111}(t_2)$ $\times x_{\underset{0}{1}11110}(t_3)x_{\underset{0}{1}01111}(t_3)x_{\underset{1}{1}11110}(t_4)x_{\underset{0}{1}01111}(t_4)x_{\underset{0}{1}11111}(c_5)x_{\underset{1}{1}11210}(t_5)$ $\times x_{\underset{1}{1}01211}(t_5)x_{\underset{1}{1}11111}(c_3^2c_4 + c_6)x_{\underset{1}{1}12210}(t_6)x_{\underset{1}{1}01221}(c_3 + t_6)x_{\underset{1}{1}11211}(t_7)$ $\times x_{\underset{1}{1}12211}(t_8)x_{\underset{1}{1}11221}(t_8)x_{\underset{1}{1}12221}(t_9)x_{\underset{1}{1}12321}(t_{10})x_{\underset{2}{2}12321}(t_{11}) : c_j, t_j \in K\}$

Table 17: **Explicit U -centralizers for $G = E_6$ (continued)**

i	$C_U(v_i)$
6	$\{x_{00100}^0(c_1^2)x_{00000}^1(c_2)x_{01000}^0(c_3)x_{00010}^0(c_3)x_{00100}^1(c_4)x_{01100}^0(c_5)$ $\times x_{00110}^0(c_5)x_{01100}^1(c_6)x_{00110}^1(c_6)x_{01110}^0(c_1^2c_3^2 + c_3 + c_7^2)x_{01110}^1(c_8)$ $\times x_{01210}^1(c_9)x_{10000}^0(c_1)x_{00001}^0(c_1)x_{11000}^0(c_7)x_{00011}^0(c_7)x_{11100}^0(t_1)$ $\times x_{00111}^0(t_1)x_{11100}^1(t_2)x_{00111}^1(c_1 + t_2)x_{11110}^0(t_3)x_{01111}^0(t_3)x_{11110}^1(t_4)$ $\times x_{01111}^1(c_7 + t_4)x_{11111}^0(c_1^4c_3^2 + c_1^2c_3 + c_1^2c_7^2 + c_5^2 + c_5)x_{11210}^1(t_5)$ $\times x_{01211}^1(c_1 + t_1 + t_5)$ $\times x_{11111}^1(c_1^2c_3^2c_4 + c_1^2c_3 + c_1^2c_8 + c_1c_7 + c_3c_4 + c_5 + c_6 + c_9)$ $\times x_{12210}^1(t_6)x_{01221}^1(c_7 + t_3 + t_6)x_{11211}^1(t_7)x_{12211}^1(t_8)$ $\times x_{11221}^1(c_1^4c_3^2 + c_1^2c_7^2 + c_1c_7 + c_5^2 + c_7t_1 + t_8)x_{12221}^1(t_9)x_{12321}^1(t_{10})$ $\times x_{12321}^2(t_{11}) : c_j, t_j \in K\}$
7	$\{x_{11110}^0(c_1)x_{01111}^1(c_2)x_{00000}^1(t_1)x_{00100}^1(t_1)x_{01100}^1(t_2)x_{00110}^1(t_3)$ $\times x_{01110}^1(t_4)x_{11100}^1(t_3)x_{00111}^1(t_2)x_{01210}^1(t_5)x_{11110}^1(t_6)x_{01111}^1(t_7)$ $\times x_{11210}^1(t_6)x_{01211}^1(t_7)x_{11111}^1(t_5)x_{12210}^1(t_8)x_{01221}^1(t_9)x_{11211}^1(t_4)$ $\times x_{12211}^1(t_9)x_{11221}^1(t_8)x_{12221}^1(t_{10})x_{12321}^1(t_{10})$ $\times x_{12321}^2(t_{11}) : c_j, t_j \in K, t_4^2 = t_5^2 + t_5\}$
8	$\{x_{00000}^1(c_1^2)x_{11000}^0(c_1)x_{00011}^1(c_1)x_{00100}^0(t_1)x_{00100}^1(t_2)x_{01100}^0(t_3)$ $\times x_{00110}^0(t_3)x_{01100}^1(t_4)x_{00110}^1(t_4)x_{11100}^0(t_5)x_{00111}^1(t_5)x_{01110}^1(c_1)$ $\times x_{11100}^1(t_6)x_{00111}^1(c_1 + t_6)x_{11110}^0(t_7)x_{01111}^1(t_7)x_{01210}^1(c_1t_1 + t_5 + t_7^2)$ $\times x_{11110}^1(t_8)x_{01111}^1(t_8)x_{11111}^0(t_2)x_{11210}^1(t_9)x_{01211}^1(c_1t_3 + t_7 + t_9)$ $\times x_{11111}^1(t_{10})x_{12210}^1(t_{11})x_{01221}^1(t_{11})x_{11211}^1(t_{12})x_{12211}^1(t_{13})$ $\times x_{11221}^1(t_4 + t_{13})x_{12221}^1(c_1 + t_4^2 + t_6)x_{12321}^1(t_{14})$ $\times x_{12321}^2(t_{15}) : c_1, t_j \in K\}$
9	$\{x_{00100}^0(c_1)x_{00000}^1(c_2)x_{01000}^0(c_2)x_{00010}^0(c_2)x_{00100}^1(c_3)x_{01100}^0(c_3)$ $\times x_{00110}^0(c_3)x_{01100}^1(c_4)x_{00110}^1(c_4)x_{01110}^0(c_4)x_{01110}^1(c_5)x_{01210}^1(c_6)$ $\times x_{11100}^1(t_1)x_{00111}^1(t_1)x_{11110}^0(t_1)x_{01111}^1(t_1)x_{11111}^0(t_2)x_{11111}^1(t_3)$ $\times x_{11211}^1(t_4)x_{12211}^1(t_5)x_{11221}^1(t_1 + t_5)x_{12221}^1(t_6)x_{12321}^1(t_7)$ $\times x_{12321}^2(t_8) : c_j, t_j \in K\}$

Table 17: **Explicit U -centralizers for $G = E_6$ (continued)**

i	$C_U(v_i)$
10	$\{x_{00100}(c_1)x_{00000}(c_2)x_{01000}(c_2)x_{00010}(c_2)x_{00100}(c_3)x_{01100}(c_3)$ $\times x_{00110}(c_3)x_{01100}(c_4)x_{00110}(c_4)x_{01110}(c_4)x_{01110}(c_5)x_{01210}(c_6)$ $\times x_{11100}(t_1)x_{00111}(t_1)x_{11110}(t_1)x_{01111}(t_1)x_{11111}(t_2)x_{11210}(t_2)$ $\times x_{01211}(t_2)x_{11111}(t_3)x_{12210}(t_3)x_{01221}(t_3)x_{11211}(t_4)x_{12211}(t_5)$ $\times x_{11221}(t_1 + t_5)x_{12221}(t_6)x_{12321}(t_7)x_{12321}(t_8) : c_j, t_j \in K\}$
11	$\{x_{00100}(c_1)x_{01100}(c_2)x_{00110}(c_2)x_{01110}(c_2)x_{01110}(c_3)x_{01210}(c_4)$ $\times x_{10000}(\zeta)x_{00001}(\zeta)x_{11100}(t_1)x_{00111}(\zeta + t_1)x_{11110}(\zeta + t_1)x_{01111}(t_1)$ $\times x_{11110}(t_2)x_{01111}(t_2)x_{11111}(t_3)x_{11210}(t_4)x_{01211}(t_4)x_{11111}(t_2)$ $\times x_{12210}(t_1^2 + t_1)x_{01221}(t_1^2 + t_1)x_{11211}(t_4)x_{12211}(t_5)$ $\times x_{11221}(\zeta t_1 + t_1 + t_3 + t_5)x_{12221}(t_6)x_{12321}(t_7)$ $\times x_{12321}(t_8) : \zeta \in \mathbb{F}_2, c_j, t_j \in K\}$
12	$\{x_{01110}(c_1)x_{00000}(t_1)x_{10000}(t_1)x_{00001}(t_1)x_{00100}(t_1)x_{00011}(\zeta)$ $\times x_{01100}(\zeta)x_{00110}(\zeta)x_{11100}(\zeta)x_{01110}(t_2)x_{11110}(t_2)x_{01111}(t_2)$ $\times x_{01210}(t_2)x_{11110}(t_3)x_{01111}(t_4)x_{11111}(t_4)x_{11210}(t_4)x_{01211}(t_3)$ $\times x_{11111}(t_5)x_{11211}(t_5)x_{12211}(t_3^2 + t_4^2)x_{11221}(t_3^2 + t_4^2)$ $\times x_{12221}(t_6)x_{12321}(t_6)x_{12321}(t_7) : \zeta \in \mathbb{F}_2, c_1, t_j \in K\}$
13	$\{x_{01110}(c_1)x_{00000}(t_1)x_{10000}(t_1)x_{00001}(t_1)x_{00100}(t_1)x_{00011}(t_2)$ $\times x_{01100}(t_2)x_{00110}(t_2)x_{11100}(t_2)x_{01110}(t_3)x_{11110}(t_3)x_{01111}(t_3)$ $\times x_{01111}(t_1 + t_3)x_{01210}(t_1 + t_3)x_{11110}(t_4)x_{01111}(t_5)x_{11111}(t_1^2 + t_5)$ $\times x_{11210}(t_5)x_{01211}(t_1^2 + t_4)x_{11111}(t_6)x_{11211}(t_1^3 + t_6)$ $\times x_{12211}(t_2^3 + t_2^2 + t_4^2 + t_5^2)x_{11221}(t_4^2 + t_5^2)x_{12221}(t_7)$ $\times x_{12321}(t_1^3 + t_1^2 t_3 + t_6 + t_7)x_{12321}(t_8) : c_1, t_j \in K, t_1^2 = t_2^2 + t_2\}$
14	$\{x_{00100}(t_1)x_{00100}(t_1)x_{01100}(t_2)x_{00110}(t_3)x_{01110}(t_1^2)x_{11100}(t_3)$ $\times x_{00111}(t_2)x_{01110}(t_1^2 + t_1)x_{11110}(t_3^2)x_{01111}(t_2^2)x_{01210}(t_4)$ $\times x_{11110}(t_3^2)x_{01111}(t_2^2)x_{11111}(t_1^2 + t_1)x_{11210}(t_5)x_{01211}(t_6)$ $\times x_{11111}(t_1^2)x_{12210}(t_7)x_{01221}(t_8)x_{11211}(t_1^2 + t_4)x_{12211}(t_8)x_{11221}(t_7)$ $\times x_{12221}(t_1^3 + t_2^2 t_3^2 + t_4)x_{12321}(t_9)$ $\times x_{12321}(t_1^4 + t_1 t_4 + t_2^2 t_3^2 + t_9) : t_j \in K\}$

Table 17: **Explicit U -centralizers for $G = E_6$ (continued)**

i	$C_U(v_i)$
15	$\{x_{00100_0}(t_1)x_{10000_0}(\zeta)x_{00001_0}(\zeta)x_{00100_1}(t_2)x_{01100_0}(\zeta+t_2)x_{00110_0}(\zeta+t_2)$ $\times x_{11000_0}(\zeta^2)x_{00011_0}(\zeta^2)x_{01100_1}(t_3)x_{00110_1}(t_3)x_{01110_0}(\zeta^2+t_3)x_{11100_0}(t_4)$ $\times x_{00111_0}(\zeta^2+t_4)x_{01110_1}(t_1)x_{11100_1}(t_5)x_{00111_1}(t_5)x_{11110_0}(t_5)x_{01111_0}(t_5)$ $\times x_{01210_1}(t_6)x_{11110_1}(t_7)x_{01111_1}(\zeta+t_7)x_{11111_0}(\zeta t_1+\zeta+t_7)x_{11210_1}(t_8)$ $\times x_{01211_1}(\zeta t_1+\zeta^2 t_3+\zeta+t_7+t_8)x_{11111_1}(\zeta^2 t_1+\zeta t_2+t_4)x_{12210_1}(t_9)$ $\times x_{01221_1}(\zeta^2 t_1+\zeta^2+\zeta t_2+t_4+t_9)$ $\times x_{11211_1}(\zeta^2 t_1^2+\zeta^2 t_1+\zeta t_1 t_2+\zeta t_2+t_1 t_4+t_4+t_7^2+t_9)x_{12211_1}(t_{10})$ $\times x_{11221_1}(\zeta^3 t_1+\zeta^2 t_2+\zeta t_4+t_5+t_{10})$ $\times x_{12221_1}(\zeta^2 t_1 t_3+\zeta t_1+\zeta^2 t_2^2+\zeta t_2 t_3+\zeta t_5+t_4^2+t_8)x_{12321_1}(t_{11})$ $\times x_{12321_2}(t_{12}) : \zeta \in \mathbb{F}_4, t_j \in K\}$
16	$\{x_{00100_0}(t_1^2+t_1)x_{10000_0}(t_1)x_{00001_0}(t_1)x_{00100_1}(t_1^2)x_{01100_0}(t_1^2+t_1)$ $\times x_{00110_0}(t_1^2+t_1)x_{11000_0}(t_1)x_{00011_0}(t_1)x_{01100_1}(t_1^2+t_1)x_{00110_1}(t_1^2+t_1)$ $\times x_{01110_0}(t_1^2)x_{11100_0}(t_2)x_{00111_0}(t_1^2+t_1+t_2)x_{01110_1}(t_1^2+t_1)x_{11100_1}(t_3)$ $\times x_{00111_1}(t_3)x_{11110_0}(t_3)x_{01111_0}(t_3)x_{01210_1}(t_1^4+t_1^2+t_3)$ $\times x_{11110_1}(t_1^3+t_1^2+t_2)x_{01111_1}(t_1^3+t_1+t_2)x_{11111_0}(t_1^3+t_1^2+t_3)$ $\times x_{11210_1}(t_2^2+t_3^2)x_{01211_1}(t_1^4+t_1^2+t_2^2+t_3^2)x_{11111_1}(t_3)$ $\times x_{12210_1}(t_1^5+t_1^3+t_1^2 t_2+t_1 t_2+t_2^2+t_3^2)$ $\times x_{01221_1}(t_1^5+t_1^3+t_1^2 t_2+t_1 t_2+t_2^2+t_3^2)x_{11211_1}(t_3^2)x_{12211_1}(t_4)$ $\times x_{11221_1}(t_5)x_{12221_1}(t_1^6+t_1^5+t_1^4+t_1^3+t_1^2 t_3+t_1 t_3+t_3^2)$ $\times x_{12321_1}(t_6)x_{12321_2}(t_1^7+t_1^6+t_1^5+t_1^4 t_2+t_1^4 t_3+t_1^4+t_1^3 t_3$ $+t_1^2 t_2^2+t_1^2 t_2+t_1 t_2^2+t_3^2+t_6) : t_j \in K\}$
17	$\{x_{00000_1}(\zeta)x_{01000_0}(\zeta)x_{10000_0}(\zeta)x_{00001_0}(\zeta)x_{00100_1}(\zeta)x_{00110_0}(\zeta)x_{11000_0}(t_1)$ $\times x_{01100_1}(t_1)x_{00110_1}(t_1)x_{01110_0}(t_1)x_{00111_0}(\zeta+t_1)x_{01110_1}(t_1^2)x_{11100_1}(t_1)$ $\times x_{11110_0}(t_1^2)x_{01111_0}(t_1^2+t_1)x_{01210_1}(t_1^2)x_{11110_1}(t_2)$ $\times x_{11111_0}(\zeta t_1+t_1^2+t_2)x_{11210_1}(t_1^2)x_{01211_1}(\zeta t_1+t_1^2+t_2)x_{11111_1}(t_3)$ $\times x_{12210_1}(t_2+t_3)x_{01221_1}(t_2+t_3)x_{11211_1}(t_2+t_3)$ $\times x_{12211_1}(\zeta t_1^2+t_1^4+t_1^3+t_1^2+t_2+t_3)x_{12221_1}(\zeta t_1^2+t_1^4)$ $\times x_{12221_1}(\zeta t_1^3+t_1^3+t_2^2)x_{12321_1}(t_1^4+t_1^3+t_2^2)$ $\times x_{12321_2}(t_4) : \zeta \in \mathbb{F}_2, t_j \in K\}$

Table 18: **Explicit U -centralizers for $G = D_4$**

i	$C_U(v_i)$
1, 2	$\{x_{10_0^0}(c_1)x_{00_0^1}(c_1)x_{00_0^0}(c_1)x_{01_0^0}(c_2)x_{11_0^0}(c_3)x_{01_0^1}(c_3)x_{01_0^0}(c_3)$ $\times x_{11_0^1}(c_4)x_{01_0^1}(c_4)x_{11_0^0}(c_4)x_{11_0^1}(c_5)x_{12_0^1}(c_6) : c_j \in K\}$
3	$\{x_{01_0^0}(c_1)x_{11_0^1}(t_1)x_{01_0^1}(t_1)x_{11_0^0}(t_1)x_{11_0^1}(t_2)x_{12_0^1}(t_3) : c_1, t_j \in K\}$
4	$\{x_{01_0^0}(c_1)x_{10_0^0}(\zeta)x_{00_0^1}(\zeta)x_{00_0^0}(\zeta)x_{11_0^0}(t_1)x_{01_0^1}(\zeta + t_1)$ $\times x_{01_0^1}(-\zeta + t_1)x_{11_0^0}(t_2)x_{01_0^1}(\zeta^2 + t_2)x_{11_0^0}(-\zeta^2 + t_2)x_{11_0^1}(-t_1)$ $\times x_{12_0^1}(t_3) : \zeta \in \mathbb{F}_3, c_1, t_j \in K\}$
5	$\{x_{10_0^0}(t_1)x_{00_0^1}(t_1)x_{00_0^0}(t_1)x_{11_0^0}(t_1)x_{01_0^1}(-t_1)x_{11_0^1}(-t_1^3)$ $\times x_{01_0^1}(-t_1^3 + t_1^2)x_{11_0^0}(-t_1^3 - t_1^2 - t_1)x_{11_0^1}(t_1^3 - t_1^2)$ $\times x_{12_0^1}(t_2) : t_j \in K\}$

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