## MODULAR FORMS EXAMPLE SHEET 3

1. Let  $M_k(\mathbb{Z})$  be the space of modular forms of weight k with integral q-expansions. Show that the graded ring:

$$\bigoplus M_k(\mathbb{Z})$$

is generated over  $\mathbb{Z}$  by  $E_4$ ,  $E_6$ , and  $\Delta$ .

This follows easily from the argument of problem 4 of example sheet 2; indeed, the  $f_i$  constructed there are easily seen by induction to be integer polynomials in  $E_4$ ,  $E_6$ , and  $\Delta$ , and any modular form with integral coefficients is an integral linear combination of the  $f_i$ .

2a. Show that the map  $\operatorname{SL}_2(\mathbb{Z}) \to \operatorname{SL}_2(\mathbb{Z}/N\mathbb{Z})$  is surjective, for any N > 1.

Let  $\gamma$  be a matrix in  $\operatorname{SL}_2(\mathbb{Z}/N\mathbb{Z})$ , and lift  $\gamma$  to a matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  with entries in  $\mathbb{Z}$ , and c nonzero. Then ad - bc = 1 + kN for some k. In particular the gcd of d, c, and N is 1. Let t be the product of the primes that divide c but not d. Then d + tN is nonzero modulo all primes dividing c (if p divides d then p does not divide tN; if p does not divide d then it divides tN), so c and d + tN are relatively prime. Replacing d with d + tN we can thus assume that c and d are relatively prime.

Now choose u, v such that ud - vc = -k, where ad - bc = 1 + kN. Then  $\begin{pmatrix} a + uN & b + vN \\ c & d \end{pmatrix}$  is a lift of  $\gamma$  with determinant 1.

2b. Show that the map  $\operatorname{GL}_2(\mathbb{Z}) \to \operatorname{GL}_2(\mathbb{Z}/N\mathbb{Z})$  is not surjective, for any N > 6.

On determinants, the induced map is the map  $\pm 1 \to (\mathbb{Z}/N\mathbb{Z})^{\times}$ , which is clearly not surjective for N > 6.

3. Compute the matrix of the Hecke operator  $T_2$  acting on  $S_{24}$  with respect to the basis  $E_4^3\Delta$ ,  $\Delta^2$  of  $S_{24}$ , and show that its characteristic polynomial is irreducible. What does this mean about the eigenforms of level 24?

The computation of the polynomial is routine, if tedious: one uses the formula for  $T_2$  on q-expansions to obtain the q and  $q^2$  coefficients of  $T_2 E_4^3 \Delta$ and  $T_2 \Delta^2$ ; these suffice to express  $T_2 E_4^3 \Delta$  and  $T_2 \Delta^2$  as linear combinations of  $E_4^3 \Delta$  and  $\Delta^2$ . The characteristic polynomial of the resulting matrix is  $x^2 - 1080x - 20468736$ ; it is irreducible over  $\mathbb{Q}$  since its discriminant is not a perfect square. This implies that the eigenforms of level 24 do not have integer coefficients, and come in a Galois conjugate pair. 4. Let V be a three dimensional real vector space, and let  $\mathcal{L}$  denote the space of lattices in V. For a, b positive integers with a dividing b, define a correspondence  $T_{a,b}$  on  $\mathcal{L}$  by letting  $T_{a,b}L$  be the sum of the sublattices  $L' \subset L$  such that L/L' is isomorphic to  $\mathbb{Z}/a \times \mathbb{Z}/b$ .

4a. Show that if (b, b') = 1, then  $T_{a,b}T_{a',b'} = T_{aa',bb'}$ .

NOTATION: We will write  $L' \subseteq_{a,b} L$  to mean that L' is a sublattice of L with L/L' isomorphic to  $\mathbb{Z}/a \times \mathbb{Z}/b$ .

For arbitrary a, b, a', b' we have:

$$T_{a,b}T_{a',b'}L = \sum_{L'\subseteq a',b'}\sum_{L\,L''\subseteq a,bL'}L'',$$

and we may rewrite the latter as

$$\sum_{L''} C_{(a,b),(a',b')}(L,L'')$$

where  $C_{(a,b),(a',b')}(L,L'')$  counts the number of lattices L' such that  $L'' \subseteq_{a,b}$  $L' \subseteq_{a',b'} L$ . (Or, equivalently, the number of subgroups A of L/L'' such that A is isomorphic to  $\mathbb{Z}/a' \times \mathbb{Z}/b'$  and (L/L'')/A is isomorphic to  $\mathbb{Z}/a \times \mathbb{Z}/b$ .)

If b and b' are relatively prime, then so are ab and a'b'. We will show that in this case  $C_{(a,b),(a',b')}(L,L'') = 1$  if  $L'' \subseteq_{aa',bb'} L$ , and zero otherwise. This will prove 4a.

First suppose there exists an L' such that  $L'' \subseteq_{a,b} L' \subseteq_{a',b'} L$ . Then L'/L''is a subgroup of L/L'' isomorphic to  $\mathbb{Z}/a \times \mathbb{Z}/b$ , and the quotient of L/L''by this subgroup is isomorphic to L'/L'' and hence to  $\mathbb{Z}/a' \times \mathbb{Z}/b'$ . On the other hand, if A is a finite abelian group, and B is a subgroup of A such that the orders of B and A/B are relatively prime, then A is isomorphic to  $A \times B/A$ . (This follows from the fact that any abelian group is the product of its maximal subgroups of prime power order.) Thus L/L'' is isomorphic to  $\mathbb{Z}/a \times \mathbb{Z}/b \times \mathbb{Z}/a' \times \mathbb{Z}/b'$ , and the latter is isomorphic to  $\mathbb{Z}/aa' \times \mathbb{Z}/bb'$ .

On the other hand it is easy to see that there is a unique subgroup of order a'b' in  $\mathbb{Z}/aa' \times \mathbb{Z}/bb'$ , consisting of all the elements of order dividing a'b'. Thus, for any  $L'' \subseteq_{aa',bb'} L$ , there is a unique intermediate L' such that  $L'' \subseteq_{a,b} L' \subseteq_{a',b'} L.$ 

4b. Fix a prime p, and express  $T_{1,p^2}$ ,  $T_{1,p^3}$ , and  $T_{p,p^2}$  as polynomials in  $T_{1,p}$ ,  $T_{p,p}$ , and the "rescaling by p" operator  $R_p$ .

We compute  $T_{1,p}^2$ ,  $T_{1,p}T_{p,p}$ , and  $T_{1,p}T_{1,p^2}$  (in this order.) First,  $T_{1,p}^2 = \sum_{L''} C_{(1,p),(1,p)}(L'',L)L''$ . If we have a lattice L' such that

 $L'' \subseteq_{1,p} L' \subseteq_{1,p} L$ , then L'' has index  $p^2$  in L, so L/L'' is isomorphic to  $\mathbb{Z}/p^2$ or  $\mathbb{Z}/p$ . The former has exactly one cyclic subgroup of order p; the latter has p+1. Thus  $T_{1,p}^2 = T_{1,p^2} + (p+1)T_{p,p}$ . (In particular,  $T_{1,p^2} = T_{1,p}^2 - (p+1)T_{p,p}$ .) Next,  $T_{1,p}T_{p,p} = \sum_{L''} C_{(1,p),(p,p)}(L'',L)L''$ . If we have L' such that  $L'' \subseteq_{1,p}$ 

 $L' \subseteq_{p,p} L$ , then L/L'' is isomorphic to  $\mathbb{Z}/p \times \mathbb{Z}/p^2$  or  $(\mathbb{Z}/p)^3$ . (It cannot be isomorphic to  $\mathbb{Z}/p^3$  as it has a quotient L/L' that is not cyclic.) The former

has p + 1 subgroups A of order p, but for only one such subgroup is the quotient  $(\mathbb{Z}/p \times \mathbb{Z}/p^2)/A$  isomorphic to  $\mathbb{Z}/p \times \mathbb{Z}/p$ . Thus  $c_{(1,p),(p,p)}(L'',L) = 1$  if L/L'' is isomorphic to  $\mathbb{Z}/p \times \mathbb{Z}/p^2$ . On the other hand, if L/L'' is isomorphic to  $(\mathbb{Z}/p)^3$ , then  $L'' = R_pL$ , there are  $p^2 + p + 1$  subgroups of L/L'' of order p, and the quotient by any of those is  $\mathbb{Z}/p \times \mathbb{Z}/p$ . Thus  $C_{(1,p),(p,p)}(L'', :) = p^2 + p + 1$  in this case, and we get  $T_{1,p}T_{p,p} = T_{p,p^2} + (p^2 + p + 1)R_p$ . (In particular  $T_{p,p^2} = T_{1,p}T_{p,p} - (p^2 + p + 1)R_p$ .) As for  $T_{1,p}T_{1,p^2}$ , suppose we have L' with  $L'' \subseteq_{1,p} L' \subseteq_{1,p^2} L$ . Then L/L''

As for  $T_{1,p}T_{1,p^2}$ , suppose we have L' with  $L'' \subseteq_{1,p} L' \subseteq_{1,p^2} L$ . Then L/L''is isomorphic to  $\mathbb{Z}/p^3$  or  $\mathbb{Z}/p \times \mathbb{Z}/p^2$ . (It cannot be  $(\mathbb{Z}/p)^3$  as it has a cyclic quotient of order  $p^2$ .) In the former case there is a unique intermediate L'; in the latter case there are p intermediate L' such that L/L' is cyclic of order  $p^2$ . Thus  $T_{1,p}T_{1,p^2} = T_{1,p^3} + pT_{p,p^2}$ . From this we conclude that

$$T_{1,p^3} = T_{1,p}T_{1,p^2} - pT_{p,p^2} = T_{1,p}^3 - (p+1)T_{1,p}T_{p,p} - pT_{1,p}T_{p,p} + p(p^2+p+1)R_p.$$

In fact, one can show that every  $T_{a,b}$  is a polynomial in  $T_{(1,p)}$ ,  $T_{(p,p)}$  and  $R_p$  as p varies, and these operators all commute!