

M1F Foundations of Analysis

Problem Sheet 8

1. Consider functions $f, g: \mathbb{R} \rightarrow \mathbb{R}$ such that $f \circ g(x) = (x - 1)^2$.
 - (a) If $g(x) = x^2$ show that there is no solution for f . (Before you think of this you may go back to Q4 of sheet 6b.)
 - (b) If $g(x) = x^2$, you have just shown that there are no solutions for f . So what is wrong with $f(x) := \begin{cases} x - 2\sqrt{x} + 1 & x \geq 0 \\ 0 & x < 0 \end{cases}$ (where \sqrt{x} denotes the *positive* square root) ?
 - (c) If $f(x) = x^2$, then what are the possibilities for g ? How many are there ?
 - (d) If $f(x) = x^2 + 2x - 1$, then what are the possibilities for g ? (Before you think about this go back to Q2 of sheet 6b.) How many are there ?

(a) Since $g(x) = x^2$ then $g(x) = g(-x)$ so in particular $f(g(1)) = f(g(-1))$. But we are told that $f \circ g(1) = 0 \neq 4 = f \circ g(-1)$.

(b) If we set $f(x) := \begin{cases} x - 2\sqrt{x} + 1 & x \geq 0 \\ 0 & x < 0 \end{cases}$ then we find that $f(g(x)) = f(x^2) = x^2 - 2|x| + 1 = (|x| - 1)^2$, which gives the wrong answer for $x < 0$.

(c) The possibilities are those g such that $(g(x))^2 = (x - 1)^2$ for all $x \in \mathbb{R}$. So we know that for each x , $g(x)$ is either $x - 1$ or $1 - x$. Therefore there are an *INFINITE* number of such g , with two different choices of $g(x)$ for every $x \neq 1$ (and $g(1) = 0$).

(d) The possibilities are those g such that $(g(x))^2 + 2g(x) - 1 - (x - 1)^2 = 0$ for all $x \in \mathbb{R}$. Therefore $g(x) = -1 \pm \sqrt{2 + (x - 1)^2}$, which is two different real numbers (since $2 + (x - 1)^2 > 0$). Therefore there are an *INFINITE* number of such g , with two different choices of $g(x)$ for every $x \in \mathbb{R}$.
2. * Fix $S \subset \mathbb{R}$ with an upper bound, and suppose that $S \neq \emptyset$ and $S \neq \mathbb{R}$. Give proofs or counterexamples to the following statements.
 - (a) If $S \subset \mathbb{Q}$ then $\sup S \in \mathbb{Q}$.
 - (b) If $S \subset \mathbb{R} \setminus \mathbb{Q}$ then $\sup S \in \mathbb{R} \setminus \mathbb{Q}$.
 - (c) If $S \subset \mathbb{Z}$ then $\sup S \in \mathbb{Z}$.
 - (d) There exists a $\max S$ if and only if $\sup S \in S$.
 - (e) $\sup S = \inf(\mathbb{R} \setminus S)$.
 - (f) $\sup S = \inf(\mathbb{R} \setminus S)$ if and only if S is an interval of the form $(-\infty, a)$ or $(-\infty, a]$.

(a) **False**, eg $S = \{x \in \mathbb{Q} : x < \sqrt{2}\}$ with $\sup S = \sqrt{2}$.

(b) **False**, eg $S = \{x \in \mathbb{R} \setminus \mathbb{Q} : x < 0\}$ with $\sup S = 0$.

(c) **True**. Pick $s_0 \in S$ and an integer N larger than a given upper bound for S . Then $[s_0, N] \cap \mathbb{Z}$ is a finite set, so $[s_0, N] \cap S$ is also finite and nonempty set of integers so has a maximum $m \in \mathbb{Z}$. By (d) this is also $\sup S$.

(d) **True**. If $\sup S \in S$ then it is the maximum element because it is an upper bound, so $\sup S \geq s$ for all $s \in S$.

Conversely if there exists $m = \max S$ then m is an upper bound, and given any other upper bound M , $M \geq m$ by definition of upper bound because $m \in S$. Therefore m is the least upper bound, i.e. $\sup S = m \in S$.

(e) **False**. E.g. $S = \{0\}$ has $\sup S = 0$ but $\mathbb{R} \setminus S$ is not even bounded below so has no infimum.

(f) **True**. If $S = (-\infty, a)$ then $\sup S = a$ while $\mathbb{R} \setminus S = [a, \infty)$ so $\inf S^c = a$ also.

If $S = (-\infty, a]$ then $\sup S = a$ while $\mathbb{R} \setminus S = (a, \infty)$ so $\inf S^c = a$ also.

Conversely, if $\sup S = \inf S^c$ then call this number a . Any $x < a$ must be in S : if not then $x \in S^c$ but $x < \inf S^c$, a contradiction. Similarly any $x > a$ must be in S^c : if not then $x \in S$ but $x > \sup S$, a contradiction.

Therefore $(-\infty, a) \subseteq S$ and $(a, \infty) \subseteq S^c$. Finally either $a \in S$ or $a \in S^c$, making S equal to $(-\infty, a]$ or $(-\infty, a)$ respectively.

3. † Special long bonus question. Construction of \mathbb{R} from \mathbb{Q} .

Slightly abusing the original notation, say that a subset $S \subset \mathbb{Q}$ is a Dedekind cut if it satisfies (i) and (ii) below.

- (i) If $s \in S$ and $s > t \in \mathbb{Q}$ then $t \in S$ (i.e. S is a semi-infinite interval to the left).
- (ii) S has no maximum.

(So once we have the reals we'll see that the Dedekind cuts are all of the form $S_r := (-\infty, r) \cap \mathbb{Q}$ for some (any) real number r . But we don't know what the reals are in this question!)

Then we let \mathbb{R} be the set of Dedekind cuts. (I.e. think of identifying S_r with $r \in \mathbb{R}$.)

Check that we can identify $\mathbb{Q} \subset \mathbb{R}$ by taking $q \in \mathbb{Q}$ to the Dedekind cut $S_q := \{s \in \mathbb{Q} : s < q\}$.

Define $<$ on \mathbb{R} and show that \mathbb{R} has the completeness property: that any bounded nonempty subset has a least upper bound.

If you're feeling enthusiastic: for two Dedekind cuts S_1, S_2 define their sum $S_1 + S_2 := \{s_1 + s_2 \in \mathbb{Q} : s_1 \in S_1, s_2 \in S_2\}$. Show that this is also a Dedekind cut. Show that this operation $+$ on \mathbb{R} agrees with the usual $+$ on $\mathbb{Q} \subset \mathbb{R}$.

Similarly define \times on \mathbb{R} and $/$ on $\mathbb{R} \setminus \{0\}$ and show they agree with their standard definitions on \mathbb{Q} . Show that $+$, \times satisfy the usual rules of arithmetic (associative, \times distributes over $+$, $0 + x = x$ and $1 \times x = x$, etc.).

This is a long exercise for your entertainment and self-improvement. You may want to think about it over the Christmas break. I am too lazy to write out a full solution but you should know enough by now to know whether or not you're doing it right.

The key part is the completeness property. Suppose $A \subset \mathbb{R}$ is our bounded nonempty subset of $\mathbb{R} := \{\text{Dedekind cuts}\}$. Then the elements S of A are Dedekind cuts – i.e. subsets of \mathbb{Q} satisfying (i) and (ii). The supremum we want to define is the Dedekind cut given by taking the union of all of these Dedekind cuts:

$$\sup(A) := \bigcup_{S \in A} S \subset \mathbb{Q}.$$

Since A is bounded above this set still satisfies (i) so is indeed a Dedekind cut, i.e. $\sup(A) \in \mathbb{R}$.

For full details see for example W. Rudin, *“Principles of mathematical analysis”*, or the webpage <http://tinyurl.com/yjt5olv>

4. † In this question we show that for all $n \in \mathbb{N}$, every positive real number has a unique positive n -th root: $\forall n \in \mathbb{N}$, for all $x \in \mathbb{R}, x \geq 0$, there is a unique $y \in \mathbb{R}, y \geq 0$, such that $y^n = x$. Prove this in the following steps:

- (i) Prove uniqueness.
- (ii) Prove by elementary means that for all real numbers a, b with $0 < a < b < a + 1$:

$$na^{n-1}(b - a) < b^n - a^n < n(a + 1)^{n-1}(b - a)$$

(Hint: $b^n - a^n = (b - a)(b^{n-1} + b^{n-2}a + \cdots + a^{n-1})$).

(iii) Use (ii) to prove the following for real numbers x, y both ≥ 0 :

If $x^n < y$ then there is $x < x'$ with $x'^n < y$;

If $x^n > y$ then there is $x > x'$ with $x'^n > y$.

(iv) Now let y be real and ≥ 0 . Show that the set

$$S = \{a \in \mathbb{R} \mid a^n \leq y\}$$

is nonempty and bounded above, hence $x = \sup S$ exists.

(v) Using (iii) and the Lemma about sup proved in the lectures, show that $x^n = y$. (This follows closely the proof given in the lectures for the case $n = 2$ so you should start by reminding yourselves how that works.)

I am too lazy to write this out in complete detail so I just sketch half of the key steps (ii), (iii). Everything else follows pretty closely what we did in the lectures for the case $n = 2$.

For (ii) we have, for example:

$$b^n - a^n = (b - a)(b^{n-1} + b^{n-2}a + \dots + a^{n-1}) < n(b - a)(a + 1)^{n-1}$$

and this is half of (ii) (I leave the other half to you).

For (iii), suppose that $x^n < y$ and write $x' = x + \varepsilon$; by (ii) we have, if $0 < \varepsilon < 1$:

$$x'^n - x^n < n(x + 1)^{n-1}\varepsilon$$

so, by choosing

$$0 < \varepsilon < \min\left\{1, \frac{y - x^n}{n(x + 1)^{n-1}}\right\}$$

we make sure that $x'^n < y$. This is half of (iii) and I leave the other half to you.

5. Prove that if x, y, z are real numbers such that $x + y + z = 0$, then $xy + yz + zx \leq 0$.

Expand $0 = (x + y + z)^2$.

6. Show that any positive periodic decimal expansion is rational, and in fact can be written as

$$p / 99 \dots 9900 \dots 00 \quad (m \text{ 9s and } n \text{ 0s})$$

for some integers $p, m, n \geq 0$.

Deduce that any integer divides some number of the form $99 \dots 9900 \dots 00$.

Let the decimal expansion be $x = a_0.a_1a_2\dots a_n(\overline{b_1\dots b_m})$, where $\overline{}$ denotes recurring periodically. Then

$$\begin{aligned} x &= \frac{a_0a_1\dots a_n}{10^n} + \frac{b_1\dots b_m}{10^n}(10^{-m} + 10^{-2m} + 10^{-3m} + \dots) \\ &= \frac{a_0a_1\dots a_n}{10^n} + \frac{b_1\dots b_m}{10^n} \frac{1}{10^m - 1} \\ &= \frac{(10^m - 1)a_0a_1\dots a_n + b_1\dots b_m}{(10^m - 1)10^n}, \end{aligned}$$

which is of the form claimed, with $p = (10^m - 1)a_0a_1\dots a_n + b_1\dots b_m$.

As proved in lectures, $x = 1/q$ has periodic decimal expansion since it is rational. Therefore we get $1/q = p/99\dots 9900\dots 00$ for some integer p , and thus $99\dots 9900\dots 00/q = p$ as required.

7. Show by using decimal expansions that between any two distinct real numbers there exists a rational number and an irrational number. (Last week you showed this by a different method.)

Write the smaller number as $A = a.a_1a_2 \dots a_k a_{k+1} \dots$ and the bigger as $B = a.a_1a_2 \dots b_k b_{k+1} \dots$, differing for the first time in the k th place.

As in lectures can assume that neither ends in an infinite string of 9s. Choose the first digit a_{k+i} , $i > 0$ after a_k that is not a 9; setting it to 9 and all later digits a_{k+j} , $j > i$ to zero gives a rational number C between A and B . Now find an irrational number C between A and B .

*You should prepare starred questions * to discuss with your personal tutor.*

Questions marked † are slightly harder (closer to exam standard), but good for you.