# A MODULARITY LIFTING THEOREM FOR WEIGHT TWO HILBERT MODULAR FORMS

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ABSTRACT. We prove a modularity lifting theorem for potentially Barostti-Tate representations over totally real fields, generalising recent results of Kisin.

#### 1. Introduction

In [Kis04] Mark Kisin introduced a number of new techniques for proving modularity lifting theorems, and was able to prove a very general lifting theorem for potentially Barsotti-Tate representations over  $\mathbb{Q}$ . In [Kis05] this was generalised to the case of p-adic representations of the absolute Galois group of a totally real field in which p splits completely. In this note, we further generalise this result to:

**Theorem.** Let p > 2, let F be a totally real field in which p is unramified, and let E be a finite extension of  $\mathbb{Q}_p$  with ring of integers  $\mathcal{O}$ . Let  $\rho: G_F \to \mathrm{GL}_2(\mathcal{O})$  be a continuous representation unramified outside of a finite set of primes, with determinant a finite order character times the p-adic cyclotomic character. Suppose that

- (1)  $\rho$  is potentially Barsotti-Tate at each v|p.
- (2)  $\overline{\rho}$  is modular.
- (3)  $\overline{\rho}|_{F(\zeta_p)}$  is absolutely irreducible.

Then  $\rho$  is modular.

We emphasise that the techniques we use are entirely those of Kisin. Our only new contributions are some minor technical improvements; specifically, we are able to prove a more general connectedness result than Kisin for certain local deformation rings, and we replace an appeal to a result of Raynaud by a computation with Breuil modules with descent data.

The motivation for studying this problem was the work reported on in [Gee06], where we apply the main theorem of this paper to the conjectures of [BDJ05]. In these applications it is crucial to have a lifting theorem valid for F in which p is unramified, rather than just totally split.

## 2. Connected components

Firstly, we recall some definitions and theorems from [Kis04]. We make no attempt to put these results in context, and the interested reader should consult section 1 of [Kis04] for a more balanced perspective on this material.

Let p > 2 be prime. Let k be a finite extension of  $\mathbb{F}_p$  of cardinality  $q = p^r$ , and let W = W(k),  $K_0 = W(k)[1/p]$ . Let K be a totally ramified extension of  $K_0$  of degree

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10002 Toby Gee

e. We let  $\mathfrak{S} = W[[u]]$ , equipped with a Frobenius map  $\phi$  given by  $u \mapsto u^p$ , and the natural Frobenius on W. Fix an algebraic closure  $\overline{K}$  of K, and fix a uniformiser  $\pi$  of K. Let E(u) denote the minimal polynomial of  $\pi$  over  $K_0$ .

Let  $'(\operatorname{Mod}/\mathfrak{S})$  denote the category of  $\mathfrak{S}$ -modules  $\mathfrak{M}$  equipped with a  $\phi$ -semilinear map  $\phi: \mathfrak{M} \to \mathfrak{M}$  such that the cokernel of  $\phi^*(\mathfrak{M}) \to \mathfrak{M}$  is killed by E(u). For any  $\mathbb{Z}_p$ -algebra A, set  $\mathfrak{S}_A = \mathfrak{S} \otimes_{\mathbb{Z}_p} A$ . Denote by  $'(\operatorname{Mod}/\mathfrak{S})_A$  the category of pairs  $(\mathfrak{M}, \iota)$  where  $\mathfrak{M}$  is in  $'(\operatorname{Mod}/\mathfrak{S})$  and  $\iota: A \to \operatorname{End}(\mathfrak{M})$  is a map of  $\mathbb{Z}_p$ -algebras.

We let  $(\operatorname{Mod} \operatorname{FI}/\mathfrak{S})_A$  denote the full subcategory of  $'(\operatorname{Mod}/\mathfrak{S})_A$  consisting of objects  $\mathfrak{M}$  such that  $\mathfrak{M}$  is a projective  $\mathfrak{S}_A$ -module of finite rank.

Choose elements  $\pi_n \in \overline{K}$   $(n \geq 0)$  so that  $\pi_0 = \pi$  and  $\pi_{n+1}^p = \pi_n$ . Let  $K_\infty = \bigcup_{n \geq 1} K(\pi_n)$ . Let  $\mathcal{O}_{\mathcal{E}}$  be the *p*-adic completion of  $\mathfrak{S}[1/u]$ . Let  $\operatorname{Rep}_{\mathbb{Z}_p}(G_{K_\infty})$  denote the category of continuous representations of  $G_{K_\infty}$  on finite  $\mathbb{Z}_p$ -algebras. Let  $\Phi M_{\mathcal{O}_{\mathcal{E}}}$  denote the category of finite  $\mathcal{O}$ -modules M equipped with a  $\phi$ -semilinear map  $M \to M$  such that the induced map  $\phi^*M \to M$  is an isomorphism. Then there is a functor

$$T: \Phi \operatorname{M}_{\mathcal{O}_{\mathcal{E}}} \to \operatorname{Rep}_{Z_n}(G_{K_{\infty}})$$

which is in fact an equivalence of abelian categories (see section 1.1.12 of [Kis04]). Let A be a finite  $\mathbb{Z}_p$ -algebra, and let  $\operatorname{Rep}'_A(G_{K_\infty})$  denote the category of continuous representations of  $G_{K_\infty}$  on finite A-modules, and let  $\operatorname{Rep}_A(G_{K_\infty})$  denote the full subcategory of objects which are free as A-modules. Let  $\Phi$   $M_{\mathcal{O}_{\mathcal{E}},A}$  denote the category whose objects are objects of  $\Phi$   $M_{\mathcal{O}_{\mathcal{E}}}$  equipped with an action of A.

Lemma 2.1. The functor T above induces an equivalence of abelian categories

$$T_A: \Phi \operatorname{M}_{\mathcal{O}_{\mathcal{E}},A} \to \operatorname{Rep}'_A(G_{K_{\infty}}).$$

The functor  $T_A$  induces a functor

$$T_{\mathfrak{S},A}: (\operatorname{Mod}\operatorname{FI}/\mathfrak{S})_A \to \operatorname{Rep}_A(G_{K_\infty}); \ \mathfrak{M} \mapsto T_A(\mathcal{O}_{\mathcal{E}} \otimes_{\mathfrak{S}} \mathfrak{M}).$$

*Proof.* Lemmas 1.2.7 and 1.2.9 of [Kis04].

Fix  $\mathbb{F}$  a finite extension of  $\mathbb{F}_p$ , and a continuous representation of  $G_K$  on a 2-dimensional  $\mathbb{F}$ -vector space  $V_{\mathbb{F}}$ . We suppose that  $V_{\mathbb{F}}$  is the generic fibre of a finite flat group scheme, and let  $M_{\mathbb{F}}$  denote the preimage of  $V_{\mathbb{F}}(-1)$  under the equivalence  $T_{\mathbb{F}}$  of Lemma 2.1.

In fact, from now on we assume that the action of  $G_K$  on  $V_{\mathbb{F}}$  is trivial, that  $k \subset \mathbb{F}$ , and that  $k \neq \mathbb{F}_p$ . In applications we will reduce to this case by base change.

Recall from Corollary 2.1.13 of [Kis04] that we have a projective scheme  $\mathcal{GR}_{V_{\mathbb{F}},0}$ , such that for any finite extension  $\mathbb{F}'$  of  $\mathbb{F}$ , the set of isomorphism classes of finite flat models of  $V_{\mathbb{F}'} = V_{\mathbb{F}} \otimes_{\mathbb{F}} \mathbb{F}'$  is in natural bijection with  $\mathcal{GR}_{V_{\mathbb{F}},0}(\mathbb{F}')$ . We work below with the closed subscheme  $\mathcal{GR}_{V_{\mathbb{F}},0}^{\mathbf{v}}$  of  $\mathcal{GR}_{V_{\mathbb{F}},0}$ , defined in Lemma 2.4.3 of [Kis04], which parameterises isomorphism classes of finite flat models of  $V_{\mathbb{F}'}$  with cyclotomic determinant.

As in section 2.4.4 of [Kis04], if  $\mathbb{F}^{\text{sep}}$  is the residue field of  $K_0^{\text{sep}}$ , and  $\sigma \in \text{Gal}(K_0/\mathbb{Q}_p)$ , we denote by  $\epsilon_{\sigma} \in k \otimes_{\mathbb{F}_p} \mathbb{F}'$  the idempotent which is 1 on the kernel of the map  $1 \otimes \sigma : k \otimes_{\mathbb{F}_p} \mathbb{F}' \to \mathbb{F}^{\text{sep}}$  corresponding to  $\sigma$ , and 0 on the other maximal ideals of  $k \otimes_{\mathbb{F}_p} \mathbb{F}'$ .

**Lemma 2.2.** If  $\mathbb{F}'$  is a finite extension of  $\mathbb{F}$ , then the elements of  $\mathcal{GR}^{\mathbf{v}}_{V_{\mathbb{F}},0}(\mathbb{F}')$  naturally correspond to free  $k \otimes_{\mathbb{F}_p} \mathbb{F}'[[u]]$ -submodules  $\mathcal{M}_{\mathbb{F}'} \subset M_{\mathbb{F}'} := M_{\mathbb{F}} \otimes_{\mathbb{F}} \mathbb{F}'$  of rank 2 such that:

- (1)  $\mathcal{M}_{\mathbb{F}'}$  is  $\phi$ -stable.
- (2) For some (so any) choice of  $k \otimes_{\mathbb{F}_p} \mathbb{F}'[[u]]$ -basis for  $\mathcal{M}_{\mathbb{F}'}$ , for each  $\sigma$  the map

$$\phi: \epsilon_{\sigma} \mathcal{M}_{\mathbb{F}'} \to \epsilon_{\sigma \circ \phi^{-1}} \mathcal{M}_{\mathbb{F}'}$$

has determinant  $\alpha u^e$ ,  $\alpha \in \mathbb{F}'[[u]]^{\times}$ .

*Proof.* This follows just as in the proofs of Lemma 2.5.1 and Proposition 2.2.5 of [Kis04]. More precisely, the method of the proof of Proposition 2.2.5 of [Kis04] allows one to "decompose" the determinant condition into the condition that for each  $\sigma$  we have

$$\dim_{\mathbb{F}'}(\epsilon_{\sigma \circ \phi^{-1}} \mathcal{M}_{\mathbb{F}'}/\phi(\epsilon_{\sigma} \mathcal{M}_{\mathbb{F}'})) = e,$$

and then an identical argument to that in the proof of Lemma 2.5.1 [Kis04] shows that this condition is equivalent to the stated one.  $\Box$ 

We now number the elements of  $\operatorname{Gal}(K_0/\mathbb{Q}_p)$  as  $\sigma_1, \ldots, \sigma_r$ , in such a way that  $\sigma_{i+1} = \sigma_i \circ \phi^{-1}$  (where we identify  $\sigma_{r+1}$  with  $\sigma_1$ ). For any sublattice  $\mathfrak{M}_{\mathbb{F}}$  in  $(Mod/\mathfrak{S})_{\mathbb{F}}$  and any  $(A_1, \ldots, A_r) \in \mathcal{M}_2(\mathbb{F}((u)))^r$ , we write  $\mathfrak{M}_{\mathbb{F}} \sim A$  if there exist bases  $\{\mathbf{e}_1^i, \mathbf{e}_2^i\}$  for  $\epsilon_{\sigma_i} \mathcal{M}_{\mathbb{F}}$  such that

$$\phi \left( \begin{array}{c} \mathbf{e}_1^i \\ \mathbf{e}_2^i \end{array} \right) = A_i \left( \begin{array}{c} \mathbf{e}_1^{i+1} \\ \mathbf{e}_2^{i+1} \end{array} \right).$$

If we have fixed such a choice of basis, then for any  $(B_1, \ldots, B_r) \in \operatorname{GL}_2(k_r((u)))^r$  we denote by  $B\mathfrak{M}$  the module generated by  $\left\langle B_i \begin{pmatrix} \mathbf{e}_1^i \\ \mathbf{e}_2^i \end{pmatrix} \right\rangle$ , and consider  $B\mathfrak{M}$  with respect to the basis given by these entries.

**Proposition 2.3.** Let  $\mathbb{F}'/\mathbb{F}$  be a finite extension. Suppose that  $x_1, x_2 \in \mathcal{GR}^{\mathbf{v}}_{V_{\mathbb{F}},0}(\mathbb{F}')$  and that the corresponding objects of  $(\text{Mod}/\S)_{\mathbb{F}'}$ ,  $\mathfrak{M}_{\mathbb{F}',1}$  and  $\mathfrak{M}_{\mathbb{F}',2}$  are both non-ordinary. Then (the images of)  $x_1$  and  $x_2$  both lie on the same connected component of  $\mathcal{GR}^{\mathbf{v}}_{V_{\mathbb{F}},0}(\mathbb{F}')$ .

Proof. Replacing  $V_{\mathbb{F}}$  by  $\mathbb{F}' \otimes_{\mathbb{F}} v_{\mathbb{F}}$ , we may assume that  $\mathbb{F}' = \mathbb{F}$ . Suppose that  $\mathfrak{M}_{\mathbb{F},1} \sim A$ . Then  $\mathfrak{M}_{\mathbb{F},2} = B \cdot \mathfrak{M}_{\mathbb{F},1}$  for some  $B \in \mathrm{GL}_2(k_r((u)))^r$ , and  $\mathfrak{M}_{\mathbb{F},2} \sim (\phi(B_i) \cdot A_i \cdot B_{i+1}^{-1})$ . Each  $B_i$  is uniquely determined up to left multiplication by elements of  $\mathrm{GL}_2(\mathbb{F}[[u]])$ , so by the Iwasawa decomposition we may assume that each  $B_i$  is upper triangular. By Lemma 2.2,  $\det \phi(B_i) \det B_{i+1}^{-1} \in \mathbb{F}[[u]]^{\times}$  for all i, which implies that  $\det(B_i) \in \mathbb{F}[[u]]^{\times}$  for all i, so that the diagonal elements of  $B_i$  are  $\mu_1^i u^{-a_i}$ ,  $\mu_2^i u^{a_i}$  for  $\mu_1^i$ ,  $\mu_2^i \in \mathbb{F}[[u]]^{\times}$ ,  $a_i \in \mathbb{Z}$ . Replacing  $B_i$  with  $\operatorname{diag}(\mu_1^i, \mu_2^i)^{-1} B_i$ , we may assume that  $B_i$  has diagonal entries  $u^{-a_i}$  and  $u^{a_i}$ .

We now show that  $x_1$  and  $x_2$  are connected by a chain of rational curves, using the following lemma:

**Lemma 2.4.** Suppose that  $(N_i)$  are nilpotent elements of  $M_2(\mathbb{F}((u)))$  such that  $\mathfrak{M}_{\mathbb{F},2} = (1+N) \cdot \mathfrak{M}_{\mathbb{F},1}$ . If  $\phi(N_i)AN_{i+1}^{\mathrm{ad}} \in M_2(F[[u]])$  for all i, then there is a map  $\mathbb{P}^1 \to \mathcal{GR}^{\mathbf{v}}_{V_{\mathbb{F}},0}$  sending 0 to  $x_1$  and 1 to  $x_2$ .

*Proof.* Exactly as in the proof of Lemma 2.5.7 of [Kis04].

10004 Toby Gee

In fact, we will only apply this lemma in situations where all but one of the  $N_i$  are zero, so that the condition of the lemma is automatically satisfied.

**Lemma 2.5.** With respect to some basis,  $\phi: M_{\mathbb{F}} \to M_{\mathbb{F}}$  is given by  $\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ .

*Proof.* This is immediate from the definition of  $M_{\mathbb{F}}$  (recall that we have assumed that the action of  $G_K$  on  $V_{\mathbb{F}}$  is trivial).

Let  $v_1$ ,  $v_2$  be a basis as in the lemma, and let  $\mathfrak{M}_{\mathbb{F}}$  be the sub- $k \otimes_{\mathbb{F}_p} \mathbb{F}[[u]]$ -module generated by  $u^{e/(p-1)}v_1$  and  $v_2$  (note that the assumption that the action of  $G_K$  on  $V_{\mathbb{F}}$  is trivial guarantees that e|(p-1)). Then  $\mathfrak{M}_{\mathbb{F}}$  corresponds to an object of  $\mathcal{GR}^{\mathbf{v}}_{V_{\mathbb{F}},0}(\mathbb{F}')$ , and  $\mathfrak{M}_{\mathbb{F}} \sim (A_i)$  where each  $A_i = \begin{pmatrix} u^e & 0 \\ 0 & 1 \end{pmatrix}$ , so that  $\mathfrak{M}_{\mathbb{F}}$  is ordinary.

Furthermore, every object of  $\mathcal{GR}_{V_{\mathbb{F},0}}^{\mathbf{v}_{i}}(\mathbb{F}')$  is given by  $B \cdot \mathfrak{M}_{\mathbb{F}}$  for some  $B = (B_{i})$ , where  $B_{i} = \begin{pmatrix} u^{-a_{i}} & v_{i} \\ 0 & u^{a_{i}} \end{pmatrix}$ , and  $\phi(B_{i})A_{i}B_{i+1}^{-1} \in M_{2}(\mathbb{F}[[u]])$  for all i. Examining the diagonal entries of  $\phi(B_{i})A_{i}B_{i+1}^{-1}$ , we see that we must have  $e \geq pa_{i} - a_{i+1} \geq 0$  for all i.

**Lemma 2.6.** We have  $e/(p-1) \ge a_i \ge 0$  for all i. Furthermore, if any  $a_i = 0$  then all  $a_i = 0$ ; and if any  $a_i = e/(p-1)$ , then all  $a_i = e/(p-1)$ .

*Proof.* Suppose that  $a_j \leq 0$ . Then  $pa_j \geq a_{j+1}$ , so  $a_{j+1} \leq 0$ . Thus  $a_i \leq 0$  for all i. But adding the inequalities gives  $(p-1)(a_1+\cdots+a_r)\geq 0$ , so in fact  $a_1=\cdots=a_r=0$ . The other half of the lemma follows in a similar fashion.

Note that the ordinary objects are precisely those with all  $a_i = 0$  or all  $a_i = e/(p-1)$ . We now show that there is a chain of rational curves linking any non-ordinary point to the point corresponding to  $C \cdot \mathfrak{M}_{\mathbb{F}}$ , where  $C_i = \begin{pmatrix} u^{-1} & 0 \\ 0 & u \end{pmatrix}$ .

Choose a non-ordinary point  $D \cdot \mathfrak{M}_{\mathbb{F}}$ ,  $D_i = \begin{pmatrix} u^{-b_i} & w_i \\ 0 & u^{b_i} \end{pmatrix}$ . We claim that there is a chain of rational curves linking this to the point  $D' \cdot \mathfrak{M}_{\mathbb{F}}$ ,  $D'_i = \begin{pmatrix} u^{-b_i} & 0 \\ 0 & u^{b_i} \end{pmatrix}$ . Clearly, it suffices to demonstrate that there is a rational curve from  $D \cdot \mathfrak{M}_{\mathbb{F}}$  to the point  $D^j \cdot \mathfrak{M}_{\mathbb{F}}$ , where

$$D_i^j = \left\{ \begin{array}{ll} D_i, & i \neq j \\ \begin{pmatrix} u^{-b_j} & 0 \\ 0 & u^{b_j} \end{pmatrix}, & i = j. \end{array} \right.$$

But this is easy; just apply Lemma 2.4 with  $N = (N_i)$ ,

$$N_i = \left\{ \begin{array}{ll} 0, & i \neq j \\ \begin{pmatrix} 0 & -w_j u^{-b_j} \\ 0 & 0 \end{array} \right), & i = j. \end{array}$$

It now suffices to show that there is a chain of rational curves linking  $D' \cdot \mathfrak{M}_{\mathbb{F}}$  to  $C \cdot \mathfrak{M}_{\mathbb{F}}$ . Suppose that  $D'' \cdot \mathfrak{M}_{\mathbb{F}}$  also corresponds to a point of  $\mathcal{GR}^{\mathbf{v}}_{V_{\mathbb{F}},0}(\mathbb{F})$ , where for some j we have

$$D_i'' = \left\{ \begin{array}{ll} D_i', & i \neq j \\ \begin{pmatrix} u^{1-b_j} & 0 \\ 0 & u^{b_j-1} \end{pmatrix}, & i = j. \end{array} \right.$$

Then we claim that there is a rational curve linking  $D' \cdot \mathfrak{M}_{\mathbb{F}}$  and  $D'' \cdot \mathfrak{M}_{\mathbb{F}}$ . Note that D'' = ED', where

$$E_i = \left\{ \begin{array}{ll} 1, & i \neq j \\ \begin{pmatrix} u_0^{-1} & 0 \\ 0 & u \end{pmatrix}, & i = j. \end{array} \right.$$

Since  $\begin{pmatrix} u^{-1} & 0 \\ 0 & u \end{pmatrix} = \begin{pmatrix} 0 & 1 \\ -1 & 2u \end{pmatrix} \begin{pmatrix} 2 & -u \\ u^{-1} & 0 \end{pmatrix}$ , and  $\begin{pmatrix} 0 & 1 \\ -1 & 2u \end{pmatrix} \in GL_2(\mathbb{F}[[u]])$ , we can apply Lemma 2.4 with

$$N_i = \left\{ \begin{array}{ll} 0, & i \neq j \\ \begin{pmatrix} 1 & -u \\ u^{-1} & -1 \end{pmatrix}, & i = j. \end{array} \right.$$

Proposition 2.3 now follows from:

**Lemma 2.7.** If  $e/(p-1) > a_i > 0$  and  $e \ge pa_i - a_{i+1} \ge 0$  for all i, and not all the  $a_i$  are equal to 1, then for some j we can define

$$a_i' = \begin{cases} a_i, & i \neq j \\ a_j - 1, & i = j \end{cases}$$

and we have  $e \ge pa'_i - a'_{i+1} \ge 0$  for all i.

Proof. Suppose not. Then for each i, either  $pa_{i-1}-(a_i-1)>e$ , or  $p(a_i-1)-a_{i+1}<0$ ; that is, either  $pa_{i-1}-a_i=e$ , or  $p-1\geq pa_i-a_{i+1}\geq 0$ . Comparing the statements for i, i+1, we see that either  $pa_i-a_{i+1}=e$  for all i, or  $p-1\geq pa_i-a_{i+1}\geq 0$  for all i. In the former case we have  $a_i=e/(p-1)$  for all i, a contradiction. In the latter case, summing the inequalities gives  $r(p-1)\geq (p-1)(a_1+\cdots+a_r)\geq (r+1)(p-1)$ , a contradiction.

### 3. Modularity lifting theorems

The results of section 2 can easily be combined with the machinery of [Kis04] to yield modularity lifting theorems. For example, we have the following:

**Theorem 3.1.** Let p > 2, let F be a totally real field, and let E be a finite extension of  $\mathbb{Q}_p$  with ring of integers  $\mathcal{O}$ . Let  $\rho: G_F \to \mathrm{GL}_2(\mathcal{O})$  be a continuous representation unramified outside of a finite set of primes, with determinant a finite order character times the p-adic cyclotomic character. Suppose that

- (1)  $\rho$  is potentially Barsotti-Tate at each v|p.
- (2) There exists a Hilbert modular form f of parallel weight 2 over F such that  $\overline{\rho}_f \sim \overline{\rho}$ , and for each v|p,  $\rho$  is potentially ordinary at v if and only if  $\rho_f$  is.
- (3)  $\overline{p}|_{F(\zeta_p)}$  is absolutely irreducible, and if p > 3 then  $[F(\zeta_p) : F] > 3$ .

Then  $\rho$  is modular.

*Proof.* The proof of this theorem is almost identical to the proof of Theorem 3.5.5 of [Kis04]. Indeed, the only changes needed are to replace property (iii) of the field F' chosen there by "(iii) If v|p then  $\overline{\rho}|G_{F_v}$  is trivial, and the residue field at v is not  $\mathbb{F}_p$ ", and to note that Theorem 3.4.11 of [Kis04] is still valid in the context in which we need it, by Proposition 2.3.

For the applications to mod p Hilbert modular forms in [Gee06] it is important not to have to assume that  $\rho$  is potentially ordinary at v if and only if  $\rho_f$  is. Fortunately, in [Gee06] it is only necessary to work with totally real fields in which p is unramified, and in that case we are able, following [Kis05], to remove this assumption.

10006 Toby Gee

**Theorem 3.2.** Let p > 2, let F be a totally real field in which p is unramified, and let E be a finite extension of  $\mathbb{Q}_p$  with ring of integers  $\mathcal{O}$ . Let  $\rho: G_F \to \mathrm{GL}_2(\mathcal{O})$  be a continuous representation unramified outside of a finite set of primes, with determinant a finite order character times the p-adic cyclotomic character. Suppose that

- (1)  $\rho$  is potentially Barsotti-Tate at each v|p.
- (2)  $\overline{\rho}$  is modular.
- (3)  $\overline{\rho}|_{F(\zeta_p)}$  is absolutely irreducible.

Then  $\rho$  is modular.

*Proof.* Firstly, note that by a standard result (see e.g. [BDJ05]) we have  $\overline{\rho} \sim \overline{\rho}_f$ , where f is a form of parallel weight 2. We now follow the proof of Corollary 2.13 of [Kis05]. Let  $\mathcal{S}'$  denote the set of v|p such that  $\rho|_{G_v}$  is potentially ordinary. After applying Lemma 3.3 below, we may assume that  $\overline{\rho} \sim \overline{\rho}_f$ , where f is a form of parallel weight 2, and  $\rho_f$  is potentially ordinary and potentially Barsotti-Tate for all  $v \in \mathcal{S}'$ .

We may now make a solvable base change so that the hypotheses on F in Theorem 3.1 are still satisfied, and in addition  $[F:\mathbb{Q}]$  is even, and at every place v|p|f is either unramified or special of conductor 1. By our choice of f,  $\rho_f|_{G_v}$  is Barsotti-Tate and ordinary at each place  $v \in \mathcal{S}'$ . In order to apply Theorem 3.1, we need to check that we can replace f by a form f' such that  $\overline{\rho} \sim \overline{\rho}_{f'}$ , and  $\rho_{f'}$  is Barsotti-Tate at all v|p and is ordinary if and only if  $\rho$  is. That is, we wish to choose f' so that  $\rho_{f'}$  is Barsotti-Tate and ordinary at all places  $v \in \mathcal{S}'$ , and is Barsotti-Tate and non-ordinary at all other places dividing p. The existence of such an f' follows at once from the proof of Theorem 3.5.7 of [Kis04]. The theorem then follows from Theorem 3.1.

**Lemma 3.3.** Let F be a totally real field in which p is unramified, and S' a set of places of F dividing p. Let f be a Hilbert modular cusp form over F of parallel weight p, with p absolutely irreducible, and suppose that for p p is the reduction of a potentially Barsotti-Tate representation of p p which is also potentially ordinary. Then there is a Hilbert modular cusp form p over p of parallel weight p with

Then there is a Hilbert modular cusp form f' over F of parallel weight 2 with  $\overline{\rho}_{f'} \sim \overline{\rho}_f$ , and such that for all  $v \in \mathcal{S}'$ ,  $\rho_{f'}$  becomes ordinary and Barsotti-Tate over some finite extension of  $F_v$ .

Proof. We follow the proof of Lemma 2.14 of [Kis05], indicating only the modifications that need to be made. Replacing the appeal to [CDT99] with one to Proposition 1.1 of [Dia05], the proof of Lemma 2.14 of [Kis05] shows that we can find f' such that  $\overline{\rho}_{f'} \sim \overline{\rho}_f$ , and such that for all  $v \in \mathcal{S}'$ ,  $\rho_{f'}$  becomes Barsotti-Tate over  $F_v(\zeta_{q_v})$ , where  $q_v$  is the degree of the residue field of F at v. Furthermore, we can assume that the type of  $\rho_{f'}|_{G_{F_v}}$  is  $\widetilde{\omega}_1 \oplus \widetilde{\omega}_2$ , where  $\overline{\rho}_{f'}|_{G_{F_v}} \sim {\omega_1 \chi \choose 0}^*$ , where  $\chi$  is the cyclotomic character, and a tilde denotes the Teichmuller lift. Let  $\mathcal{G}$  denote the p-divisible group over  $\mathcal{O}_{F_v(\zeta_{q_v})}$  corresponding to  $\rho_{f'}|_{F_v(\zeta_{q_v})}$  Then by a scheme-theoretic closure argument,  $\mathcal{G}[p]$  fits into a short exact sequence

$$0 \to \mathcal{G}_1 \to \mathcal{G}[p] \to \mathcal{G}_2 \to 0.$$

The information about the type then determines the descent data on the Breuil modules corresponding to  $\mathcal{G}_1$  and  $\mathcal{G}_2$ . We will be done if we can show that  $\mathcal{G}_1$  is multiplicative and  $\mathcal{G}_2$  is étale. However, by the hypothesis on  $\mathcal{S}'$  we can write down a multiplicative group scheme  $\mathcal{G}'_1$  with the same descent data and generic fibre as  $\mathcal{G}_1$ . Then Lemma 3.4 below shows that  $\mathcal{G}_1$  is indeed multiplicative. The same argument shows that  $\mathcal{G}_2$  is étale.

**Lemma 3.4.** Let k be a finite field of characteristic p, and let L = W(k)[1/p]. Fix  $\pi = (-p)^{1/(p^d-1)}$  where  $d = [k : \mathbb{F}_p]$ , and let  $K = L(\pi)$ . Let E be a finite field containing k. Let  $\mathcal{G}$  and  $\mathcal{G}'$  be finite flat rank one E-module schemes over  $\mathcal{O}_K$  with generic fibre descent data to L. Suppose that the generic fibres of  $\mathcal{G}$  and  $\mathcal{G}'$  are isomorphic as  $G_L$ -representations, and that  $\mathcal{G}$  and  $\mathcal{G}'$  have the same descent data. Then  $\mathcal{G}$  and  $\mathcal{G}'$  are isomorphic.

*Proof.* This follows from a direct computation using Breuil modules with descent data. Specifically, it follows at once from Example A.3.3 of [Sav06], which computes the generic fibre of any finite flat rank one E-module scheme over  $\mathcal{O}_K$  with generic fibre descent data to L.

#### References

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